

## II

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# Homology and cohomology of manifolds

## 1 Chains on a manifold (following de Rham). Stokes' formula

### 1.1

A  $p$ -dimensional “*chain element*”  $[\sigma]$  on a differentiable manifold  $X$ , is defined by a *convex polyhedron*  $\Delta^p$  of dimension  $p$ , an *orientation*  $\varepsilon$  of  $\Delta^p$ , and a *differentiable map*  $\sigma : \Delta^p \rightarrow X$ .

More precisely,  $\Delta^p$  is a compact convex polyhedron in a  $p$ -dimensional affine space  $E^p$ , and  $\sigma$  is the restriction of a differentiable map defined in a neighbourhood of this compact set.

If  $\varphi$  is a differential form of degree  $p$  on  $X$ , the *integral of  $\varphi$  along the chain element  $[\sigma]$*  is defined by

$$\int_{[\sigma]} \varphi = \int_{\Delta^p} \sigma^* \varphi.$$

The integral over the oriented polyhedron  $\Delta^p$  obviously makes sense, because  $\sigma^* \varphi$  is the restriction to the compact set  $\Delta^p$  of a differential form which is  $\mathcal{C}^\infty$  in a neighbourhood of this compact set.

### 1.2

A  $p$ -dimensional *chain*  $\gamma$  in  $X$  is defined by a finite formal linear combination of  $p$ -dimensional chain elements with integer coefficients. Moreover, we say that two such linear combinations

$$\sum_i n_i [\sigma_i] \quad \text{and} \quad \sum_j n'_j [\sigma'_j]$$

define *the same chain*  $\gamma$  if, for any form  $\varphi$ , they give rise to the same integral:

$$\int_{\gamma} \varphi = \sum_i n_i \int_{[\sigma_i]} \varphi = \sum_j n'_j \int_{[\sigma'_j]} \varphi.$$

### 1.3 The boundary

Let  $[\sigma] = (\Delta^p, \varepsilon, \sigma)$  be a  $p$ -dimensional chain element. Every face  $\Delta^p$  of the polyhedron  $\Delta^p$  is contained in a  $(p-1)$ -plane  $E_i^p$ , which we can equip with an orientation  $\varepsilon_i$ , defined as follows:

$$\varepsilon_i(V_1, V_2, \dots, V_{p-1}) = \varepsilon(V_0, V_1, V_2, \dots, V_{p-1}),$$

where  $V_0$  is the exterior normal vector to the face  $E_i^p$ .

If we set  $\sigma_i = \sigma|_{\Delta_i^p}$ , we obtain in this way a chain element

$$[\sigma_i] = (\Delta_i^p, \varepsilon_i, \sigma_i).$$

The sum of all the chain elements which correspond to all the faces of  $\Delta^p$  is called the *boundary* of  $[\sigma]$ , and is denoted  $\partial[\sigma]$ . For any chain  $\gamma = \sum_i n_i[\sigma_i]$ , we set  $\partial\gamma = \sum_i n_i\partial[\sigma_i]$ . It will follow immediately from Stokes' formula (§1.4) that this chain  $\partial\gamma$  does not depend on the particular representation  $\sum_i n_i[\sigma_i]$  of  $\gamma$ , and furthermore, that  $\partial\partial\gamma = 0$  (which follows easily from  $dd = 0$ ).

### 1.4 Stokes' formula.

$$\int_{\partial\gamma} \varphi = \int_{\gamma} d\varphi.$$

It suffices to prove this formula when  $\gamma$  is a chain element  $[\sigma]$ . The general case follows by linearity. Setting  $\psi = \sigma^*\varphi$ , we are reduced to the formula

$$\int_{\Delta^p} d\psi = \sum_i \int_{\Delta_i^p} \psi,$$

which can be verified in the same way as the formula in §I.7.7 (it is in fact the same formula, except that  $\Delta^p$  is a manifold whose boundary is *piecewise* differentiable, whereas in §I.7.7 we assumed that the boundary was differentiable).

### 1.5 Chain transformations

Let  $f : X \rightarrow Y$  be a differentiable map of manifolds. To every chain element  $[\sigma] = (\Delta^p, \varepsilon, \sigma)$  in  $X$ , we will associate the chain element  $f_*[\sigma] = (\Delta^p, \varepsilon, f \circ \sigma)$  on  $Y$ , and likewise, to every chain  $\gamma = \sum_i n_i[\sigma_i]$  in  $X$ , we associate the chain  $f_*\gamma = \sum_i n_i f_*[\sigma_i]$  in  $Y$ .

Obviously,  $\int_{f_*\gamma} \varphi = \int_{\gamma} f^*\varphi$  (which is an immediate consequence of §I.5.3, which says that  $(f \circ \sigma)^*\varphi = \sigma^*f^*\varphi$ ).

This identity proves that the chain  $f_*\gamma$  is indeed independent of the choice of representative for  $\gamma$ . Moreover, combined with Stokes' formula and the property  $df^* = f^*d$  of §I.5.3, it proves that

$$\partial f_* = f_*\partial.$$

This follows from:

$$\int_{\partial f_* \gamma} = \int_{f_* \gamma} d\varphi = \int_{\gamma} f^* d\varphi = \int_{\gamma} df^* \varphi = \int_{\partial \gamma} f^* \varphi = \int_{f^* \partial \gamma} \varphi.$$

**1.6 Example.** Let  $X = S^2$  denote the unit sphere in Euclidean space  $\mathbb{R}^3$ . The parametric representation of this sphere in polar coordinates defines a two-dimensional chain element  $[\sigma] = (\Delta, \varepsilon, \sigma)$  ( $\Delta$  is a rectangle of length  $2\pi$  and width  $\pi$ , and  $\sigma : \Delta \rightarrow S^2$  is the map defined by “longitudinal–latitudinal” coordinates). The integral of any form  $\varphi$  on this chain element is therefore equal to the integral of  $\varphi$  on the sphere  $S^2$ , equipped with the orientation  $\varepsilon_{S^2}$  “corresponding” to  $\varepsilon$  ( $\varepsilon_{S^2} \circ \sigma = \varepsilon$ ). In particular, let  $\varphi$  be the differential form corresponding to the “solid angle”, in other words, the fundamental form (cf. §I.7.5) associated to the orientation  $\varepsilon_{S^2}$  and to the metric on  $S^2$  which is induced by the Euclidean metric on  $\mathbb{R}^3$ . We have

$$\int_{[\sigma]} \varphi = 4\pi.$$

Observe that  $d\varphi = 0$  (since  $\varphi$  is of maximal degree) and that  $\partial[\sigma] = 0$ . In this case we say that  $\varphi$  is a *closed form* and that  $[\sigma]$  is a *cycle*. However,  $\varphi$  is not an “exact differential form”, nor is  $[\sigma]$  a “boundary”. This means that there does not exist a form  $\psi$  such that  $\varphi = d\psi$ , nor does there exist a chain  $\gamma$  such that  $[\sigma] = \partial\gamma$ . If either were to exist, then Stokes’ formula would say that  $\int_{[\sigma]} \varphi = 0$ .

## 2 Homology

### 2.1

The main point of the concept of a “chain” is to act as a stepping stone towards the construction of “homology groups”, which we are about to define. These days it is preferable to use a slightly different definition of chains from the one given in the previous section, which has the added advantage of being valid for any topological space. On a manifold, all these definitions give rise to the same homology groups, but the modern definition enables us to extend what was previously only possible for differentiable maps, to the case of *continuous maps*.

Let us therefore state some general properties for chains which were obtained in the previous section for differentiable maps between manifolds.

To every topological space  $X$  is associated an *abelian group*  $C_*(X)$ , called the *group of chains* of  $X$ . This group is graded by the “dimension”  $p$  of the chains:

$$C_*(X) = \bigoplus_p C_p(X)$$

and is equipped with a “*boundary homomorphism*”:

$$\partial : C_*(X) \longrightarrow C_*(X)$$

which decreases the dimension by one, and satisfies

$$\partial\partial = 0.$$

To every continuous map  $f : X \rightarrow Y$  one associates a homomorphism  $f_* : C_*(X) \rightarrow C_*(Y)$  which preserves the dimension of chains, and which commutes with  $\partial$ . The map  $f \rightsquigarrow f_*$ , is a *covariant functor* (cf. §I.4.2).

**2.2 Definition (of homology).** Let  $Z_*(X) = \text{Ker } \partial$  denote the “*kernel*” of the homomorphism  $\partial$ , i.e., the set of chains  $\gamma \in C_*(X)$  such that  $\partial\gamma = 0$ . Such a chain is called a *cycle*, and  $Z_*(X)$  is the *group of cycles*.

Let  $B_*(X) = \text{Im } \partial$  denote the *image* of the homomorphism  $\partial$ , i.e., the set of chains of the form  $\partial\gamma$ . Such a chain is called a *boundary*, and  $B_*(X)$  is the *group of boundaries*.

Since  $\partial\partial = 0$ ,  $B_*(X)$  is subgroup of  $Z_*(X)$ . We can therefore consider the quotient

$$H_*(X) = Z_*(X)/B_*(X)$$

which is called the *homology group* of the space  $X$ . Its elements are called “homology classes”. Two cycles belong to the same homology class if the difference between them is a boundary, and in this case we say that the cycles are “homologous”.

All the above groups are clearly graded by the dimension of the chains which define them. Thus,

$$H_*(X) = \bigoplus_{p=0,1,2,\dots} H_p(X).$$

In dimension zero, we set  $Z_0(X) = C_0(X)$ , so that  $H_0(X) = C_0(X)/B_0(X)$ . But  $C_0(X)$  is the *free group generated by the set  $X$*  (the group of formal linear combinations of points of  $X$ ), and  $B_0(X)$  is spanned by linear combinations of the form  $[x] - [x']$  in  $C_0(X)$ , where  $x$  and  $x'$  are the endpoints of a *path* on  $X$ . Therefore  $H_0(X)$  is the free group generated by the set of *path-connected components of the space  $X$* .

### 2.3 Examples.

- (i) Let  $X = S^n$  be the unit sphere of dimension  $n$  in  $\mathbb{R}^{n+1}$ . It is connected, so  $H_0(S^n) \approx \mathbb{Z}$  (the group of integers  $\geq 0$ ). Otherwise, one shows that  $H_*(S^n)$  is zero in all other dimensions, *except in dimension  $n$* , where  $H_n(S^n) \approx \mathbb{Z}$ . This group is spanned by a cycle which can be constructed in a similar manner to the cycle  $[\sigma]$  in example 1.6.

- (ii) Let  $X = P^n$  be real  $n$ -dimensional projective space. Obviously,  $H_0(P^n) \approx \mathbb{Z}$ . Otherwise, one can prove that  $H_{2p}(P^n) = 0$  and that  $H_{2p+1}(P^n) \approx \mathbb{Z}_2$  (the cyclic group of order 2), except in the case  $2p + 1 = n$ , where  $H_{2p+1}(P^{2p+1}) \approx \mathbb{Z}$ . Intuitively, the generator in dimension  $2p + 1$  “corresponds” to a  $(2p + 1)$ -plane  $P^{2p+1} \subset P^n$ .<sup>1</sup>

One can also construct chains corresponding to  $2p$ -planes  $P^{2p} \subset P^n$ , but, because of the non-orientability of  $P^{2p}$  (§7.2), one notices that these chains are not cycles but have a boundary corresponding to a copy of  $P^{2p-1}$  which is covered twice. It is this fact which explains why the homology groups in dimension  $2p - 1$  are cyclic groups of order 2 ( $P^{2p-1}$  is not a boundary, but  $2 P^{2p-1}$  is).

## 2.4 Torsion

In §2.3 (ii) above we gave an example of a homology group with “*torsion*”. We say that an abelian group  $G$  has torsion if it contains cyclic elements of finite order.

In most cases which arise in practice, homology groups have a *finite* number of generators, and are therefore<sup>2</sup> isomorphic to a direct sum of cyclic groups of finite order ( $\mathbb{Z}_r$ ), or infinite order ( $\mathbb{Z}$ ). If we discard the groups of finite order, we obtain a *free group* (with a finite number of generators), which is called the “homology group *modulo torsion*”. The case where there are an infinite number of generators is a little delicate,<sup>3</sup> and we will (implicitly) put this question aside whenever we speak about torsion.

## 2.5

Let  $f : X \rightarrow Y$  be a continuous map. Since the homomorphism  $f_* : C_*(X) \rightarrow C_*(Y)$  of §2.1 commutes with  $\partial$ , it maps cycles to cycles, and boundaries to boundaries. It therefore induces a homomorphism  $f_* : H_*(X) \rightarrow H_*(Y)$  between homology groups which preserves dimensions. The map  $f \rightsquigarrow f_*$  is a *covariant functor*. From this it follows in particular that if  $f : X \approx Y$  is a homeomorphism,  $f_* : H_*(X) \approx H_*(Y)$  is an isomorphism of abelian groups.

## 2.6 Retractions

A continuous map  $r : X \rightarrow A$  is called a *retraction* if:

- 1°  $A$  is a subspace of  $X$ ,
- 2°  $r|_A = \mathbb{1}_A$ .

<sup>1</sup> In other words, it can be represented by a cycle constructed from a parametric representation of a  $(2p + 1)$ -plane.

<sup>2</sup> This is an exercise in algebra, which is not so straightforward.

<sup>3</sup> For example, a torsion-free group is not necessarily free.

Let  $i : A \rightarrow X$  be the “inclusion” from  $A$  into  $X$ . Condition 2° can be written  $r \circ i = \mathbb{1}_A$ , from which it follows by functoriality that  $r_* i_* = \mathbb{1}_{H_*(A)}$

$$\begin{array}{ccc} & H_*(X) & \\ i_* \nearrow & & \searrow r_* \\ H_*(A) & \xrightarrow{\mathbb{1}} & H_*(A) \end{array}$$

This diagram shows that  $H_*(A)$  is a *direct factor* of the group  $H_*(X)$  [in other words  $\exists G : H_*(X) \approx H_*(A) \times G$ ].

**2.7 Example (Brouwer’s fixed point theorem).** Let  $E^n$  denote the closed unit ball in  $\mathbb{R}^n$ . Its boundary is a sphere  $S^{n-1}$ . The space  $E^n$  is “homologically trivial” (§2.9), which is not the case for  $S^{n-1}$  [§2.3 (i)]. Therefore,  $H_*(S^{n-1})$  cannot be a subgroup of  $H_*(E^n)$ , so *there cannot exist a retraction from  $E^n$  onto  $S^{n-1}$* .

Brouwer’s “fixed point theorem” states that *every continuous map  $f : E^n \rightarrow E^n$  has at least one fixed point*, which is a corollary of the previous statement. To see this, suppose that the contrary is true, i.e.,  $\forall x \in E^n$ ,  $f(x) \neq x$ , and let  $r(x)$  denote the point where the sphere  $S^{n-1}$  meets the half-line  $\overline{f(x), x}$  (Fig. II.1). It is easy to check that the map  $r : E^n \rightarrow S^{n-1}$  which is defined in this way is continuous, and clearly  $r|_{S^{n-1}} = \mathbb{1}_{S^{n-1}}$ . This would mean that  $r$  is a retraction, contradicting the statement above.

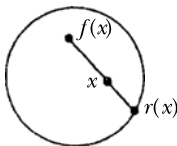


Fig. II.1.

## 2.8 Homotopy

Two continuous maps  $f_0$  and  $f_1 : X \rightarrow Y$  are called *homotopic* (in short,  $f_0 \simeq f_1$ ) if they can be “interpolated” by a continuous map  $f_\tau : X \rightarrow Y$ .<sup>4</sup>

One can prove the following fundamental property:

*Two homotopic maps give rise to the same homomorphism between homology groups:*

$$f_0 \simeq f_1 \implies \boxed{f_{0*} = f_{1*}} : H_*(X) \longrightarrow H_*(Y).$$

<sup>4</sup> We say that the family of continuous maps  $f_\tau : X \rightarrow Y$  ( $\tau \in [0, 1]$ ) “interpolates”  $f_0$  and  $f_1$ , if  $f(x, \tau) = f_\tau(x)$  defines a continuous map  $f : X \times [0, 1] \rightarrow Y$ .

## 2.9 Deformation-retractions

A retraction  $r : X \rightarrow A$  (cf. §2.6) is called a *deformation-retraction* if  $i \circ r \simeq \mathbb{1}_X$ . It follows from functoriality, together with homotopy invariance 2.8, that  $i_* r_* = \mathbb{1}_{H_*(X)}$ . By 2.6, we deduce that  $r_* : H_*(X) \rightarrow H_*(A)$  is an *isomorphism* (and  $i_*$  is the inverse isomorphism).

**Important special case.** The space  $X$  is said to be “*contractible*” if there is a deformation retraction onto one of its points. It is therefore “*homologically trivial*”, i.e., it has the homology of a point:

$$\begin{aligned} H_p(X) &= 0 \quad \text{if } p > 0, \\ H_0(X) &= \mathbb{Z}. \end{aligned}$$

**2.10 Examples.** *Euclidean space  $\mathbb{R}^n$  is contractible.* If  $r : \mathbb{R}^n \rightarrow P$  is the retraction from  $\mathbb{R}^n$  onto the origin  $P$ , the relation

$$f_\tau(x_1, x_2, \dots, x_n) = (\tau x_1, \tau x_2, \dots, \tau x_n)$$

defines a *homotopy* between

$$i \circ r = f_0 \quad \text{and} \quad \mathbb{1}_{\mathbb{R}^n} = f_1.$$

The same argument shows that *every star domain in  $\mathbb{R}^n$  is contractible*. In the same way, it is easy to see that a cylindrical surface deformation retracts onto its base, and so on.

## 2.11 Relative homology

Let  $(X, A)$  be a *pair*, in other words, a topological space  $X$  and a subspace  $A$ . Let  $i_* : C_*(A) \rightarrow C_*(X)$  be the homomorphism induced on chains by the inclusion map  $i : A \rightarrow X$ .<sup>5</sup> The quotient group

$$C_*(X, A) = C_*(X) / i_* C_*(A)$$

is called the group of *relative chains* of the pair  $(X, A)$ . The homomorphism  $\partial$  clearly induces a homomorphism

$$\partial : C_*(X, A) \longrightarrow C_*(X, A)$$

which satisfies the same properties as in §2.1, and as a result, one can define the *relative homology* group to be

$$H_*(X, A) = Z_*(X, A) / B_*(X, A),$$

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<sup>5</sup> This homomorphism is *injective*, and so one can identify  $C_*(A)$  with a subgroup of  $C_*(X)$ .

where

$$Z_*(X, A) = \text{Ker } \partial, \quad B_*(X, A) = \text{Im } \partial.$$

Observe that a “relative cycle” [an element of  $Z_*(X, A)$ ] is represented by a chain of  $X$  whose boundary is a chain in  $A$ . This boundary is a cycle in  $A$ , and one can easily check that its homology class in  $A$  only depends on the relative homology class [in  $(X, A)$ ] of the original relative cycle. We have thus defined a homomorphism

$$\partial_* : H_p(X, A) \longrightarrow H_{p-1}(A).$$

The *functorial* properties and the *homotopy* property also exist for relative homology: it suffices to replace the word “map” by “map of pairs” in the statements of §§1.5 and 2.8 [a “map of pairs”  $f : (X, A) \rightarrow (Y, B)$  consists of two pairs  $(X, A)$ ,  $(Y, B)$  and a map  $f : X \rightarrow Y$  such that  $f(A) \subset B$ ].

Moreover, the homomorphism  $\partial_*$  transforms “naturally”: for every map of pairs

$$f : (X, A) \longrightarrow (Y, B),$$

the diagram

$$\begin{array}{ccc} H_p(X, A) & \xrightarrow{f_*} & H_p(Y, B) \\ \downarrow \partial_* & & \downarrow \partial_* \\ H_{p-1}(A) & \xrightarrow{(f|A)_*} & H_{p-1}(B) \end{array}$$

commutes (5).

**2.12 Remark (on coefficients).** Instead of defining chains as being linear combinations of chain elements *with integer coefficients*, we could obviously have taken linear combinations with coefficients in  $\mathbb{R}$  or  $\mathbb{C}$  (for example). In this way, by taking coefficients in a *field*, we replace all the *groups* (groups of chains, homology groups, etc.) with *vector spaces*. This has the effect of “killing” the torsion. If the “ordinary” homology group (i.e., with coefficients in  $\mathbb{Z}$ ) has a finite number of generators, it defines, *modulo torsion* (§2.4), a *free group with a finite number of generators*, and the homology with coefficients in a field is simply the *vector space spanned by the same generators*.

## 3 Cohomology

### 3.1 Cochains

On every topological space  $X$ , there is the notion of a “*cochain*” which is *dual to the notion of a chain*, and this will be used to construct the “cohomology” of  $X$ . If  $X$  is a differentiable manifold, and if we are interested in its cohomology with coefficients in  $\mathbb{R}$  or  $\mathbb{C}$ , we can replace cochains by *differential forms*



which are defined globally on the manifold. The duality is given by integration (this is *de Rham's theorem*).

We will state some general properties of cochains, indicating the corresponding terminology for differential forms in brackets.

To every topological space  $X$  is associated an abelian group  $C^*(X)$  [or vector space  $\Omega(X)$ ] called the *group of cochains* of  $X$  [the space of differential forms on  $X$ ]. This group is graded by the “degree”  $p$  of the cochains:

$$C^*(X) = \bigoplus_p C^p(X) \quad [\Omega(X) = \bigoplus_p \Omega^p(X)],$$

and it is equipped with a “coboundary homomorphism”  $\delta$  (differential  $d$ ) which increases the degree by one, and satisfies

$$\delta\delta = 0 \quad [dd = 0].$$

For every continuous map  $f : X \rightarrow Y$ , there corresponds a homomorphism  $f : C^*(Y) \rightarrow C^*(X)$  which preserves the degree of cochains and commutes with  $\delta$ . The correspondence  $f \rightsquigarrow f^*$  is a *contravariant functor*.

**3.2 Definition (of cohomology).** In complete analogy with §2.2 we have:

- Cocycles:  $Z^*(X) = \text{Ker } \delta$  [closed differential forms:  $\Phi(X) = \text{Ker } d$ ];
- Coboundaries:  $B^*(X) = \text{Im } \delta$  [exact differential forms:  $d\Omega(X)$ ];
- Cohomology:  $H^*(X) = Z^*(X)/B^*(X)$  [=  $\Phi(X)/d\Omega(X)$ ].

All these groups are graded by the *degree* of cochains:

$$H^*(X) = \bigoplus_{p=0,1,2,\dots} H^p(X)$$

[if  $X$  is a manifold of dimension  $n$ , the direct sum stops at  $n$ ].

In dimension zero, we set  $B^0(X) = 0$ , so that

$$H^0(X) = Z^0(X) \quad [= \Phi^0(X)].$$

But  $\Phi^0(X)$  is the vector space of differential functions on  $X$  with zero differential. Any such function is constant on every connected component of the manifold  $X$ . In this way, a basis for the vector space  $H^0(X)$  is given by the *set of connected components* of  $X$ .

### 3.3

Every continuous map  $f : X \rightarrow Y$  induces a homomorphism  $f^* : H^*(Y) \rightarrow H^*(X)$  between cohomology groups which preserves the degree.

The correspondence  $f \rightsquigarrow f^*$  is a *contravariant functor*.

#### Corollaries.

- If  $f : X \approx Y$  is a homeomorphism,  $f^* : H^*(X) \approx H^*(Y)$  is an isomorphism;
- if  $X$  retracts onto  $A$ ,  $H^*(A)$  is a direct summand of  $H^*(X)$ .

### 3.4 Homotopy

Two homotopic maps induce the same homomorphism between cohomology groups:

$$f_0 \simeq f_1 \implies f_0^* = f_1^*.$$

**Corollary.** *A contractible space is cohomologically trivial, i.e., it has the same cohomology as a point:*

$$\begin{aligned} H^p(X) &= 0 \quad \text{if } p > 0; \\ H^0(X) &= \mathbb{C} \text{ or } \mathbb{R} \text{ for cohomology with coefficients in } \mathbb{C} \text{ or } \mathbb{R}. \end{aligned}$$

In particular, the fact that Euclidean space is cohomologically trivial goes by the name of the “*converse of Poincaré’s theorem*”: every closed differential form on Euclidean space is an exact differential.

### 3.5 Relative cohomology

Let  $(X, A)$  be a pair, and let us consider a *restriction homomorphism*<sup>6</sup> of cochains:

$$i^* : C^*(X) \longrightarrow C^*(A).$$

The *kernel* of this homomorphism

$$C^*(X, A) = \text{Ker } i^*,$$

is called the group of *relative cochains* of the pair  $(X, A)$  [in the case of manifolds, this kernel will be denoted  $\Omega(X, A)$ : it is the vector space of *differential forms of  $X$  whose restriction to the submanifold  $A$  is zero*].

This group is obviously equipped with a “coboundary” homomorphism which satisfies the same properties as in §3.1, so that we can define its cohomology:

$$\begin{aligned} H^*(X, A) &= Z^*(X, A)/B^*(X, A) \quad [= \Phi(X, A)/d\Omega(X, A)], \\ Z^*(X, A) &= \text{Ker } \delta, \quad B^*(X, A) = \text{Im } \delta. \end{aligned}$$

This cohomology is itself equipped with a “*coboundary homomorphism*”

$$\delta^* : H^p(A) \longrightarrow H^{p+1}(X, A)$$

defined as follows: let  $\varphi$  be a cocycle of  $A$ , which belongs to the cohomology class  $h^p \in H^p(A)$ , and let  $\psi$  be a cochain of  $X$  such that  $i^*\psi = \varphi$  (if  $A$  is a closed differentiable submanifold of  $X$ , such a differential form  $\psi$  always exists by §I.6.4; in the general case, one shows that one can choose  $\varphi$  in  $h^p$  in such

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<sup>6</sup> Recall (§I.6.4) that if  $A$  is a *closed* differentiable submanifold of  $X$ , the *restriction* homomorphism of differential forms is *surjective*.

a way that  $\psi$  exists). Then  $\delta\psi$  defines a cocycle on  $X$ , whose restriction to  $A$  is zero:

$$\delta\psi|_A = i^*\delta\psi = \delta i^*\psi = \delta\varphi = 0,$$

and is therefore a relative cocycle on  $(X, A)$ . One checks that its relative cohomology class, denoted  $\delta^*h^p \in H^{p+1}(X, A)$ , only depends on the original class  $h^p$ .

All the statements of §§3.3, 3.4 can be repeated in the case of relative cohomology by replacing the word “map” by “map of pairs” (6).

**3.6 Remark.** We can say something more precise about the properties of the differential form  $d\psi$  of §3.5, when  $A$  is a closed submanifold of  $X$ . In §I.6.4 we defined  $\psi$  to be equal to  $\pi \cdot \mu^*\varphi$  in a tubular neighbourhood of  $A$ , where  $\mu$  was the retraction of this tubular neighbourhood onto  $A$ , and  $\pi$  was a  $\mathcal{C}^\infty$  function which was equal to 1 in a neighbourhood of  $A$ . Therefore, in this latter neighbourhood,

$$d\psi = d\mu^*\varphi = \mu^*d\varphi = 0,$$

so that *the support of  $d\psi$  does not meet  $A$* , which is a stronger property than the property  $d\psi|_A = 0$  which was established in §3.5.

## 4 De Rham duality

### 4.1

Let us make the definition that  $C^*(X)$  is the  $\mathbb{Z}$ -dual of  $C_*(X)$  (mentioned in §3.1) more precise. A *cochain* (with coefficients in  $\mathbb{Z}$ ) is a *linear* map  $\varphi : C_*(X) \rightarrow \mathbb{Z}$ . To every chain  $\gamma$ ,  $\varphi$  associates an integer denoted  $\langle \varphi | \gamma \rangle$ . We will say that the cochain  $\varphi$  is of *degree  $p$*  if  $\langle \varphi | \gamma \rangle = 0$  for every chain of dimension different from  $p$ . The coboundary homomorphism  $\delta$  is *defined* by

$$\langle \delta\varphi | \gamma \rangle = \langle \varphi | \partial\gamma \rangle.$$

This relation implies that  $\langle \varphi | \gamma \rangle$  is zero whenever  $\gamma$  is a cycle and  $\varphi$  is a coboundary, or  $\gamma$  is a boundary and  $\varphi$  a cocycle. As a result,  $\langle \varphi | \gamma \rangle$  only depends on the homology class of  $\gamma$  and on the cohomology class of  $\varphi$ , and defines a *bilinear form*

$$\langle | \rangle : H^p(X) \otimes H_p(X) \longrightarrow \mathbb{Z}.$$

We can then pose the following algebraic problem: since  $C^*(X)$  is the  $\mathbb{Z}$ -dual of  $C_*(X)$  by  $\langle | \rangle$ , can we deduce that  $H^*(X)$  is the  $\mathbb{Z}$ -dual of  $H_*(X)$  by  $\langle | \rangle$ ?

The answer is *yes, modulo torsion*. If, instead of taking coefficients in  $\mathbb{Z}$ , we took them in a *field*, then we avoid any torsion problems and  $H^*(X)$  is *the vector space which is dual to  $H_*(X)$* .

## 4.2

The duality of §4.1 becomes particularly interesting in the light of *de Rham's theorem*. This states that we can replace  $C^*(X)$  by  $\Omega(X)$ ,  $\delta$  by  $d$ , and  $\langle \mid \rangle$  by integration in the definition of cohomology  $H^*(X)$  with coefficients in  $\mathbb{R}$  or  $\mathbb{C}$  (the formula  $\langle \delta\varphi \mid \gamma \rangle = \langle \varphi \mid \partial\gamma \rangle$  then becomes *Stokes' formula*).

We can therefore state the

**Theorem (De Rham duality).** *The bilinear form given by “integration” identifies the cohomology of differential forms  $H^p(X)$  with the space of all linear functions on  $H_p(X)$ .*

**Corollary.** *If  $\varphi$  is a closed differential form whose integral vanishes along any cycle, then  $\varphi$  is an exact differential form. [As a linear function on  $H_p(X)$ ,  $\varphi$  is zero, and therefore de Rham's duality theorem asserts that its cohomology class is zero.]*

## 4.3

The considerations of §4.1 can obviously be extended to *relative* homology and cohomology [the relative *cochains* of  $(X, A)$  are those whose restriction to  $A$  is zero, i.e., the cochains  $\varphi$  such that  $\langle \varphi \mid \gamma \rangle = 0$ ,  $\forall \gamma \in i_*C_*(A)$ , which shows that  $C^*(X, A)$  is indeed the dual of  $C_*(X, A) = C_*(X)/i_*C_*(A)$ ].

De Rham's theorem can also be extended so that *the relative cohomology  $H^p(X, A)$  of differential forms is identified, via the bilinear form given by “integration”, with the space of all linear functions on  $H_p(X, A)$ .*

4.4 Transposition of  $\partial_*$  and  $\delta^*$ 

We immediately deduce from the transposition formula  $\langle \delta\varphi \mid \gamma \rangle = \langle \varphi \mid \partial\gamma \rangle$  (Stokes' formula), the following transposition formula

$$\langle \delta^*k \mid h \rangle = \langle k \mid \partial_*h \rangle,$$

where  $\partial_*$  and  $\delta^*$  are the homomorphisms defined in §§2.11 and 3.5:

$$\begin{aligned} h &\in H_p(X, A) \xrightarrow{\partial_*} H_{p-1}(A); \\ H^p(X, A) &\xleftarrow{\delta^*} H^{p-1}(A) \ni k. \end{aligned}$$

## 5 Families of supports. Poincaré's isomorphism and duality

### 5.1

We call a *family of supports* in a topological space<sup>7</sup>  $X$ , a set  $\Phi$  of closed subsets of  $X$  satisfying the following three properties:

$$(\Phi_1) \quad A, B \in \Phi \implies A \cup B \in \Phi;$$

$$(\Phi_2) \quad \left. \begin{array}{l} A \in \Phi \\ B \text{ closed } \subset A \end{array} \right\} \implies B \in \Phi;$$

$$(\Phi_3)^8 \quad \text{every } A \in \Phi \text{ has a neighbourhood belonging to the family } \Phi.$$

**Examples.** The family  $F$  of all closed sets, and the family  $c$  of all compact sets, are both families of supports.

If  $X$  is a *subspace* of the space  $Y$ , and  $\Psi$  is a family of supports of  $Y$ , one can check that the families

$$\begin{aligned} \Psi|X &= \{A \subset X : A \in \Psi\};^9 \\ \Psi \cap X &= \{A = B \cap X : B \in \Psi\} \end{aligned}$$

are families of supports on  $X$ .

In particular,  $c_Y|X = c_X$ ,  $F_Y \cap X = F_X$ .

### 5.2 Cohomology with supports in $\Phi$

We will not give the definition of the *support of a cochain*, which is rather delicate. Let us only recall, in the case of manifolds, that the *support of a differential form*  $\varphi$  (abbreviated to  $\text{supp } \varphi$ ) is the smallest closed set where  $\varphi(x) \neq 0$ .

The supports of cochains, as for differential forms, are closed sets which satisfy the following properties:

$$(\text{supp}^1) \quad \text{supp}(\varphi + \psi) \subset \text{supp } \varphi \cup \text{supp } \psi,$$

$$(\text{supp}^2) \quad \text{supp } \delta\varphi \subset \text{supp } \varphi;$$

$$(\text{supp}^3) \quad \forall f : X \longrightarrow Y \text{ and } \forall \psi \in C^*(Y), \quad \text{supp } f^*\psi \subset f^{-1}(\text{supp } \psi).$$

<sup>7</sup> Throughout this section, “topological spaces” will be assumed to be *locally compact and paracompact* (3).

<sup>8</sup> Condition  $(\Phi_3)$  does not play an essential role, and in any case, will only be used in §§5.6 and 6.5.

<sup>9</sup> In order for this family to satisfy condition  $(\Phi_3)$ , the subspace  $X$  must be assumed to be “*locally closed*” (i.e., the intersection of an open and a closed subset of  $Y$ ).

Let  $\Phi$  be a family of supports on  $X$ . Let  $C^*(\Phi X)$  denote the *group of cochains with supports in the family  $\Phi$* :

$$C^*(\Phi X) = \{\varphi \in C^*(X) : \text{supp } \varphi \in \Phi\}.$$

This is a *group*, because of  $(\Phi_1)$  ( $\text{supp}^1$ ), and it is *stable under the coboundary map  $\delta$* , because of  $(\Phi_2)$  ( $\text{supp}^2$ ). We can therefore define its *cohomology*,

$$H^*(\Phi X) = \text{cohomology of } C^*(\Phi X).$$

A continuous map

$$f : \Phi X \longrightarrow \Psi Y$$

of topological spaces equipped with families of supports will be called “*cohomologically admissible*” if  $f^{-1}(\Psi) \subset \Phi$ . It follows from  $(\text{supp}^3)$  that any such map induces a homomorphism

$$f^* : C^*(\Psi Y) \longrightarrow C^*(\Phi X),$$

and therefore a homomorphism

$$f^* : H^*(\Psi Y) \longrightarrow H^*(\Phi X),$$

and the correspondence  $f \rightsquigarrow f^*$  is a *contravariant functor* (defined on the category of admissible maps). In particular, if we take the family of all *closed* sets to be the family of supports, all continuous maps are cohomologically admissible (since the inverse image of a closed set under a continuous map is closed). That is why *cohomology with closed supports*  $H^*({}^F X)$ , which is simply “ordinary cohomology”  $H^*(X)$ , has a privileged status.

### 5.3 Homology with supports in $\Phi$

We call the *support of a chain element*  $[\sigma]$  (abbreviated to  $\text{supp}[\sigma]$ ) the image of the map  $\sigma$  in  $X$ . It is a *compact* subset of  $X$  (the image of the compact set  $\Delta$  under the continuous map  $\sigma$ ).

Let us modify the definition of chains in §1.2 by declaring that the formal linear combination

$$\gamma = \sum_i n_i [\sigma_i]$$

will no longer necessarily be finite, but *locally finite*: in other words, every point  $x$  has a neighbourhood  $U_x$  which only meets a finite number of the supports of the elements  $[\sigma_i]$ . It is easy to deduce that the set

$$\text{supp } \gamma = \bigcup_i \text{supp}[\sigma_i]$$

is *closed*, which is called the “support of the chain  $\gamma$ ”.<sup>10</sup>

These closed sets satisfy the following properties:

$$\begin{aligned} (\text{supp}_1) \quad & \text{supp}(\gamma + \gamma') = \text{supp } \gamma \cup \text{supp } \gamma', \\ (\text{supp}_2) \quad & \text{supp } \partial\gamma \subset \text{supp } \gamma. \end{aligned}$$

Let us denote by  $C_*(\Phi X)$  the *group of chains with supports in the family  $\Phi$* :

$$C_*(\Phi X) = \{\gamma \in C_*(X) : \text{supp } \gamma \in \Phi\}.$$

This is indeed a *group*, because of  $(\Phi_1)$  (supp<sub>1</sub>), and it is stable under the *boundary map*  $\partial$ , because of  $(\Phi_2)$  (supp<sub>2</sub>). We can therefore define its *homology*,

$$H_*(\Phi X) = \text{homology of } C_*(\Phi X).$$

A continuous map

$$f : \Phi X \longrightarrow \Psi Y$$

of topological spaces equipped with families of supports will be called “*homologically admissible*” if:

- ( $f_1$ )  $f$  is “ $\Phi$ -proper”, i.e.:  
 $\forall$  compact sets  $K \subset Y$  and  $\forall A \in \Phi$ ,  $f^{-1}(K) \cap A$  is compact.
- ( $f_2$ )  $f(\Phi) \subset \Psi$

It is easy to see that condition ( $f_1$ ) is equivalent to the following:

- ( $f'_1$ ) For every locally finite family  $\{A_i \subset X\}$  such that  $\bigcup_i A_i \in \Phi$ , the family  $\{f(A_i)\}$  is locally finite in  $Y$ .

This condition therefore allows us to define the image  $f_*\gamma$  of a chain  $\gamma$  with support in  $\Phi$ , and this image will have its support in  $\Psi$  because of condition ( $f_2$ ) along with the following obvious property:

$$(\text{supp}_3) \quad \forall f : X \longrightarrow Y \quad \text{and} \quad \forall \gamma \in C_*(X), \quad \text{supp } f_*\gamma = f(\text{supp } \gamma).$$

Any such admissible map therefore induces a homomorphism

$$f_* : H_*(\Psi X) \longrightarrow H_*(\Psi Y)$$

and the correspondence  $f \rightsquigarrow f_*$  is a *covariant functor* on the category of admissible maps.

In particular, if we take the family of *compact sets* to be the family of supports, every continuous map is homologically admissible, since:

- 1° every closed subset of a compact set is compact,
- 2° the image of a compact set under a continuous map is compact.

This homology with compact supports  $H_*(cX)$  coincides incidentally with “ordinary” homology  $H_*(X)$  (because every locally finite family of subsets of a compact set is *finite*).

<sup>10</sup> With the definition of chains given in §1.2 we run into the difficulty that the set  $\bigcup \text{supp}[\sigma_i]$  will in general *depend* on the choice of representative for  $\gamma$ . This difficulty does not occur for the more modern definitions of chains.

### 5.4 Poincaré's isomorphism<sup>11</sup>

In an *oriented* manifold  $X$  of dimension  $n$ , there exists, *for every family of supports*  $\Phi$ , a *canonical isomorphism*

$$\boxed{H_p(\Phi X) \approx H^{n-p}(\Phi X)} \quad ^{12}$$

*between homology and cohomology with the same coefficients.*

We will not give the construction of this isomorphism here, since its properties will appear naturally due to the notion of a “*current*”, which will be introduced in section 6. In much the same way as “distributions” are a generalization of functions, “currents” are a generalization of differential forms, and happen at the same time to be a generalization of chains.

### 5.5 Intersection index. Poincaré duality

Let us consider the bilinear form

$$\langle \mid \rangle : H^p(X) \otimes H_p(X) \longrightarrow \mathbb{Z}$$

defined in §1. Poincaré's isomorphism maps the cohomology group  $H^p(X)$  (with closed supports) to the homology group  $H_{n-p}(F X)$ , and maps the bilinear form above into the bilinear form

$$\langle \mid \rangle : H_{n-p}(F X) \otimes H_p(X) \longrightarrow \mathbb{Z}$$

which can be interpreted as the “*intersection index*” of cycles. In section 7 we will see the precise geometric meaning of this intersection index.

De Rham's duality theorem becomes “*Poincaré duality*”:

*The homology group with closed supports  $H_{n-p}(F X)$  is, modulo torsion, the  $\mathbb{Z}$ -dual to  $H_p(X)$  for the “intersection” bilinear form.*

**Special case.** If the manifold  $X$  is compact, the family of closed sets coincides with the family of compact sets, and Poincaré duality becomes a duality between the “ordinary” homology groups  $H_p(X)$  and  $H_{n-p}(X)$ .

**Corollary.** *For every compact connected orientable manifold  $X$  of dimension  $n$ ,  $H_n(X) \approx \mathbb{Z}$ .*

<sup>11</sup> Many authors call this isomorphism “Poincaré duality”.

<sup>12</sup> **Corollary.** *All homology and cohomology groups vanish in dimension  $> n$ .*



## 5.6 Leray coboundary

Let  $X$  be a differentiable manifold, and let  $S$  be a *closed* submanifold of codimension  $r$ . We have already noted (remark 3.6) that in the construction of §3.5 which was used to define the homomorphism  $\delta^*$ , we associated to every closed differential form  $\varphi$  of  $S$ , a form  $d\psi$  of  $X$  whose support does not meet  $S$ . On the other hand, the support of  $\psi$  can be taken to be an arbitrarily small neighbourhood of  $\psi$  (by taking the tubular neighbourhood of §I.6.4 to be sufficiently small). Therefore, if  $\text{supp } \varphi \in \Phi|S$ , where  $\Phi$  is a family of supports of  $X$ , we can use axiom  $(\Phi_3)$  for families of supports to ensure that  $\text{supp } \psi \in \Phi$ , and in this way,  $\text{supp } d\psi \in \Phi|X - S$ .

From this, we deduce a homomorphism (7)

$$\delta^* : H^p(\Phi|S) \longrightarrow H^{p+1}(\Phi|X - S).$$

If the manifolds  $X$  and  $S$  are oriented, Poincaré's isomorphism applied to  $\delta^*$  gives a homomorphism between homology groups

$$\delta_* : H_{q-r}(\Phi|S) \longrightarrow H_{q-1}(\Phi|X - S) \quad (q = n - p)$$

which is called the “*Leray coboundary*”. It can be interpreted geometrically in the following way:

the retraction  $\mu : V \rightarrow S$  makes the tubular neighbourhood  $V$  into a “fibre bundle” (cf. chap. IV, §2) with base  $S$  whose fibres are spheres of dimension  $r$ . The boundary  $\bar{V}$  of  $V$  is therefore fibred by  $(r - 1)$ -spheres. The Leray coboundary map “inflates”  $(q - r)$ -cycles of  $S$  inside  $X - S$  by fibring them by these  $(r - 1)$ -spheres.

**Special case.**  $\Phi = c$  (the family of compact sets of  $X$ ).

$\Phi|S$  and  $\Phi|X - S$  are therefore simply the families of compact sets of  $S$  and  $X - S$ , and the Leray coboundary can be written

$$\delta_* : H_{q-r}(S) \longrightarrow H_{q-1}(X - S).$$

## 6 Currents

Throughout this section,  $X$  is an *oriented* differentiable manifold of dimension  $n$ .

### 6.1

A *current*  $j$  on  $X$  is a continuous linear form<sup>13</sup> on  $\Omega^c(X)$ . In particular:

<sup>13</sup> The word “continuous” should be understood in the following way: if  $\varphi_i$  ( $i = 1, 2, \dots$ ) are  $\mathcal{C}^\infty$  differential forms whose supports are all contained in the same compact set (equipped with a chart) and whose coefficients, calculated in this chart, tend uniformly to zero, along with all their derivatives, as  $i \rightarrow \infty$ , then  $j[\varphi_i] \rightarrow 0$  with  $i$ .

- Every chain  $\gamma$  defines a current

$$\gamma[\varphi] = \langle \varphi \mid \gamma \rangle = \int_{\gamma} \varphi;$$

- every differential form  $\omega$  defines a current

$$\omega[\varphi] = \int_X \omega \wedge \varphi.$$

If  $j[\varphi]$  only differs from zero on the forms  $\varphi$  of degree  $p$ , we will say that the *dimension* of the current  $j$  is  $p$ , or that its *degree* is  $n - p$ . We will denote by  $J_p(X) = J^{n-p}(X)$  the vector space of currents of dimension  $p$  (of degree  $n - p$ ).

## 6.2 The support of a current

We will say that the current  $j$  is *zero on an open set*  $D$  if  $j[\varphi] = 0$  for every differential form  $\varphi$  whose (compact) support is contained in  $D$ .

The following theorem shows that the notion of a current is *local*.

**Theorem.** *If  $j = 0$  in a neighbourhood  $U_x$  of every point  $x \in D$ , then  $j = 0$  on  $D$ .*

To see this, let  $\{\pi_x\}_{x \in D}$  be a locally finite partition of unity which is subordinate to the covering  $\{U_x\}_{x \in D}$ . For every form  $\varphi$  supported on  $D$ ,

$$j[\varphi] = j \left[ \sum_x \pi_x \varphi \right] = \sum_x j[\pi_x \varphi] = 0$$

(since the support of  $\varphi$  is compact, the sum only has a *finite* number of non-zero terms, which then enables us to use the linearity of  $j$ ).

**Definition.** The complement of the largest open set on which  $j = 0$  is called the *support* of  $j$  (the previous theorem gives a meaning to the notion of the “largest open set where  $j = 0$ ”).

If  $j$  is a form (resp. chain), this definition coincides with the notion of the support of a form (resp. chain).

**Remark.** Clearly, the symbol  $j[\varphi]$  can even be defined for forms  $\varphi$  with non-compact support, provided that  $\text{supp } j \cap \text{supp } \varphi$  is compact. More generally, we can even define the “convergence” of the symbol  $j[\varphi]$ , in an analogous way to the convergence of an integral (§I.7.6).

**Currents with supports in a family  $\Phi$ .** We will let

$$J_p(\Phi X) = J^{n-p}(\Phi X)$$

denote the vector space of currents of dimension  $p$  with supports in a family  $\Phi$ . Let  $f : \Phi X \rightarrow \Psi Y$  be a differentiable map, which is *homologically admissible* (§5.3). We will show that it induces a linear map  $f_* : J_p(\Phi X) \rightarrow J_p(\Psi Y)$ , which is the transpose of the linear map  $f^*$  on differential forms (the correspondence  $f \rightsquigarrow f_*$  will therefore be a covariant functor).

Since  $f$  is  $\Phi$ -proper (property  $(f_1)$  of §5.3), the closed set

$$\text{supp } j \cap \text{supp } f^* \psi \subset \text{supp } j \cap f^{-1}(\text{supp } \psi)$$

is *compact* for every current  $j$  with supports in  $\Phi$  and every form  $\psi$  with compact support. We can therefore define the current  $f_* j$  by the formula  $(f_* j)[\psi] = j[f^* \psi]$ . On the other hand, one can easily check that

$$\text{supp } f_* j \subset f(\text{supp } j),$$

so that, by property  $(f_2)$  of §5.3,  $\text{supp } f_* j \in \Psi$ .

### 6.3 The boundary and differential of a current

The current  $\partial j$  is defined by

$$\partial j[\varphi] = j[d\varphi].$$

By Stokes' formula, this definition coincides with the usual definition of the boundary when the current  $j$  is equal to a chain.

The current  $dj$  is defined by

$$dj = w \partial j,$$

where  $w$  is the linear operator which multiplies a current of degree  $p$  by  $(-)^p$ .

Let us check that this definition coincides with the usual definition for the differential when the current  $j$  is equal to a form  $\omega$ :

$$\begin{aligned} d\omega[\varphi] &= \int_X d\omega \wedge \varphi = \int_X d(\omega \wedge \varphi) - w\omega \wedge d\varphi \\ &= - \int_X w\omega \wedge d\varphi = -w\omega[\varphi] = -\partial w\omega[\varphi] = w\partial\omega[\varphi]. \end{aligned}$$

### 6.4 Homology of currents

This is defined in the obvious way from the operator  $\partial$  or  $d$  (which amounts to the same thing), and we will write it

$$H_p J(\Phi X) = H^{n-p} J(\Phi X)$$

for currents of dimension  $p$  (of degree  $n - p$ ) with supports in the family  $\Phi$ .

## 6.5 Homologies between currents and differential forms

We saw in §6.1 that every differential form defines a current: we therefore have a canonical map

$$\Omega(\Phi X) \longrightarrow J(\Phi X).$$

**Theorem.** *This canonical map induces an isomorphism of cohomology groups:*

$$H^p(\Phi X) \approx H^p J(\Phi X).$$

The idea of the proof is to construct a “*regularization operator*”  $R_\varepsilon$ , which commutes with  $\partial$ , and maps every current to a  $\mathcal{C}^\infty$  form (by “smoothing” it by a  $\mathcal{C}^\infty$  function, in the same way that one regularizes a distribution to produce a function). We can take the parameter  $\varepsilon$  to be small enough that the operator  $R_\varepsilon$  modifies the currents by as small an amount as we desire. In particular, the support of  $R_\varepsilon j$  can be taken in an arbitrarily small neighbourhood of the support of  $j$ , and can therefore be made to belong to the same family of supports [by axiom  $(\Phi_3)$  for families of supports].

## 6.6 Homologies between currents and chains

Since every chain defines a current (§6.1), we have a canonical map

$$C_*(\Phi X) \longrightarrow J(\Phi X).$$

**Theorem.** *This canonical map induces an isomorphism of homology groups:*

$$H_p(\Phi X) \approx H_p J(\Phi X).$$

*By composing this isomorphism with the isomorphism of §6.5, we obtain Poincaré’s isomorphism (§5.4).*

## 6.7 A useful example: the current defined by a closed oriented submanifold

A *closed oriented submanifold*  $S$  of dimension  $p$  (with or without boundary) obviously defines a current of dimension  $p$ :

$$S[\varphi] = \int_S \varphi|_S$$

[we required that  $S$  be closed to ensure that  $\text{supp}(\varphi|_S)$  is compact if  $\text{supp } \varphi$  is].

The *support* of such a current obviously coincides with the set  $S$ .

By Stokes’ formula as given in §I.7.7, its *boundary* is the current defined by the oriented submanifold  $\partial S$ . In particular, if the submanifold  $S$  has no boundary, it defines a “*closed*” current, and therefore a *homology class* on the space  $X$ . However, the converse, that every homology class of  $X$  can be represented by a submanifold, is in general *false* (it depends on the dimension). This difficult question forms part of the theory of “cobordism” due to Thom [35].

## 7 Intersection indices

### 7.1

In §5.5 we defined the *intersection index*<sup>14</sup>  $\langle \gamma' \mid \gamma \rangle$  of two cycles (or rather their homology classes). More generally, we will try to define, at least in certain cases, the intersection index  $\langle k \mid j \rangle$  of two *currents*  $j$  and  $k$ .

If  $k$  is equal to a differential form, we will set  $\langle k \mid j \rangle = j[k]$ : this is nothing other than the integral  $\int_j k$  if  $j$  is equal to a chain, whereas if  $j$  is also equal to a differential form,

$$\langle k \mid j \rangle = j[k] = \int_X j \wedge k.$$

Now let  $j$  and  $k$  be two arbitrary currents. We will say that the symbol  $\langle k \mid j \rangle$  has a meaning if, whatever the choice of regularizations  $R_\varepsilon$  and  $R'_\varepsilon$  (cf. §6.5),  $\langle R'_\varepsilon k \mid R_\varepsilon j \rangle$  tends to a limit when  $\varepsilon \rightarrow 0$ , and we define  $\langle k \mid j \rangle$  to be equal to this limit.

If  $\text{supp } j \cap \text{supp } k$  is compact, and if one of the symbols  $\langle k \mid \partial j \rangle$ ,  $\langle dk \mid j \rangle$  has a meaning, then the other also has a meaning and they are equal:

$$\langle R'k \mid R \partial j \rangle = \langle R'k \mid \partial Rj \rangle = \langle dR'k \mid Rj \rangle = \langle R'dk \mid Rj \rangle.$$

In particular,  $\langle k \mid j \rangle = 0$  every time that one of the currents is closed and the other is homologous to 0. Therefore if  $j$  and  $k$  are two closed currents ( $\partial j = \partial k = 0$ ),  $\langle k \mid j \rangle$  *only depends on the homology classes of  $j$  and  $k$* .

### 7.2

We say that the current  $j$  is  $\mathcal{C}^\infty$  at a point  $x$  if it is equal, in a neighbourhood  $U_x$  of  $x$ , to a  $\mathcal{C}^\infty$  differential form  $\omega$  (i.e., if  $j - \omega = 0$  in  $U_x$ , in the sense of §6.2). The set of points where  $j$  is  $\mathcal{C}^\infty$  is clearly an open set. Its complement is called the “*singular support*” of the current  $j$ . If  $\text{supp } j \cap \text{supp } k$  is compact, and if the singular supports of  $j$  and  $k$  do not meet each other, the symbol  $\langle k \mid j \rangle$  clearly has a meaning, since this locally reduces to the case where one of the two currents is a  $\mathcal{C}^\infty$  form. In fact, it is possible to prove a stronger theorem (due to de Rham), using certain properties of regularizations:

**Theorem.** *The symbol  $\langle k \mid j \rangle$  has a meaning if  $\text{supp } j \cap \text{supp } k$  is compact, and if the singular support of each current does not meet the singular support of the boundary of the other current.*

<sup>14</sup> Also called the “*Kronecker index*”.

## 7.3

Suppose that the currents  $j$  and  $k$  are defined by *closed oriented submanifolds with or without boundaries*,  $S_1$  and  $S_2$  (cf. §6.7). By observing that the support of a  $\mathcal{C}^\infty$  form cannot be of measure zero, one sees that the singular supports of these two currents are simply the sets  $S_1$  and  $S_2$  (unless the dimension of one of these submanifolds is  $n$ ).

The preceding theorem can be stated as follows: *in order for the symbol  $\langle S_2 | S_1 \rangle$  to have a meaning, it is enough for  $S_1 \cap S_2$  to be compact and*

$$\partial S_1 \cap S_2 = S_1 \cap \partial S_2 = \emptyset.$$

We shall give a simple method for calculating this symbol in the case where the submanifolds  $S_1$  and  $S_2$  (of dimension  $p$  and  $n-p$ ) *intersect transversally* (10).

Their intersection is therefore a set of isolated points  $x^{(1)}, x^{(2)}, \dots, x^{(N)}$ , which is finite because  $S_1 \cap S_2$  is compact. Let us say that the orientations of  $S_1$  and  $S_2$  *match* at the point  $x^{(i)}$  if the system of tangent vectors at the point  $x^{(i)}$ :

$$(V_1, V_2, \dots, V_p, W_1, W_2, \dots, W_{n-p}),$$

obtained by concatenating a system of indicators  $(V_1, V_2, \dots, V_p)$  for  $S_1$ , and a system of indicators  $(W_1, W_2, \dots, W_{n-p})$  for  $S_2$ , is a system of indicators for the orientation on  $X$ .

**Theorem.**  $\langle S_2 | S_1 \rangle = N^+ - N^-$ , where  $N^+$  (resp.  $N^-$ ) is the number of points  $x^{(i)}$  where the orientations of  $S_1$  and  $S_2$  match (resp. do not match).

It clearly suffices to prove it in a *neighbourhood* of a point  $x^{(i)}$ . In other words, it suffices to check that if

$$\begin{array}{llll} X = \mathbb{R}^n = \mathbb{R}^p \times \mathbb{R}^{n-p}, & \text{oriented by the vectors} & \left( \frac{\partial}{\partial x_1}, \frac{\partial}{\partial x_2}, \dots, \frac{\partial}{\partial x_n} \right); \\ S_1 = \mathbb{R}^p, & \text{'' '' ''} & \left( \frac{\partial}{\partial x_1}, \dots, \frac{\partial}{\partial x_p} \right); \\ S_2 = \mathbb{R}^{n-p}, & \text{'' '' ''} & \left( \frac{\partial}{\partial x_{p+1}}, \dots, \frac{\partial}{\partial x_n} \right), \end{array}$$

then  $\langle S_2 | S_1 \rangle = 1$ . This can be checked directly, by using a regularization construction (which we have not given here).

**7.4 Example.** Let  $X$  be the  $n$ -dimensional complex sphere (of real dimension  $2n$ ), and let  $S$  be the compact submanifold of dimension  $n$  defined by the real part of this sphere. We will give  $X$  the canonical orientation coming from its complex analytic structure, and give  $S$  an arbitrary orientation. Then the intersection index of  $S$  with itself is given by  $\hat{E}$ . Cartan's formula [2]:

$$\langle S | S \rangle = \begin{cases} 0 & \text{if } n \text{ is odd;} \\ 2(-)^{n/2} & \text{if } n \text{ is even.} \end{cases}$$

*Proof.*  $S$  defines a *closed* current since it has no boundary. By §7.1, the intersection index is not changed if we replace the submanifold  $S$  by a submanifold  $S'$  which defines a homologous current:

$$\langle S' \mid S \rangle = \langle S \mid S \rangle.$$

We can then ensure that  $S'$  intersects  $S$  transversally, in order to be able to use §7.3.

It is convenient to observe that the complex sphere  $X$  is homeomorphic to the “*tangent bundle*” of the real sphere  $S$ , i.e., the space of all tangent vectors at every point of  $S$ . If

$$z_k = x_k + iy_k \quad (k = 0, 1, 2, \dots, n)$$

are the coordinates of  $\mathbb{C}^{n+1}$ , the equation of  $X$  in  $\mathbb{C}^{n+1}$  can be written:

$$z \cdot z = \sum_{k=0}^n z_k^2 = 1, \quad \text{i.e.,} \quad \begin{cases} x \cdot x - y \cdot y = 1, \\ x \cdot y = 0. \end{cases}$$

Now map any point  $z \in X$  to the point with coordinates  $x_k/\sqrt{1+y \cdot y}$  in the real Euclidean space  $\mathbb{R}^{n+1}$ , and to the vector with components  $y_k$  which is based at this point. This clearly defines a homeomorphism from  $X$  onto the space of tangent vectors of the unit sphere  $S \subset \mathbb{R}^{n+1}$ . In this way, every vector field which is tangent to the unit sphere represents a submanifold  $S' \subset X$ , which is clearly homologous<sup>15</sup> to the original submanifold  $S$  (represented by the zero vector field). Thus, we are reduced, by §7.3, to study the points where such a vector field vanishes. Let us take, for example, the vector field  $y(x)$  defined by the coordinates (in the ambient Euclidean space)

$$\begin{aligned} y_k(x) &= \lambda x_k x_0 \quad (k = 1, 2, \dots, n), \\ y_0(x) &= \lambda (x_0^2 - 1), \end{aligned}$$

where  $\lambda$  is an arbitrary positive parameter (Fig. II.2).

This vector field is indeed tangent to the sphere, since

$$x \cdot y(x) = \lambda x_0 (x \cdot x - 1) = 0.$$

Furthermore, it only vanishes at the two poles  $P^\pm$  of the sphere

$$P^\pm : x_1 = x_2 = \dots = x_n = 0, \quad x_0 = \pm 1.$$

Near these two poles, the coordinates  $x_1, x_2, \dots, x_n$  provide a local chart of the sphere, and the field  $y(x)$  is approximately

$$y_k(x) \simeq \pm \lambda x_k \quad \text{near the point } P^\pm.$$

<sup>15</sup> In fact, every vector field on a manifold is obviously homotopic to the zero vector field: it suffices to multiply it by a scalar  $\lambda$  which tends to zero.

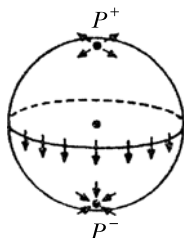


Fig. II.2.

As a result, if the manifold  $S$  is described near  $P^+$  by the system of indicators

$$\frac{\partial}{\partial x_1}, \frac{\partial}{\partial x_2}, \dots, \frac{\partial}{\partial x_n},$$

the manifold  $S'$  will be described by the system of indicators

$$\frac{\partial}{\partial x_1} + \lambda \frac{\partial}{\partial y_1}, \frac{\partial}{\partial x_2} + \lambda \frac{\partial}{\partial y_2}, \dots, \frac{\partial}{\partial x_n} + \lambda \frac{\partial}{\partial y_n}$$

(which tends to the first system when  $\lambda \rightarrow 0$ , which shows that we have oriented  $S'$  in the correct way) (cf. Fig. II.3).

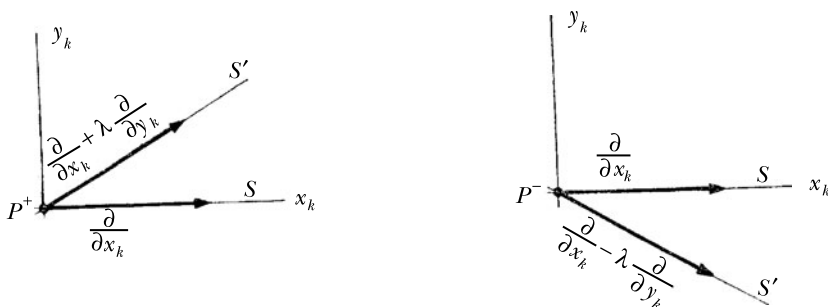


Fig. II.3.

We then see, by recalling that the canonical orientation of a complex manifold is given by the system of indicators

$$\frac{\partial}{\partial x_1}, \frac{\partial}{\partial y_1}, \frac{\partial}{\partial x_2}, \frac{\partial}{\partial y_2}, \dots, \frac{\partial}{\partial x_n}, \frac{\partial}{\partial y_n},$$

that the orientations of  $S$  and  $S'$  at the point  $P^+$  match, up to the sign  $(-)^{n(n-1)/2}$ . A similar argument at the point  $P^-$  gives the same result multiplied by  $(-)^n$  [because of the minus sign in the equation  $y_k(x) = -\lambda x_k$ ].



We therefore obtain the result stated earlier:

$$\langle S' \mid S \rangle = (-)^{n(n-1)/2} (1 + (-)^n).$$

**Remarks.**

(i) The result for odd  $n$  could be obtained directly by using the skew symmetry of the intersection index

$$\langle S' \mid S \rangle = (-)^{\dim S \dim S'} \langle S \mid S' \rangle,$$

which in this case gives:

$$\langle S \mid S \rangle = (-)^{n^2} \langle S \mid S \rangle.$$

(ii) A corollary of the result for even  $n$  is the well-known theorem which states that a continuous vector field on an even-dimensional sphere necessarily vanishes somewhere.

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