Contents

Chapter 1 Good Price Discovery, the Neglected Regulatory Objective 1
Opening Remarks: Robert Schwartz
Speiser Professor of Finance, Zicklin School of Business, Baruch College, CUNY

Chapter 2 The Case for Regulatory Reform 7
Opening Address: Andreas Preuss
CEO, Eurex AG

Chapter 3 Regulation's Impact on Competition 17
Moderator: Benn Steil, Council on Foreign Relations
Steve Chmielewski, Jones Trading Institutional Services, LLC
Gregory DePetris, AQS, A Quadriserv Company
Joe Gawronski, Rosenblatt Securities Inc.
William O'Brien, Direct Edge
James Ross, NYSE Euronext

Chapter 4 Transparency in the Trading Markets 33
Mid-Day Address: Alfred Berkeley
Chairman, Pipeline Trading Systems LLC

Chapter 5 The View from Europe 45
Moderator: Bruce Weber, London Business School
Robert Barnes, UBS Investment Bank
Eric Noll, NASDAQ OMX
Chris Pickles, BT Global Services
Peter Randall, Industry Commentator
Nic Stuchfield, SIX x-clear AG

Chapter 6 View from the Regulators 59
Moderator: Robert Colby, Davis, Polk & Wardell LLP
James Brigagliano, U.S. Securities and Exchange Commission
Brian Peters, Federal Reserve Bank of New York
Susan Wolburgh Jenah, Investment Industry Regulatory
Organization of Canada

Chapter 7 Regulation Going Forward 75
Moderator: Harold Bradley, Ewing Marion Kauffman Foundation
Ian Domowitz, Investment Technology Group, Inc.
Gary Katz, International Securities Exchange
Tim Mahoney, Bids Trading
Jamie Selway, White Cap Trading LLC
Joseph Wald, Knight

Chapter 8 Regulatory Needs, Then and Now:
The Perspective of a Wall Street Veteran 95
Closing Dialog with Donald Weeden, Chairman, Weeden & Co. LP



http://www.springer.com/978-1-4614-4373-5

Rethinking Regulatory Structure
Schwartz, R.A.; Byrne, J.A.; Schnee, G. (Eds.)
2013, XIV, 103 p. 113 illus., 108 illus. in color.,
ISBN: 978-1-4614-4373-5