

# ILLUMINATING SETS OF CONSTANT WIDTH

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**Abstract.** The problem of illuminating the boundary of sets having constant width is considered and a bound for the number of directions needed is given. As a corollary, an estimate for Borsuk's partition problem is inferred. Also, the illumination number of sufficiently symmetric strictly convex bodies is determined.

**§1. Introduction.** Let  $\mathbf{x}$  be a point on the boundary  $\partial K$  of a convex body\*  $K$  in Euclidean space,  $\mathbf{R}^n$ . A direction  $\mathbf{u} \in S^{n-1}$  is said to *illuminate*  $K$  at  $\mathbf{x}$  if the line  $\{\mathbf{x} + t\mathbf{u} \mid t \in \mathbf{R}\}$  “enters”  $K$  in  $\mathbf{x}$ . More precisely,  $\mathbf{u}$  illuminates  $K$  at  $\mathbf{x}$  if  $\mathbf{x} + t\mathbf{u}$  is an interior point of  $K$ , for some positive  $t$ . Instead of saying “ $\mathbf{u}$  illuminates  $K$  at  $\mathbf{x}$ ” we will just say “ $\mathbf{u}$  illuminates  $\mathbf{x}$ ”. This will cause no confusion, because the convex body  $K$  should be clear from the context.

Directions  $\mathbf{u}_1, \mathbf{u}_2, \dots, \mathbf{u}_m \in S^{n-1}$  are said to illuminate  $K$  if every point on the boundary of  $K$  is illuminated by at least one of these directions. We denote by  $I(K)$  the minimal number of directions sufficient to illuminate  $K$  and call it the *illumination number* of  $K$ . This concept of illumination was introduced by V. G. Boltjansky in [2]. There he proved that for convex bodies  $K$ ,  $I(K)$  is equal to  $H(K)$ , the number of smaller, positively homothetic copies of  $K$  required to cover  $K$  (see [3]).

The maximum values of  $I(K)$  for convex bodies  $K$  in  $\mathbf{R}^n$ , are unknown when  $n > 2$ . By the above result of Boltjansky, Hadwiger's conjecture, about the covering of a set by homothetic copies of it, is equivalent to

$$I(\text{convex body in } \mathbf{R}^n) \leq I(n\text{-dimensional parallelogram}) = 2^n.$$

See [3] for a discussion of this conjecture.

A set of *constant width*  $d$  is a convex body such that the distance between any two distinct parallel supporting hyperplanes of it is  $d$  (see [5, pp. 122–131], [4]). In this note we prove

**THEOREM 1.** *If  $W$  is a set of constant width in  $\mathbf{R}^n$  then*

$$I(W) < 5n\sqrt{n}(4 + \log n) \left(\frac{3}{2}\right)^{n/2}.$$

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\* A convex body is a compact convex set that has interior points.

[MATHEMATIKA, 35 (1988), 180–189]

Using this theorem, and the equivalence  $I(W) = H(W)$ , we get

**COROLLARY 2.** *Every set of constant width  $W \subset \mathbf{R}^n$  can be covered by less than  $5n\sqrt{n}(4 + \log n)(3/2)^{n/2}$  homothetic copies of itself, having some homothety coefficient  $\alpha$ ,  $0 < \alpha < 1$ .*

Since every bounded set of positive diameter is contained in a set of constant width having the same diameter (see [5, p. 126]), we have the following estimate for Borsuk's partition problem.

**COROLLARY 3.** *Every set of diameter  $d$  ( $0 < d < \infty$ ) in  $\mathbf{R}^n$  can be covered by less than  $5n\sqrt{n}(4 + \log n)(3/2)^{n/2}$  sets having smaller diameters.*

To the best of our knowledge, this is, asymptotically, the best bound known for Borsuk's partition problem (see [7] for references and results concerning this problem). The approach to Borsuk's problem through the illumination problem is suggested in [3] and [8, p. 420].

Theorem 1 is proved using a probabilistic argument: The probability that a "small" region in  $\partial W$  will be illuminated by a random, uniformly distributed, direction is estimated from below. A straightforward computation then shows that if "enough" directions are chosen randomly, uniformly, and independently, the probability that they will completely illuminate  $W$  is nonzero. An essential part of the proof relies on finding lower bounds for volumes of spherical sets of a certain type. In [11] analogous results for sets in  $\mathbf{R}^n$  give lower bounds for the volumes of sets having constant width.

The only known result in the direction opposite to Theorem 1 is that  $I(K) \geq n + 1$  for every convex body  $K \subset \mathbf{R}^n$  ([3]). It is not known if there is a set of constant width  $W \subset \mathbf{R}^n$ , that cannot be illuminated by  $n + 1$  directions.

Rogers [10] has shown that every  $K \subset \mathbf{R}^n$ , having diameter  $0 < d < \infty$  and invariant under the group of congruences that leave invariant an  $n$ -dimensional regular simplex, can be partitioned into  $n + 1$  subsets, each with diameter  $< d$ . Inspired by this, we prove in Section 4 that if  $K$  is a strictly convex body<sup>†</sup> invariant under a group of orthogonal transformations that is generated by reflections through hyperplanes and acts irreducibly<sup>‡</sup> on  $\mathbf{R}^n$ , then  $I(K) = n + 1$ . This can give an alternate proof of Rogers' result.

§2. First we will introduce some notation and give a condition for the illumination of a single boundary point. Throughout this note,  $K$  will denote an arbitrary convex body, and  $W$  will denote a set of constant width in  $\mathbf{R}^n$ . For a set  $A \subset S^{n-1}$  we define

$$A^+ = \{\mathbf{u} \in S^{n-1} \mid \mathbf{u} \cdot \mathbf{v} > 0 \text{ for all } \mathbf{v} \in A\}.$$

<sup>†</sup> A strictly convex body is a convex body whose boundary contains no line segment.

<sup>‡</sup> " $G$  acts irreducibly on  $\mathbf{R}^n$ " means that there is no subspace of  $\mathbf{R}^n$ , other than 0 and  $\mathbf{R}^n$ , which is invariant under all elements of  $G$ .

When  $\mathbf{x}$  is a boundary point of a convex body  $K$ , we use  $N_K(\mathbf{x})$  to denote the set of inward normal unit vectors of  $K$  at  $\mathbf{x}$ :

$$N_K(\mathbf{x}) = \{\mathbf{u} \in S^{n-1} \mid \mathbf{u} \cdot \mathbf{p} \geq \mathbf{u} \cdot \mathbf{x} \text{ for all } \mathbf{p} \in K\}.$$

Notice that  $N_K(\mathbf{x})$  is nonempty for  $\mathbf{x} \in \partial K$ .

**LEMMA 4.** *Let  $K$  be a convex body in  $\mathbf{R}^n$ , let  $\mathbf{x}$  be a boundary point of  $K$ , and let  $\mathbf{u} \in S^{n-1}$ . Then  $\mathbf{x}$  is illuminated by the direction  $\mathbf{u}$ , if, and only if,  $\mathbf{u} \in N_K(\mathbf{x})^+$ .*

*Proof.* Suppose  $\mathbf{u} \in N_K(\mathbf{x})^+$ . If the line  $L = \{\mathbf{x} + t\mathbf{u} \mid t \in \mathbf{R}\}$  contains no interior points of  $K$ , then it is a supporting line of  $K$ . Therefore there is a hyperplane,  $H = \{\mathbf{p} \in \mathbf{R}^n \mid \mathbf{p} \cdot \mathbf{w} = \mathbf{x} \cdot \mathbf{w}\}$ , supporting  $K$  and containing  $L$ . Thus one of the vectors  $\pm \mathbf{w}/\|\mathbf{w}\|$  is in  $N_K(\mathbf{x})$ . That is a contradiction to  $\mathbf{u} \in N_K(\mathbf{x})^+$ , because  $H \supset L$  implies that  $\mathbf{w} \cdot \mathbf{u} = 0$ . Therefore  $L$  contains interior points of  $K$ . Pick any  $\mathbf{v} \in N_K(\mathbf{x})$ .  $K$  is contained in the half space  $\{\mathbf{p} \in \mathbf{R}^n \mid \mathbf{p} \cdot \mathbf{v} \geq \mathbf{x} \cdot \mathbf{v}\}$ . Since  $\mathbf{u} \cdot \mathbf{v} > 0$ , this means that the points  $\mathbf{x} + t\mathbf{u}$  with  $t < 0$  are not in  $K$ . Thus the interior points of  $L$  correspond to positive values of  $t$ , and  $\mathbf{u}$  illuminates  $\mathbf{x}$ .

Now suppose that  $\mathbf{u}$  illuminates  $\mathbf{x}$ . Let  $t$  be a positive number such that  $\mathbf{p} = \mathbf{x} + t\mathbf{u}$  is an interior point of  $K$ . Because  $\mathbf{p}$  is an interior point, for every  $\mathbf{v} \in N_K(\mathbf{x})$  we have  $\mathbf{p} \cdot \mathbf{v} > \mathbf{x} \cdot \mathbf{v}$ , which implies  $\mathbf{u} \cdot \mathbf{v} > 0$ , so  $\mathbf{u} \in N_K(\mathbf{x})^+$ .

From now on, we work with an arbitrary, but fixed, set of constant width  $W \subset \mathbf{R}^n$ . The lemma above shows that if  $E$  is a subsets of the boundary of  $W$ , then one direction can illuminate  $E$ , if, and only if,

$$\bigcap_{\mathbf{x} \in E} N_W(\mathbf{x})^+ = \left( \bigcup_{\mathbf{x} \in E} N_W(\mathbf{x}) \right)^+$$

is nonempty. The following proposition will help us find subsets of  $\partial W$  that are “easily” illuminated. For a subset  $A \subset S^{n-1}$  define  $U_W(A)$  to be the union of the sets  $N_W(\mathbf{x})$ ,  $\mathbf{x} \in \partial W$ , that intersect  $A$ :

$$U_W(A) = \bigcup_{N_W(\mathbf{x}) \cap A \neq \emptyset} N_W(\mathbf{x}).$$

A direction in  $U_W(A)^+$  illuminates every point  $\mathbf{x} \in W$  that satisfies

$$N_W(\mathbf{x}) \cap A \neq \emptyset.$$

In order to show that when  $A$  is chosen properly these points are “easily” illuminated, we want to prove that  $U_W(A)^+$  is “large”. Our means of doing so is by estimating the diameter of  $U_W(A)$ . (We view  $S^{n-1}$  with the metric induced by the Euclidean metric in  $\mathbf{R}^n$ . The diameters of subsets of  $S^{n-1}$  refer to this metric.)

**PROPOSITION 5.** *Let  $A$  be a nonempty subset of  $S^{n-1}$ . Then*

$$\text{diameter } U_W(A) \leq 1 + \text{diameter } A.$$

*Proof.* Since  $U_W(A)$  does not change when we replace  $W$  with a positively homothetic copy of itself, we may, and will, assume that  $W$  has constant width

1, and therefore also diameter 1. Let  $\mathbf{v}_1, \mathbf{v}_2$  be unit vectors in  $U_W(A)$ . By the definition of  $U_W(A)$ , there are points  $\mathbf{x}_1, \mathbf{x}_2 \in \partial W$  such that  $\mathbf{v}_i \in N_W(\mathbf{x}_i)$  and  $N_W(\mathbf{x}_i) \cap A \neq \emptyset$  for  $i = 1, 2$ . Suppose  $\mathbf{u}_i$  is in  $N_W(\mathbf{x}_i) \cap A$ ,  $i = 1, 2$ . Since  $\mathbf{u}_i$  is an inward normal of  $W$  at  $\mathbf{x}_i$ , and since  $W$  has constant width 1, the hyperplane  $\{\mathbf{p} \in \mathbb{R}^n \mid \mathbf{p} \cdot \mathbf{u}_i = \mathbf{x}_i \cdot \mathbf{u}_i + 1\}$  is a supporting hyperplane of  $W$ . The only point on this hyperplane whose distance from  $\mathbf{x}_i$  is not greater than 1 is  $\mathbf{x}_i + \mathbf{u}_i$ . Since diameter  $W = 1$ , we conclude that  $\mathbf{x}_i + \mathbf{u}_i \in \partial W$  for  $i = 1, 2$ . Therefore

$$1 = (\text{diameter } W)^2 \geq \|(\mathbf{x}_1 + \mathbf{u}_1) - \mathbf{x}_2\|^2 = \|\mathbf{x}_1 - \mathbf{x}_2\|^2 + 2\mathbf{u}_1 \cdot (\mathbf{x}_1 - \mathbf{x}_2) + 1$$

and

$$1 = (\text{diameter } W)^2 \geq \|(\mathbf{x}_2 + \mathbf{u}_2) - \mathbf{x}_1\|^2 = \|\mathbf{x}_2 - \mathbf{x}_1\|^2 + 2\mathbf{u}_2 \cdot (\mathbf{x}_2 - \mathbf{x}_1) + 1.$$

Summing these inequalities and rearranging we get

$$(\mathbf{u}_1 - \mathbf{u}_2) \cdot (\mathbf{x}_2 - \mathbf{x}_1) \geq \|\mathbf{x}_2 - \mathbf{x}_1\|^2.$$

Because  $(\mathbf{u}_1 - \mathbf{u}_2) \cdot (\mathbf{x}_2 - \mathbf{x}_1) \leq \|\mathbf{u}_1 - \mathbf{u}_2\| \|\mathbf{x}_2 - \mathbf{x}_1\|$ , this implies  $\|\mathbf{u}_1 - \mathbf{u}_2\| \geq \|\mathbf{x}_2 - \mathbf{x}_1\|$ . So

$$\|\mathbf{x}_2 - \mathbf{x}_1\| \leq \text{diameter } A. \quad (2.1)$$

As with  $\mathbf{x}_i + \mathbf{u}_i$ , the points  $\mathbf{x}_i + \mathbf{v}_i$  lie in  $\partial W$ , and therefore

$$1 \geq \|(\mathbf{x}_1 + \mathbf{v}_1) - (\mathbf{x}_2 + \mathbf{v}_2)\| \geq \|\mathbf{v}_1 - \mathbf{v}_2\| - \|\mathbf{x}_2 - \mathbf{x}_1\|.$$

Using (2.1), this implies

$$1 + \text{diameter } A \geq \|\mathbf{v}_1 - \mathbf{v}_2\|.$$

We use  $\mu$  to denote the standard probability measure on  $S^{n-1}$ . Define

$$g(n, d) = \inf \{ \mu(A^+) \mid A \subset S^{n-1}, \text{diameter } A \leq d \}.$$

Let  $N(n, \varepsilon)$  be the number of sets having diameter  $\varepsilon$  that is required to cover  $S^{n-1}$ . The core of this note is:

**PROPOSITION 6.** For  $0 < \varepsilon < \sqrt{2} - 1$  we have

$$I(W) \leq 1 + \frac{\log N(n, \varepsilon)}{-\log(1 - g(n, 1 + \varepsilon))}.$$

*Proof.* It is easily verified that  $0 < g(n, 1 + \varepsilon) < 1$  (if  $\emptyset \neq A \subset S^{n-1}$  then  $A^+$  is contained in a hemisphere, so that  $\mu(A^+) \leq \frac{1}{2}$ . If also diameter  $A = d < \sqrt{2}$  then  $A^+$  contains a spherical cap of radius  $\sqrt{2} - d$  around any point of  $A$ ), so that the right-hand side is well defined. Let  $M$  be a natural number satisfying

$$M > \frac{\log N(n, \varepsilon)}{-\log(1 - g(n, 1 + \varepsilon))}.$$

It is sufficient to show that  $M$  directions can illuminate  $W$ . Set  $N = N(n, \varepsilon)$ , and let  $A_1, \dots, A_N$  be a covering of  $S^{n-1}$  with sets of diameter  $\varepsilon$ . By Proposition 5, we have diameter  $U_W(A_i) \leq 1 + \varepsilon$ , and therefore

$$\mu(U_W(A_i)^+) \geq g(n, 1 + \varepsilon), \quad i = 1, 2, \dots, N.$$

Pick  $M$  directions  $\mathbf{u}_1, \dots, \mathbf{u}_M$  at random, uniformly and independently distributed on  $S^{n-1}$ . Take any  $i, j, 1 \leq i \leq N, 1 \leq j \leq M$ . The probability that  $\mathbf{u}_j$  will be in  $U_W(A_i)^+$  is  $\mu(U_W(A_i)^+)$ , which is at least  $g(n, 1 + \varepsilon)$ . Therefore the probability that  $U_W(A_i)^+$  will contain none of the points  $\mathbf{u}_1, \dots, \mathbf{u}_M$  is at most  $(1 - g(n, 1 + \varepsilon))^M$ . Thus the probability  $p$  that at least one  $U_W(A_l)^+, 1 \leq l \leq N$  will contain no points of  $\mathbf{u}_1, \dots, \mathbf{u}_M$  satisfies

$$p \leq \sum_{l=1}^N (1 - g(n, 1 + \varepsilon))^M < N(1 - g(n, 1 + \varepsilon))^{\log N / -\log(1 - g(n, 1 + \varepsilon))} = 1.$$

This shows that one can choose  $M$  directions, so that each set  $U_W(A_l)^+, l = 1, \dots, N$ , contains at least one of them. Let  $\mathbf{v}_1, \dots, \mathbf{v}_M$  be such directions, and let  $\mathbf{x}$  be a point of  $\partial W$ . We claim that one of these directions illuminates  $\mathbf{x}$ . Since  $N_W(\mathbf{x})$  is nonempty, and the sets  $A_1, \dots, A_N$  cover  $S^{n-1}$ , one of them, say  $A_i$ , intersects  $N_W(\mathbf{x})$ . By the definition of  $U_W(A_i)$ , we have  $N_W(\mathbf{x}) \subset U_W(A_i)$ . So that

$$N_W(\mathbf{x})^+ \supset U_W(A_i)^+.$$

$U_W(A_i)^+$  contains at least one of  $\mathbf{v}_1, \dots, \mathbf{v}_M$ , say  $\mathbf{v}_k$ . We have

$$\mathbf{v}_k \in U_W(A_i)^+ \subset N_W(\mathbf{x})^+$$

and therefore, by Lemma 4,  $\mathbf{v}_k$  illuminates  $\mathbf{x}$ . This shows that the directions  $\mathbf{v}_1, \dots, \mathbf{v}_M$  illuminate  $W$ .

In order to deduce Theorem 1 from Proposition 6, we only have to estimate  $g(n, 1 + \varepsilon)$  from below, and  $N(n, \varepsilon)$  from above. The former will be done in the next section, and the latter is dealt with by the following well known fact.

LEMMA 7.  $N(n, \varepsilon) \leq (1 + 4/\varepsilon)^n$ .

*Proof.* Let  $E$  be a maximal subset of  $S^{n-1}$  having the property that  $\|\mathbf{u} - \mathbf{v}\| > \frac{1}{2}\varepsilon$  for  $\mathbf{u} \neq \mathbf{v}$  in  $E$ . The maximality of  $E$  shows that the balls with radius  $\frac{1}{2}\varepsilon$  and centers in  $E$  cover  $S^{n-1}$ , therefore

$$|E| \geq N(n, \varepsilon).$$

All the balls  $B(\mathbf{u}, \varepsilon/4)$ ,  $\mathbf{u} \in E$ , are disjoint and are contained in the ball  $B(0, 1 + \varepsilon/4)$ . Comparing volumes gives

$$|E|(\varepsilon/4)^n \leq (1 + \varepsilon/4)^n,$$

or

$$|E| \leq \left(\frac{4}{\varepsilon} + 1\right)^n.$$

*Remark.* Better estimates for  $N(n, \varepsilon)$  are known (see [9]), but do not seem to contribute any significant improvement to Theorem 1.

§3. In this section we give a lower bound for  $g(n, d)$ , and prove Theorem 1.

**PROPOSITION 8.** *Let  $d > 0$  and let  $A$  be a nonempty subset of  $S^{n-1}$  having diameter  $\leq d$ . Suppose  $\mathbf{u} \in S^{n-1}$ ,  $a > 0$  and  $A$  is contained in the half-space  $\{\mathbf{p} \in \mathbf{R}^n \mid \mathbf{p} \cdot \mathbf{u} \geq a\}$ , then*

$$A^+ \cup TA^+ \supset D_0(\mathbf{u}, \arctan(2a/d)),$$

where  $T: \mathbf{R}^n \rightarrow \mathbf{R}^n$  is the reflection through the line determined by  $\mathbf{u}$ ,  $-\mathbf{u}$ :

$$T\mathbf{p} = 2(\mathbf{p} \cdot \mathbf{u})\mathbf{u} - \mathbf{p},$$

and  $D_0(\mathbf{u}, \psi)$  is the open spherical cap consisting of all unit vectors having an angle with  $\mathbf{u}$ , which is smaller than  $\psi$ .

*Proof.* Suppose  $\mathbf{x}$  is a point in  $S^{n-1}$  but not in  $A^+ \cup TA^+$ , and let  $\theta$  be the angular distance between  $\mathbf{x}$  and  $\mathbf{u}$ ,  $0 \leq \theta \leq \pi$ . Write

$$\mathbf{x} = (\cos \theta)\mathbf{u} + (\sin \theta)\mathbf{v}, \quad (3.1)$$

where  $\mathbf{v}$  is a unit vector orthogonal to  $\mathbf{u}$  (we ignore the trivial case  $n = 1$ ). Since  $\mathbf{x} \notin A^+$ , there is a point  $\mathbf{y} \in A$  with

$$0 \geq \mathbf{y} \cdot \mathbf{x} = \mathbf{y} \cdot \mathbf{u} \cos \theta + \mathbf{y} \cdot \mathbf{v} \sin \theta. \quad (3.2)$$

Since  $T^{-1} = T$  and  $\mathbf{x} \notin TA^+$  we have  $T\mathbf{x} \notin A^+$ . Thus there is a point  $\mathbf{z} \in A$  with

$$0 \geq \mathbf{z} \cdot T\mathbf{x} = \mathbf{z} \cdot \mathbf{u} \cos \theta - \mathbf{z} \cdot \mathbf{v} \sin \theta. \quad (3.3)$$

Summing (3.2) and (3.3), and using  $\|\mathbf{y} - \mathbf{z}\| \leq d$ ,  $\sin \theta \geq 0$ , we have

$$0 \geq (\mathbf{y} \cdot \mathbf{u} + \mathbf{z} \cdot \mathbf{u}) \cos \theta + (\mathbf{y} - \mathbf{z}) \cdot \mathbf{v} \sin \theta \geq (\mathbf{y} \cdot \mathbf{u} + \mathbf{z} \cdot \mathbf{u}) \cos \theta - d \sin \theta. \quad (3.4)$$

Temporarily suppose that  $\theta < \frac{1}{2}\pi$ . Then  $\cos \theta > 0$ , so by (3.4)

$$\tan \theta \geq \frac{\mathbf{y} \cdot \mathbf{u} + \mathbf{z} \cdot \mathbf{u}}{d} \geq \frac{2a}{d}.$$

The last inequality is justified by the hypothesis that  $A \subset \{\mathbf{p} \in \mathbf{R}^n \mid \mathbf{p} \cdot \mathbf{u} \geq a\}$ . Whether or not  $\theta < \frac{1}{2}\pi$ , we have  $\theta \geq \arctan(2a/d)$ . This shows that  $A^+ \cup TA^+ \supset D_0(\mathbf{u}, \arctan(2a/d))$ .

**PROPOSITION 9.**

$$g(n, d) \geq \frac{1}{\sqrt{8\pi n}} \left( \frac{3}{2} + \frac{(2n+1)d^2 - (2n+2)}{4n+4-2d^2n} \right)^{-(n-1)/2} \quad \text{for } 0 < d \leq \sqrt{2}.$$

*Proof.* Let  $0 < d \leq \sqrt{2}$  and let  $A$  be a nonempty subset of  $S^{n-1}$  having diameter  $\leq d$ . By Jung's theorem [5, p. 111], there is, in  $\mathbf{R}^n$ , a ball with radius  $d\sqrt{n/(2n+2)}$  containing  $A$ . Let  $\mathbf{q}$  be the center of this ball. Write  $\mathbf{q} = t\mathbf{u}$  with  $t \geq 0$  and  $\mathbf{u} \in S^{n-1}$ . For every  $\mathbf{x} \in A$  we have

$$d^2 \frac{n}{2n+2} \geq \|\mathbf{x} - \mathbf{q}\|^2 = \|\mathbf{x} - t\mathbf{u}\|^2 = 1 - 2t\mathbf{x} \cdot \mathbf{u} + t^2. \quad (3.5)$$

Since  $1 - 2t\mathbf{x} \cdot \mathbf{u} + t^2 = 1 - (\mathbf{x} \cdot \mathbf{u})^2 + (\mathbf{x} \cdot \mathbf{u} - t)^2 \geq 1 - (\mathbf{x} \cdot \mathbf{u})^2$ , inequality (3.5)

implies

$$d^2 \frac{n}{2n+2} \geq 1 - (\mathbf{x} \cdot \mathbf{u})^2. \quad (3.6)$$

From (3.5),  $t \geq 0$  and  $d \leq \sqrt{2}$  it can be seen that  $\mathbf{x} \cdot \mathbf{u} \geq 0$ , so, using (3.6), we obtain

$$\mathbf{x} \cdot \mathbf{u} \geq \sqrt{1 - d^2 \frac{n}{2n+2}}.$$

Set

$$a = \sqrt{1 - d^2 \frac{n}{2n+2}}. \quad (3.7)$$

The above argument shows that  $A$  is contained in the half-space

$$\{\mathbf{p} \in \mathbf{R}^n \mid \mathbf{p} \cdot \mathbf{u} \geq a\}.$$

Proposition 8 can be applied, yielding

$$A^+ \cup TA^+ \supset D_0(\mathbf{u}, \arctan(2a/d)).$$

Now, since  $T$  is an orthogonal transformation, we have  $\mu(A^+) = \mu(TA^+)$ , and

$$\begin{aligned} \mu(A^+) &= \frac{1}{2}(\mu(A^+) + \mu(TA^+)) \geq \frac{1}{2}\mu(A^+ \cup TA^+) \\ &\geq \frac{1}{2}\mu\left(D_0\left(\mathbf{u}, \arctan \frac{2a}{d}\right)\right) = \frac{1}{2} \frac{\text{Vol}_{n-1} D_0(\mathbf{u}, \arctan 2a/d)}{\text{Vol}_{n-1} S^{n-1}} \\ &= \frac{\text{Vol}_{n-1} D_0(\mathbf{u}, \arctan 2a/d)}{2n\Omega_n}. \end{aligned} \quad (3.8)$$

Here  $\Omega_n$  denotes the volume of the  $n$ -dimensional unit ball, and  $\text{Vol}_{n-1}$  is the  $(n-1)$ -dimensional volume.

Let  $D'$  be the orthogonal projection of  $D_0(\mathbf{u}, \arctan 2a/d)$  to the hyperplane  $\{\mathbf{p} \in \mathbf{R}^n \mid \mathbf{p} \cdot \mathbf{u} = 0\}$ . Obviously we have

$$\text{Vol}_{n-1} D_0\left(\mathbf{u}, \arctan \frac{2a}{d}\right) \geq \text{Vol}_{n-1} D'. \quad (3.9)$$

$D'$  is an  $(n-1)$ -dimensional ball having radius

$$\sin\left(\arctan \frac{2a}{d}\right) = \left(1 + \frac{d^2}{4a^2}\right)^{-1/2},$$

so

$$\text{Vol}_{n-1} D' = \Omega_{n-1} \left(1 + \frac{d^2}{4a^2}\right)^{-(n-1)/2}. \quad (3.10)$$

Using (3.8), (3.9), (3.10), we get

$$\mu(A^+) \geq \frac{\Omega_{n-1}}{2n\Omega_n} \left(1 + \frac{d^2}{4a^2}\right)^{-(n-1)/2}. \quad (3.11)$$

Now

$$\frac{\Omega_{n-1}}{\Omega_n} = \frac{\pi^{(n-1)/2}/\Gamma((1+n)/2)}{\pi^{n/2}/\Gamma(1+n/2)} = \frac{\Gamma(1+n/2)}{\sqrt{\pi}\Gamma((1+n)/2)} \quad (3.12)$$

where  $\Gamma$  is the Gamma function. Since  $\log \Gamma$  is convex ([1, p. 12]), we have

$$\Gamma(1+n/2)\Gamma(n/2) \geq \Gamma((1+n)/2)^2,$$

and therefore

$$\begin{aligned} \frac{\Gamma(1+n/2)}{\Gamma((1+n)/2)} &\geq \frac{\Gamma(1+n/2)}{\Gamma((1+n)/2)} \sqrt{\frac{\Gamma((1+n)/2)^2}{\Gamma(1+n/2)\Gamma(n/2)}} \\ &= \sqrt{\frac{\Gamma(1+n/2)}{\Gamma(n/2)}} = \sqrt{\frac{n}{2}}. \end{aligned} \quad (3.13)$$

Using (3.11), (3.12), (3.13), we get

$$\mu(A^+) \geq \frac{1}{2n} \frac{1}{\sqrt{\pi}} \sqrt{\frac{n}{2}} \left(1 + \frac{d^2}{4a^2}\right)^{-(n-1)/2}.$$

And after substituting the value of  $a$ ,

$$\begin{aligned} \mu(A^+) &\geq \frac{1}{\sqrt{8\pi n}} \left(1 + \frac{d^2}{4-2d^2n/(n+1)}\right)^{-(n-1)/2} \\ &= \frac{1}{\sqrt{8\pi n}} \left(\frac{3}{2} + \frac{(2n+1)d^2-2n-2}{4n+4-2d^2n}\right)^{-(n-1)/2}. \end{aligned}$$

Now proving Theorem 1 is just a matter of putting the pieces together.

*Proof of Theorem 1.* Since  $t < -\log(1-t)$  for  $0 < t < 1$ , Proposition 6 implies

$$I(W) < 1 + \frac{\log N(n, \varepsilon)}{g(n, 1+\varepsilon)}, \quad 0 < \varepsilon < \sqrt{2}-1.$$

Choose

$$\varepsilon = \sqrt{1 + \frac{1}{2n+1}} - 1.$$

From Lemma 7 and Proposition 9 we get

$$\begin{aligned} I(W) &< 1 + \frac{\log N(n, \varepsilon)}{g(n, 1+\varepsilon)} \leq 1 + \frac{\log \left(1 + \frac{4}{\varepsilon}\right)^n}{g\left(n, \sqrt{\frac{2n+2}{2n+1}}\right)} \\ &\leq 1 + \sqrt{8\pi n} \left(\frac{3}{2}\right)^{(n-1)/2} n \log \left(1 + \frac{4}{\varepsilon}\right) \\ &= 1 + 4n\sqrt{\pi n/3} \left(\frac{3}{2}\right)^{n/2} \log \left(1 + \frac{4}{\varepsilon}\right). \end{aligned}$$



Since one easily sees that  $\varepsilon > 1/(4n+3)$ , we have

$$I(W) < 1 + 4n\sqrt{\pi n/3} \log(13 + 16n) \left(\frac{3}{2}\right)^{n/2} \leq 5n\sqrt{n}(4 + \log n) \left(\frac{3}{2}\right)^{n/2}$$

*Remarks.* 1. In [11] we give a lower bound for the volumes of sets of constant width in  $\mathbf{R}^n$ , using results analogous to Propositions 8 and 9.

2. The factor  $5n\sqrt{n}(4 + \log n)$  in Theorem 1 should not be taken seriously. It can be improved with some more careful estimates. However, any improvement of the exponential factor,  $(3/2)^{n/2}$ , would be interesting. A possible way to do this may be to try to get a better lower bound for  $g(n, d)$ . An advance in this direction may lead to better estimates for the minimal volume of a set having constant width 1 in  $\mathbf{R}^n$ .

#### §4.

**THEOREM 10.** *Let  $K \subset \mathbf{R}^n$  be a strictly convex body, invariant under a group of orthogonal transformations  $G$  that is generated by reflections through hyperplanes and acts irreducibly on  $\mathbf{R}^n$ . Then  $I(K) = n + 1$ .*

We preface the proof with a few definitions and a lemma. A unit vector  $\mathbf{r}$  is called a *root* of  $G$  if the orthogonal reflection through the subspace orthogonal to  $\mathbf{r}$  is an element of  $G$ . We denote this reflection by  $S_{\mathbf{r}}$ :

$$S_{\mathbf{r}}\mathbf{x} = \mathbf{x} - 2(\mathbf{x} \cdot \mathbf{r})\mathbf{r}, \quad \mathbf{x} \in \mathbf{R}^n.$$

If  $\mathbf{v}, \mathbf{r}$  are roots of  $G$  then  $S_{\mathbf{r}}\mathbf{v}$  is also a root of  $G$ , because  $S_{S_{\mathbf{r}}\mathbf{v}} = S_{\mathbf{r}}S_{\mathbf{v}}S_{\mathbf{r}}$ . In particular  $-\mathbf{r} = S_{\mathbf{r}}\mathbf{r}$  is a root.

**LEMMA 11.** *Let  $G$  be as in Theorem 10. If  $n > 1$  then there are  $n + 1$  roots of  $G$ ,  $\mathbf{r}_0, \dots, \mathbf{r}_n$ , such that every nonzero  $\mathbf{x} \in \mathbf{R}^n$  has a negative inner product with at least one of them.*

At least when  $G$  is finite this follows from known results (see [6]).

*Proof of the Lemma.* We will say that a set of vectors  $\{\mathbf{v}_0, \dots, \mathbf{v}_m\}$  is *almost independent* if every proper subset of it is linearly independent but  $\{\mathbf{v}_0, \dots, \mathbf{v}_m\}$  is linearly dependent. It is easily checked that  $\{\mathbf{v}_0, \dots, \mathbf{v}_m\}$  is almost independent, if, and only if,  $\mathbf{v}_1, \dots, \mathbf{v}_m$  are linearly independent and  $\mathbf{v}_0$  is a linear combination of  $\mathbf{v}_1, \dots, \mathbf{v}_m$  with *nonzero* coefficients.

Because of the hypotheses on  $G$  and because  $n \geq 2$ ,  $G$  has at least one root,  $\mathbf{r}$ .  $\{\mathbf{r}, -\mathbf{r}\}$  is an almost independent set. Suppose  $\{\mathbf{r}_0, \dots, \mathbf{r}_m\}$  is the largest almost independent set of roots of  $G$ . Let  $U$  be the subspace generated by  $\mathbf{r}_0, \dots, \mathbf{r}_m$ . We claim that  $U = \mathbf{R}^n$  and therefore  $n = m$ . Let  $\mathbf{r}$  be a root of  $G$  and suppose that  $U$  is not invariant under  $S_{\mathbf{r}}$ . This implies  $\mathbf{r} \notin U$  and  $S_{\mathbf{r}}\mathbf{r}_i \neq \mathbf{r}_i$  for some  $i = 0, 1, \dots, m$ . Assume, without loss of generality, that  $S_{\mathbf{r}}\mathbf{r}_0 \neq \mathbf{r}_0$ .  $\mathbf{r}_0$  is a linear combination of  $\mathbf{r}_1, \dots, \mathbf{r}_m$  with nonzero coefficients. Since  $S_{\mathbf{r}}\mathbf{r}_0 - \mathbf{r}_0$  is a nonzero multiple of  $\mathbf{r}$ ,  $S_{\mathbf{r}}\mathbf{r}_0$  is a linear combination of  $\mathbf{r}, \mathbf{r}_1, \dots, \mathbf{r}_m$  with nonzero coefficients. Because  $\mathbf{r}, \mathbf{r}_1, \dots, \mathbf{r}_m$  are linearly independent, this means

that the set  $\{S_r r_0, r, r_1, \dots, r_m\}$  is almost independent, contradicting the choice of  $\{r_0, \dots, r_m\}$ .

This forces us to conclude that  $U$  is invariant under the reflections generating  $G$ , and therefore under every transformation in  $G$ .  $G$  acts irreducibly on  $\mathbf{R}^n$  and  $U \neq 0$ . This implies  $U = \mathbf{R}^n$  and  $n = m$ .

Because  $\{r_0, \dots, r_n\}$  is almost independent, it is possible to write

$$0 = \sum_{i=0}^n a_i r_i$$

with all coefficients nonzero. We replace some of the roots  $r_i$  by their negatives, to have all the coefficients  $a_i$  positive. If  $x$  is any nonzero vector, we must have  $x \cdot r_i \neq 0$  for some  $i = 0, 1, \dots, n$ , because the  $r_i$  span  $\mathbf{R}^n$ . Since  $0 = x \cdot 0 = \sum_{i=0}^n a_i (x \cdot r_i)$  and  $a_i > 0$ , we must have  $x \cdot r_i < 0$  for some  $i$ .

*Proof of Theorem 10.* As mentioned in Section 1,  $I(K) \geq n+1$  holds for every convex body in  $\mathbf{R}^n$ , thus we only need to show that  $I(K) \leq n+1$ . The Theorem is obviously true when  $n = 1$ , so we assume  $n > 1$ .

Let  $r_0, \dots, r_n$  be the roots of  $G$  guaranteed by the lemma and let  $x \in \partial K$ . First observe that the origin is necessarily an interior point of  $K$  so  $x \neq 0$ . For some  $r_i$ ,  $x \cdot r_i < 0$ . We claim that this  $r_i$  illuminates  $x$ .  $x - 2(x \cdot r_i)r_i = S_{r_i}x \in K$ , since  $S_{r_i} \in G$ . For some positive  $t$ ,  $x + tr_i$  is an interior point of  $K$ , because  $x, x - 2(x \cdot r_i)r_i \in K$ ,  $K$  is strictly convex and  $-2(x \cdot r_i) > 0$ . This verifies the claim and we see that  $r_0, \dots, r_n$  illuminate  $K$ .

*Remark.* A set of constant width is strictly convex; therefore Theorem 10 applies to (sufficiently symmetric) sets of constant width.

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