

Preface

There are many problems of engineering interest for which an optimal operating point or condition exists, but this point or condition are not necessarily well known or easy to find. Extremum seeking control is a family of control design methods whose purpose is to autonomously find an optimal system behavior (e.g., set point or trajectory to be tracked) for the closed-loop system, while at the same time maintaining stability and boundedness of signals. Extremum seeking control is therefore mainly used to realize real-time optimization for dynamic systems. It has been applied to engineering problems in the automotive industry, process control, thermal fluids, flow control, semiconductor industry, energy conversion and many other areas. The motivation for the research on extremum seeking control arises from its practical interest, since even small improvements in performance can lead to cost and energy savings. This book reviews existing extremum seeking techniques, and proposes a new numerical optimization based extremum seeking control approach. Several applications are presented, including problems from the automotive industry, autonomous robotics and the semiconductor industry. This book will be of benefit to students and professionals in all areas of engineering, especially on system control and optimization. The book contains many figures (block diagrams, plots, simulation and experimental results) that will help the reader to understand the material. The reader (researcher, Ph.D or M.S level graduate student, R&D engineer) will become familiar with step-by-step algorithms that can be readily applied to a variety of control problems in engineering practice. The reader will also acquire a deep understanding of extremum seeking control and its mathematical foundations. The application examples included in the book will help the reader understand the concepts and how they can be applied.

Overview of the Book

Tracking a varying maximum or minimum of a performance (output, cost) function is called extremum seeking control. For example, problems where finding such an

extremum may be of interest include maximizing the yield of bioreactors, minimizing the power demand in formation control, minimizing reflected power, or even producing a better tuning of the PID coefficients in a control system. All of these are problems of practical interest in engineering, some of which have ad-hoc solutions, and some of which are yet unresolved. It is for these types of problems that extremum seeking control can be of great value. Extremum seeking attempts to determine the optimal performance of a control system as it operates, thereby potentially eliminating or reducing the need for down time and for doing system analysis.

In the first part of the book, we begin with a comprehensive review of the state-of-the-art in the extremum seeking control literature, and provide the reader with an understanding of what the different “flavors” of extremum seeking control are, and how they relate to each other. We review the existing analog optimization based extremum seeking control methods, which include gradient based design, perturbation based design and sliding mode based design. Then, we present a novel numerical optimization based extremum seeking control method that makes use of numerical optimization algorithms and state regulation, starting from simple linear time invariant systems and extending to a class of feedback linearizable nonlinear systems. We also analyze the robustness of two main optimization algorithms as they apply to extremum seeking: line search methods and trust region methods. For linear systems, a finite time state regulator is proposed and an asymptotic state regulator is used for nonlinear systems. Further design flexibility is achieved via the robustness results of the optimization algorithms and the asymptotic state regulator, where existing nonlinear adaptive control techniques can be introduced for robust design.

The second part of the book deals with the application aspects of extremum seeking control. We perform a comparative study of antilock braking system design via different extremum seeking control schemes. An industrial application of extremum seeking control methods to RF impedance matching is also presented, including experimental results obtained by one of the authors (Zhang) at Applied Materials. Finally, an interesting and promising application studied here is the autonomous agent source seeking problem. We use extremum seeking control and artificial potentials to achieve source seeking, formation control, collision avoidance and obstacle avoidance of a group of autonomous agents. Within this context, we present a practical application of source seeking via extremum seeking control to mobile radar sensor networks.

Acknowledgements

The authors gratefully acknowledge Professor Paul Elie (University of Dayton), Professor John Dennis (Rice University) and Professor Mark A. Abramson (Air Force Institute of Technology) for their help on numerical optimization and analysis (Chap. 2); Professor Ümit Özgüner (Ohio State University) for discussions on sliding mode based extremum seeking control (Chap. 3); Professor Miroslav Krstić, Daniel Arnold, Antranik Siranosian and Nima Ghods (all at University of

California at San Diego) for their collaborative research on perturbation based extremum seeking control (Chap. 3); Professor Ruihua Liu (University of Dayton) and Professor Manfredi Maggiore (University of Toronto) for their valuable suggestions for NOESC on LTI systems (Chap. 4); Kartik Ramaswamy, Jim Cruse, Sergio Shoji, Lawrence Wong, Bryan Liao, Andrey Semenin and Hiroji Hanawa (all at Applied Materials) for the work of impedance matching (Chap. 7); Professor Kevin M. Passino (Ohio State University) and Professor Veysel Gazi (Istanbul Kemerburgaz University) for their pioneering work on swarm source seeking (Chap. 8); Jingyi Yao for her collaboration on swarm source seeking problem (Chap. 8); and Dr. Atindra Mitra and Sean Young (Air Force Research Laboratory) for their help in the formulation of a potential function in the work on radar leakage point localization (Chap. 8).

This work would not have been possible without the help from Professor Miroslav Krstić (University of California at San Diego) and Professor Qin Sheng (Baylor University). One of the authors (Zhang) benefitted significantly from the guidance on extremum seeking control from Professor Miroslav Krstić, on numerical optimization from Professor Qin Sheng, and from their dedication to academic research.

The authors also like to thank Kayode Ajayi-Majebi for the Khepera experiments using extremum seeking control; Jia Wang for the potential fields and decentralized control; and Hai Yu for his insightful communications on extremum seeking control.

C. Zhang would like to thank his colleagues Kartik Ramaswamy, Sergio Shoji, Jim Cruse, Shahid Rauf and Ken Collins at Applied Materials for their great support and all they have taught him about the semiconductor industry.

R. Ordóñez would like to thank his PhD dissertation adviser, Professor Kevin M. Passino (Ohio State University), for his life-long dedication to scholarship, and for his humanist approach to teaching and research, all of which have been a constant inspiration and a source of guidance.

Finally, we would most like to thank our families, who have been lovingly supportive through all the time of intense work devoted to this book.

Santa Clara, CA, USA
Dayton, OH, USA

Chunlei Zhang
Raúl Ordóñez

Extremum-Seeking Control and Applications

A Numerical Optimization-Based Approach

Zhang, C.; Ordóñez, R.

2012, XVIII, 201 p., Hardcover

ISBN: 978-1-4471-2223-4