

Preventive and Predictive Genetics: A perspective

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Abstract Public Health practices focus on the implementation of programmes for health improvement and disease prevention (Khoury et al., Am J Prev Med 40(4):486–493, 2011). Public health initiatives in diseases were initially targeted to prevent infectious diseases. Partly due to the availability of vaccines and anti-microbial therapy and partly due to better standard of living, the world is free of diseases such as small pox, almost free of polio and the prevalence of infections such as malaria and HIV is steadily on the decline. This has meant that the human race is living longer with the result that non-communicable diseases have become a global public health priority. Preventing non-communicable diseases is a more logical approach than treating them, even more so when modifiable, common lifestyle risk factors share a role in the onset and progression of the disease. Preventive genetics plays a crucial role in the identification of subjects at risk at a very early age, which would thus give public health officials the necessary time to take appropriate action.

Genetic tests can be classified into carrier, diagnostic and predictive testing. In carrier testing, the tests are directed towards the identification of carriers of autosomal recessive or X-linked genetic disorders to prevent disease. Preventive genetics can be defined as using genetics for the prevention of a future disease that has a genetic component either in the individual tested or in future offspring. Diagnostic testing is the process that identifies the current disease status of the subject and includes, among others, prenatal and newborn screening. The implementation of screening programmes allow the detection of genetic disorders at an early stage, so as to prevent these conditions or their serious consequences. Predictive testing

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determines whether a subject with a positive history but no symptoms of the disease, is at risk of developing the disorder at a future date. In this chapter, we will discuss the application of genetic screening tests to monogenic disorders and complex disorders with monogenic subsets, in view of the current practices. The multifactorial aetiology of complex disorders involves multiple gene effects and gene-lifestyle interactions that cannot be singled out to give a strong predictive value. However, a subset of the complex disorders are caused by highly penetrant genetic mutations. Hence, in this chapter we shall also address predisposing syndromes with high predictive value. In addition, the need of biobanks will be discussed.

Keywords Public health genomics · Preventive genetics · Predictive genetics · Screening programmes · Monogenic disorders · Monogenic syndromes · Biobanks

1 Screening Programmes

According to the UK National Health Services, the term *screening* signifies a public health service aimed at identifying individuals that, though apparently healthy, are at risk of or are already affected by a particular disease or its complications. This identification could be through a particular medical or biochemical test or a questionnaire. Once identified, the affected individuals could then be offered further information, complementary tests or treatment in a bid to reduce their risk of developing the disease or its complication. The use of genetic testing to improve healthcare, requires implementation of programmes as part of public health practice [1]. In their 2004 paper on the history of medical screening, Morabia and Zhang [2] identified the US army's 1917 screening programme, aimed to exclude individuals with clear psychological disorders from joining the army, as the first reported instance of a "screening programme." This programme consisted of the administration of psychological tests to officers, drafted and enlisted soldiers. Since this early example of a screening programme, other initiatives mostly directed towards the general public and aimed at the prevention or early treatment of important health conditions, have spread across the world.

There are three main types of organised screening programmes, namely population screening, newborn screening (NBS) and cascade screening. Population-based screening involves testing the majority of the population, which may either be defined as the whole population of a country or a specific population at risk (such as Ashkenazi Jews for Tay-Sachs disease, or women over a specific age for breast cancer screening). A highly targeted population for screening of genetic disorders is that of women at the prenatal or pre-conception stage, due to their high accessibility and ease of retraceability. Whereas pre-conception screening allows a wider choice of reproductive options than prenatal screening (i.e. opting to have no children, using a sperm donor, or pre-implantation genetic diagnosis (PGD) and selective implantation of embryos created through in-vitro fertilisation (IVF) in the former, against elective termination of pregnancy in the latter), antenatal groups are easier to target.

Meanwhile, NBS involves screening of all newborns in order to detect (generally and preferably) early-onset diseases before the occurrence of overt symptoms. Several genetic metabolic disorders, diagnosed through biochemical tests rather than genetic tests, constitute the core group of disorders for which established newborn screening programmes exist worldwide. These include phenylketonuria (PKU), for which the first NBS programme was set up in the early 1960s, maple syrup urine disease (MSUD) and congenital hypothyroidism (CH). Other specific disorders that are tested for within certain regions or ethnic groups include the haemoglobinopathies (by isoelectric focusing [IEF] and high-performance liquid chromatography [HPLC]) and Cystic Fibrosis (CF; by immunoreactive trypsinogen [IRT]). Cascade screening starts with an index case showing symptoms (the proband) and testing family members for mutations predisposing them to the same disease. Unlike the other forms of screening, cascade screening specifically targets individuals considered at high risk of acquiring the disease due to family history and is restricted to genetic (i.e. DNA) tests.

In the late 1960s, technological advances in medicine enabled the spread of screening in various fields of medicine but, at the same time, brought forward topics of controversy as well as ethical implications. Under this scenario, the World Health Organisation commissioned a report on screening from James M. G. Wilson and Gunner Jungner. In their 1968 report, Wilson and Jungner [3] recounted their pre-occupation that, while the “central idea of early disease detection and treatment is essentially simple”, achieving its success of “bringing to treatment those with previously undetected disease”, as opposed to “avoiding harm to those persons not in need of treatment”, is not as easy as it might appear. In an attempt to simplify the process of screening, Wilson and Jungner proposed a set of criteria that has been adopted as the gold standard in the establishment of all screening programmes. According to these criteria, screening programmes should be considered for conditions fitting in within the following:

1. The condition should be an important health problem.
2. There should be a treatment for the condition.
3. Facilities for diagnosis and treatment should be available.
4. There should be a latent stage of the disease.
5. There should be a test or examination for the condition.
6. The test should be acceptable to the population.
7. The natural history of the disease should be adequately understood.
8. There should be an agreed policy on whom to treat.
9. The total cost of finding a case should be economically balanced in relation to medical expenditure as a whole.
10. Case-finding should be a continuous process, not just a "once and for all" project.

However, these criteria were targeted towards screening for diseases of significant burden in general and did not take into account certain aspects pertaining to genetic diseases, such as the serious debilitating nature of certain rare genetic conditions and the inheritable nature of these disorders. The accelerated rate of discovery of

new disease-causing genes has opened up a whole new dimension of diagnosis by using genetic testing to detect diseases before the first clinical signs and/or symptoms appear, even before the disease starts its pathological course. Conversely, these advancements have also caused public health to lag behind in the introduction or expansion of genetic screening programmes, mostly because the decision-making process requires extensive risk-assessments and the implementation of pilot studies, as well as control and standardisation of such programmes [4]. This has resulted in different countries applying different criteria, most of which are based on the original ones by Wilson and Jungner but which take into account the mentioned additional factors, leading to a lack of standardisation or consensus. In the following sections, disorders for which screening programmes are either already in place or may be considered in the near future will be described, with the application of screening criteria to the decision-making process. In addition, the use of next-generation sequencing for newborn screening will be discussed as a future strategy in public health genomics.

2 Monogenic Disorders

Monogenic disorders are mainly rare disorders, caused by single-gene modifications that are present in all the cells of the body and following Mendelian modes of inheritance, i.e. dominant, recessive or X-linked [5]. Though the phenotype in monogenic disorders is almost dependent on a single genetic component, it is also influenced by the individual's genome (modifier genes), as well as environmental and lifestyle factors. Rare, or orphan, disorders are most commonly defined as disorders affecting 5/10,000 persons or less, but collectively they contribute to a significant degree of morbidity and mortality. Furthermore, eighty percent of rare disorders have genetic origins [6], and the advent of next-generation sequencing has brought about the accelerated discovery of new causative mutations [7]. There are also several monogenic disorders which are relatively common, either worldwide or in specific populations. Being easier to identify, either by biochemical or genetic tests, these disorders were the first genetic conditions for which population screening programmes were established.

2.1 *Inborn Errors of Metabolism*

An important subset of monogenic disorders consists of the inborn errors of metabolism. Neonatal screening for inborn errors of metabolism has been in place since the early 1960s, following the development of a fast and cheap blood test for phenylketonuria (PKU) [8]. After the success of the first newborn screening programme, maple syrup urine disease (MSUD) screening by the Guthrie ("heel prick") method was soon added. Up to the introduction of tandem mass spectrometry (MS/MS) technology in newborn screening, individual diseases were tested on a one test,

one disorder system that is both expensive and relatively inefficient. The use of MS/MS has expanded the number of inborn errors of metabolism disorders that can be tested from a single dried blood spot, in one single analytical run. To date, MS/MS can identify 45 different disorders, including amino acid disorders, fatty acid oxidation disorders, and organic acidemias, from a single blood spot taken in the neonatal period [9]. Almost all of the national newborn screening programmes in the world include PKU, with medium-chain acyl-coA dehydrogenase deficiency (MCADD) found in a majority of programmes. Other disorders that are actively being added to the list are homocystinuria (HCU), maple syrup urine disease (MSUD) and glutaric aciduria type 1 (GA1).

2.1.1 Phenylketonuria (PKU)

PKU is an autosomal recessive disorder with a reported prevalence as high as 1 in 4500 in Ireland [10] to as low as less than 1 in 100,000 in Finland [11]. In its typical form, it is a result of a mutant phenylalanine hydroxylase (PAH) enzyme, which catalyses the conversion of phenylalanine to tyrosine. Reduced activity of PAH results in an accumulation of phenylalanine and its metabolite phenylpyruvate. This accumulation of phenylalanine leads to intellectual disability, seizures and other symptoms. The major problem is an inability of the brain to utilise other large neutral amino acids (LNAA), such as tryptophan and tyrosine, since the large quantities of phenylalanine block the blood-brain barrier's large neutral amino acid transporter (LNAAT). Thus, the brain is starved from amino acids that are essential for proper synthesis of neurotransmitters. Management of PKU consists in low phenylalanine diet and possibly the oral administration of tetrahydrobiopterin that is a cofactor in the oxidation process of phenylalanine. To be successful in achieving normal brain development, the diet needs to be initiated as early as possible and continued throughout life.

2.1.2 Medium-Chain Acyl-coA Dehydrogenase Deficiency (MCADD)

Medium-chain acyl-coA dehydrogenase deficiency (MCADD) is a disorder of fatty acid oxidation, in particular the conversion of medium chain fatty acids (MCFA) into acetyl-CoA. It is most prevalent in individuals of Northern European Caucasian descent, with reported worldwide prevalence of between 1:10000 and 1:27000 [12]. The inability to convert MCFA into Acetyl-CoA results in a deficiency of fatty acid breakdown during periods of metabolic stress, such as a period of fasting or illness. The presenting symptoms include hypoglycaemic attacks, vomiting and encephalopathy that can lead to coma and sudden death. As studies have shown that over 25% of undiagnosed children die during their first attack, with 16% of the survivors having severe neurological deficiencies [13], early diagnosis and start of treatment is essential. The latter consists of a diet which is high in carbohydrates and low in fatty acids, in particular during periods of risk, and avoidance of fasting [14].

2.1.3 Homocystinuria (HCU)

Homocystinuria (HCU) is another rare, autosomal recessive condition, where the body is unable to convert homocysteine (derived from methionine) into cystathionine due to the reduced activity of the enzyme cystathionine beta-synthase. Thus, in a similar way to phenylketonuria, homocysteine and to an extent methionine, accumulate in the body while there is a deficiency of cystathionine. The reported worldwide prevalence is of 1 in 344,000, with certain countries such as Ireland (1: 65000) reporting comparatively very high rates [15]. The symptoms of HCU include developmental delay of the brain, behavioural changes such as mood and personality disturbances, dislocation of the lens of the eye, disproportionate length of limbs compared to the body, osteoporosis and higher risk of vascular thrombosis. Treatment consists of vitamin B6 (a cofactor of cystathionine beta-synthase) and a low methionine diet. In addition, the administration of oral betaine helps to convert homocysteine back into methionine and thus reduce the levels of homocysteine.

2.1.4 Maple Syrup Urine Disease (MSUD)

Maple syrup urine disease (MSUD) gets its name from the presence of a distinctive sweet smelling urine reminiscent of maple syrup. The condition can be the result of mutations in any one of the four genes that code for the 4 subunits ($E_1\alpha$, $E_1\beta$, E_2 , and E_3) of the branched-chain alpha-keto acid dehydrogenase complex (BCKDC). The lack of BCKDC leads to a gradual increase of the branched-chain amino acids isoleucine, leucine and valine, resulting in a build-up of toxic ketoacids in the blood and consequently in the urine. Like most of the other inborn errors of metabolism, the condition is inherited in an autosomal recessive way. As the disorder can be due to the lack or reduced activity of any one of the 4 subunits, its clinical picture and prognosis is variable, but brain damage with mental problems as well as physical problems, such as lethargy, hypotonia, seizures, pancreatitis and ketoacidosis, are common events. The management of MSUD requires a life-long diet where the level of these essential branched chain amino acids is kept under strict control and at the barest minimum. If well managed from early years, those afflicted are usually able to live a normal life with little or no neurological damage. The prevalence of this disorder is reported to be approximately 1 in 200,000 [9], with increased incidence in persons of Amish, Mennonite, and Ashkenazi Jewish descent [16–18].

2.1.5 Glutaric Aciduria Type 1 (GA1)

Glutaric aciduria type 1 (GA1) is another inherited error of metabolism involving the reduced capability of the breakdown of amino acids. Its worldwide prevalence is reported as 1:100,000 [19]. GA1 is caused by mutations within the *GCDH* gene that encodes for the enzyme glutaryl-CoA dehydrogenase. The enzyme is required for the metabolism of the amino acids hydroxylysine, lysine and tryptophan. This reduction of effective enzyme activity results in a build-up of intermediate me-

tabolites 3-hydroxyglutaric acid and glutaconic acid. Both these metabolites are toxic to the basal ganglia, with initial symptoms such as macrocephaly occurring at birth in some affected individuals, though it is not unusual for symptoms to become apparent during adolescence or early adulthood. Without treatment, the condition invariably leads to encephalitis-like crisis that leaves severe *sequelae* such as developmental delay, neurologic deterioration, and cerebral palsy. Encephalitis is usually triggered by an intercurrent illness such as infection, fever or prolonged fasting. Treatment consists of dietary manipulation to ensure a low level of lysine and tryptophan, avoidance of prolonged fasting (less than 4–6 h) as well as treatment with supplements such as riboflavin and L-carnitine.

The collective importance of the health burden constituted by inborn errors of metabolism, together with the cost-effective and acceptable patient testing and management strategies, make them ideal candidates for newborn testing programmes; in fact, several countries already have such programmes set-up, with a significant number offering extended screening through MS/MS (Table 3).

2.2 Cystic Fibrosis

Cystic fibrosis (CF) is the most common lethal autosomal recessive condition among Caucasians, with a prevalence of around 1/2500 live births [20] and a high mutation carrier rate at 1/25 [21]. It is caused by mutations in the *CFTR* gene encoding the cystic fibrosis transmembrane conductance regulator protein. The latter functions mainly as a chloride ion channel and is present in the epithelial cell membranes of several tissues having secretory functions, such as the lungs, sweat glands, gastrointestinal tract and pancreas [22]. Mutations in *CFTR* result in the loss or impaired function of the channel, leading to the pathological hallmarks of the disease. The main manifestations are in the lung and pancreas: 85–90% of affected children develop pancreatic insufficiency by the first year of life, while pulmonary insufficiency causes more than 80% of CF-related mortality. In the lungs, increased mucous viscosity inhibits its normal clearance by cilia and coughing; the excess mucous forms plaques with hypoxic spaces which can be colonised by opportunistic bacteria such as *Pseudomonas aeruginosa*. Chronic infections of the airways with inflammation and infiltration by polymorphonuclear cells are followed by bronchiectasis (irreversible dilation of the airways), hypoxaemia (low blood oxygen concentration) and hypercarbia (abnormally high levels of circulating carbon dioxide). Pancreatic insufficiency occurs due to intrapancreatic duct obstruction by viscous secretions, with autolysis and fat replacement; insulin insufficiency or carbohydrate intolerance are in fact commonly present in CF patients [23]. Most affected males are also infertile [24].

Fortunately, life expectancy for CF patients has increased to an average of 37 years through new management strategies, although no definitive cure is yet available, and new models predict newborns with CF today to have a life expectancy of around 50 years [25]. Since it is very important to diagnose the disease and provide treatment at an early stage, CF is one of the most important conditions included in

newborn screening programmes (Table 3). The main testing strategy involves the measurement of immunoreactive trypsinogen (IRT) from heel-prick blood samples, followed by the sweat test and genetic mutation analyses [24]. More than 95% of affected newborns have no recorded family history of CF [21], which provides an argument in favour of prenatal or (preferably) pre-conception screening for mutations to predict the risks of having an affected child. However, carrier screening for *CFTR* mutations has its disadvantages. Firstly, around 1000 mutations in the *CFTR* gene have been reported since its association with the disease; although in most populations, up to 90% of affected individuals should have one of a few mutations included in a pan-ethnic gene panel, there may still be mutations which are not covered by the panel and this residual risk must be clearly stated both while obtaining informed consent and while reporting the results, to avoid instilling a false sense of security in mutation-negative patients [26, 27]. This might be overcome in the near future by high-throughput screening of the whole *CFTR* gene, but the latter in turn will identify sequence variations of undetermined significance and thus more research into each detectable variant will be required [21]. Secondly, not all mutations have been associated with classical CF; other *CFTR*-related conditions such as male infertility may constitute incidental findings and require careful genetic counselling [26]. Only the functional effects of a few mutations have been established to date, and associations between genotype and phenotype are weak, due to variability in the environmental and genetic background as well as phenotype heterogeneity in patients having the same mutations [23].

The recent discovery of mutation-specific orphan drugs for CF, most notably VX-770 for patients carrying the G551D mutation [28] and PTC124 for patients expressing premature stop codons [29], further highlights the importance of genetic testing for CF for early detection and treatment in target patients. Although these mutations constitute only a small fraction of CF patients (ca. 5 and 10%, respectively) and, subsequently, treatment costs are still very high, research for new drugs is ongoing and will undoubtedly make population genetic screening more feasible in the near future.

2.3 The Haemoglobinopathies

Haemoglobinopathies comprise a heterogeneous group of autosomal recessive inherited disorders resulting in the production of abnormal or reduced synthesis of normal globin chains that constitute the building blocks of haemoglobin. Those conditions that completely or partially abolish the production of globin chains are known as thalassaemia, while the conditions that result in abnormal globin chain production constitute chain variants. The haemoglobin molecule is composed of two pairs of globin chains with each globin attached to a haem moiety. In adult haemoglobin, the two pairs of globin chains are known as alpha (α) and beta (β). The alpha chains are coded for by a pair of identical alpha genes (*HBA1* and *HBA2*) on chromosome 16, thus an individual has four alleles. In contrast, the beta globin chains are encoded by a single gene (*HBB*) on chromosome 11 with an individual

having two alleles. The worldwide distribution of haemoglobinopathies follows the worldwide distribution of endemic malaria [30]. As a result of human migratory patterns, haemoglobinopathies have become a major health issues in developed countries where the disease was not endemic. Since early identification and treatment of haemoglobinopathies improves morbidity and mortality rates, newborn, antenatal and/or cascade screening for these conditions has been established in various countries in Europe (Table 3) and around the world.

2.3.1 Haemoglobin Variants

In general, haemoglobin variants are due to mutations within the β or α globin genes, though foetal haemoglobin variants as a result of mutations in one of the two γ globin genes (*HBG1* and *HBG2*) have also been reported. Individuals that carry only one mutated gene are known as carriers. Though considered as an autosomal recessive condition, it is more accurate to define a trait as autosomal codominant, as the globin chain variants are expressed and are present in the haemoglobin of 'carriers'. In contrast, carriers are usually considered healthy as the trait does not normally result in clinical consequences. Haemoglobin variant homozygotes or compound heterozygotes that result in significant functional alterations of the resultant haemoglobin would usually present with symptoms. The clinically relevant variants are easily identified through simple and relatively cheap electrophoretic techniques supplemented by HPLC methods to verify and quantify the variants. The worldwide clinically important variants include sickle cell haemoglobin, also known as HbS ($\beta 6$ (A3) glutamic acid→valine), haemoglobin C ($\beta 6$ (A3) glutamic acid→lysine) and haemoglobin E ($\beta 26$ (B8) glutamic acid→lysine).

HbS is the most widespread haemoglobin variant, occurring mostly in persons of African origin but also found in persons of Mediterranean ethnicity and in the Indian subcontinent. The single point mutation substitutes a valine residue for glutamic acid in codon 6. This substitution induces the polymerisation of the deoxygenated haemoglobin variant through the formation of hydrophobic bonds between the inserted valine residues of adjacent haemoglobin molecules. This polymerisation results in deformation of the red blood cells that take the sickle cell shape form. Such deformed blood cells obstruct the microcirculation of sickle cell patients. Thus, any physiological stress that reduces oxygenation or increases oxygen requirements, results in the rapid polymerisation of HbS and the precipitation of sickle cell crises, with risks of strokes at a very young age. Treatment involves daily prophylactic antibiotics, transfusions and hydroxyurea treatment to reduce sickle cell crises.

In haemoglobin C, the glutamic acid to lysine change is in the same position to that of HbS but instead of polymerisation, the haemoglobin precipitates within the red cell and damages the membrane. Damaged red blood cells increase the viscosity of the blood, are haemolysed and sequestered both in the bone marrow and spleen. HbC disease is not as severe as HbS and might not be diagnosed before adulthood. Though mild, patients can still experience joint pains as well as gall stone problems. HbC is most common in persons of African ancestry.

Haemoglobin E is the most common beta globin variant in the Far East, where carrier frequency can reach 25%, mainly in Thai and Chinese. The HbE mutation activates a cryptic splice site, leading to a slightly reduced rate of synthesis in addition to some instability. Thus in the homozygous state, HbE is considered a very mild haemoglobinopathy with mild anaemia that does not usually require treatment. In contrast, the condition is very severe in compound $\beta^E/\beta^{\text{Thal}}$ heterozygotes.

2.3.2 α -Thalassaemia

As humans inherit two *HBA* genes from each parent and thus the normal genotype is $\alpha\alpha/\alpha\alpha$, the genetics of α -thalassaemia is somewhat complicated. Most of the alpha-thalassaemia abnormalities are the result of deletions of either one or two of the α -genes. Mutations that result in the inactivation of a single α -gene exist but are not common. The α -thalassaemias can be classified into two groups, α^+ -thalassaemia and α^0 -thalassaemia (Table 1).

The homozygous α^0 -thalassaemia state is incompatible with life as no α -globin chains are produced from around the 6th week of foetal life, the α^0 -thalassaemic foetus is severely anaemic, oedematous and has all the features of severe intrauterine hypoxia. The child is usually stillborn late in pregnancy and the pregnancy is usually complicated with toxemia and difficulty during delivery, in part due to an enlarged placenta. The compound heterozygous state ($-/-\alpha$) is a condition known as HbH disease, which is characterised by anaemia and an enlarged spleen and might require lifelong treatment with transfusions and iron chelation. The α^+ -thalassaemia and the heterozygous α^0 -thalassaemia do not require treatment and can be considered as healthy carriers. α -thalassaemia can be identified during newborn screening through the identification of an abnormal haemoglobin made up of tetramers of γ globin chains (γ_4) called Hb Bart's and in adults through the identification of HbH (β_4).

2.3.3 β -Thalassaemia

Similar to α -thalassaemia, β -thalassaemia is prevalent in Mediterranean countries (with Cyprus having the highest prevalence), the Middle East, Central Asia, India, Southern China, and the Far East, as well as in countries along the north coast of Africa and in South America [31]. β -thalassaemia is mostly due to point mutations or small deletions, though rarely large deletions can also be the cause. Over 270 *HBB* gene mutations that give rise to a β -thalassaemia phenotype are listed in the database of human haemoglobin variants and thalassaemias (<http://globin.bx.psu.edu/hbvar/menu.html>). Each ethnic group has a small set of predominant mutations.

Table 1 The classification and genotypes of the α -thalassaemias

	Heterozygous state	Homozygous State
α^+ -thalassaemia	$-\alpha/\alpha\alpha$	$-\alpha/-\alpha$
α^0 -thalassaemia	$- -/\alpha\alpha$	$- -/- -$

β -Thalassaemia can be classified into three groups, β -thalassaemia trait or carriers ($\beta^A/\beta^{\text{Thal}}$), β^+ -thalassaemia (homozygous state for mild or moderate mutations or compound heterozygous for a moderate and severe mutation) and β^0 -thalassaemia (homozygous state for severe mutations). Persons with the trait are considered healthy carriers. β^+ - and β^0 -thalassaemia patients, require regular transfusions, depending on the severity of the conditions, together with regular iron chelation. New-born screening for β -thalassaemia is complicated by the fact that the majority of haemoglobin in a normal newborn is HbF and thus is not affected by the presence of the thalassaemia. The early identification of β -thalassaemia compound heterozygotes and homozygotes requires the establishment of antenatal screening programmes so as to identify β -thalassaemia carrier expectant mothers. Antenatal diagnosis offers the possibility of counselling and allows targeting of the baby once it is born to avoid complications of the disease.

2.4 Hereditary Haemochromatosis

Hereditary haemochromatosis (HH) is a rather common monogenic disorder, affecting 1 in 200 to 1 in 500 of Caucasians [32] and characterised by increased absorption of dietary iron, with subsequent progressive deposition in organs including the liver, pancreas and heart [33]. It is most prevalent in Northern European populations but is found worldwide [34]. The associated gene, *HFE*, is related to the human leukocyte antigen (*HLA*)-A3 complex; two missense mutations, namely C282Y and H63D account for up to 95 % of probands. The HFE protein localises to the duodenal crypt cells, where dietary iron is absorbed, and negatively regulates absorption through association with cell-surface transferrin receptors. *HFE* mutations cause loss of HFE protein function, the C282Y mutation being more detrimental due to concurrent disruption of the association of HFE with β_2 -microglobulin, which is important for proper function [33].

HH initially presents with relatively non-specific symptoms, such as fatigue, joint and abdominal pain and palpitations, leading to frequent misdiagnosis. Later complications of iron deposition include cirrhosis, diabetes mellitus (DM) cardiomyopathy and primary hepatocellular carcinoma, which are also relatively common primary disorders. Symptoms generally appear between 40 and 60 years, with later onset in females attributed to iron loss through menstrual cycles, pregnancy and lactation [33, 35]. Management of HH is by periodic venesection or phlebotomy to remove blood and, consequently, excess iron; this treatment is effective, safe and inexpensive [33].

Although inheritance of *HFE* mutations is simple Mendelian, incomplete penetrance makes predictive testing difficult and provides an argument against population screening, which may inevitably have psychosocial consequences. On the other hand, it meets most of the WHO criteria which justify population screening (Table 2). Cascade screening for *HFE* is in fact implemented in many countries throughout Europe (Table 3).

2.5 *Familial Hypercholesterolaemia*

Familial hypercholesterolaemia (FH) is also rather common, with an estimated prevalence of 1/500 (0.2%) in Caucasians. Some populations show yet higher frequencies attributed to founder effects [36]. FH is characterised by abnormally high plasma levels of low-density lipoproteins (LDL) and total cholesterol, with predisposition to early-onset coronary heart disease (CHD) due to the formation of atherosclerotic plaques. FH is mostly caused by mutations in the LDL receptor (*LDLR*; > 1000 mutations identified), apolipoprotein B (*APOB*; 9 mutations identified) or proprotein convertase stabilisin/kexin type 9 (*PCSK9*) genes, with autosomal dominant inheritance [37]. The latter implies that the inheritance of one mutation from just one parent will result in the disease phenotype; in fact, heterozygous patients constitute the vast majority of cases. Also, couples where one partner is affected (with a heterozygous genotype) have a 50% chance of disease transmission to the offspring [38]. The high degree of risk among family members makes cascade screening for FH a valuable tool in the identification of affected individuals (Table 2); early detection of FH and subsequent treatment with statins significantly reduce morbidity and mortality from CHD associated with FH [38, 39].

Despite international efforts, an estimated 80% of FH patients are still not being diagnosed [40]. An important pitfall is the phenotypic and genotypic heterogeneity of the disease. Until recently, diagnosis was mostly made by means of LDL measurements, with specific cut-off points according to age and family history (lower cut-offs are used for those having an affected first-degree relative than those having an affected second-degree relative, for example) [41]. However, LDL and total cholesterol levels are highly variable in FH patients, even after adjustment for gender, age and body mass index (BMI), and may also overlap with those of the general population, resulting in reduced sensitivity and specificity [42].

The inclusion of mutation analysis has been proved to increase the specificity and sensitivity of the diagnosis of FH [36]. In fact, European criteria for FH developed by the Simon Broome Register Group (UK) and the Dutch Lipid Clinic Network, include the presence of a functional FH mutation, even in the absence of other criteria, as diagnostic of “definite” FH [43, 44]. This is due to the dominant nature of the genotype and the high penetrance of mutations, which is close to 100% [38]. Nonetheless, a wide genotypic variation is also observed: apart from populations with founder effects, which are characterised by a few mutations responsible for most cases, the situation is generally that of a large number of mutations giving rise to a highly heterogeneous population, such as in the UK, Italy and Germany [42]. Thus, each population must define the genotypic characteristics of its FH patients before a successful screening programme can be implemented, and even at this stage negative mutational analysis results do not necessarily exclude the presence of FH, since there might be mutations which are not included in the testing panel [38].

Table 2 describes how the Wilson and Jungner criteria apply to the monogenic disorders discussed above, for all of which some type of screening programme is currently implemented in most European countries (Table 3). It may be observed that the majority of the listed disorders do not satisfy the complete list of criteria,

Table 2 The Wilson and Jungner criteria as applied to a selected group of monogenic disorders

	Inborn errors of metabolism	Cystic fibrosis	Thalassaemia	Hereditary haemochromatosis [5]	Familial hyper-cholesterolaemia
Important burden	Yes, collectively	Yes	Yes	Yes	Yes
Accepted & specific treatment	Yes, for most	Yes	Yes (blood transfusions)	Yes (phlebotomy)	Yes (statin administration)
Facilities for diagnosis & treatment	Yes	Not yet evaluated	Yes	Not yet evaluated	Not yet evaluated
Early stage	Yes	Yes	Yes	Yes	Yes
Suitable test	Yes	Yes (in 90% of cases)	Yes	Uncertain predictive value	Yes (dominant inheritance)
Test is Acceptable (Health benefits outweigh psycho-social risks)	Uncertain	Uncertain (yes when limited to NBS by IRT?)	Yes	Uncertain	Uncertain
Known natural history	Yes	No	Yes	No	No
Agreement about when to treat	Yes (as early as possible)	No	Yes (CBC cut-offs)	No	No
Acceptable cost of care	Yes	Uncertain	Yes	Uncertain	Uncertain
Ongoing process	Yes, in diseases with established programmes (PKU, MSUD, etc.)	Yes	Yes	Cannot yet be addressed	Cannot yet be addressed

Table 3 Showing the number of European countries with established newborn, population-wide and cascade screening programmes for the conditions described in the text. Adapted from Javaher et al. (2010) [45].

Screening strategy	Inborn errors of metabolism	CF (<i>CFTR</i>)	Thalassaemia/Haemoglobinopathies	HH (<i>HFE</i>)	FH (<i>LDLR</i>)
NBS	26	15	8	–	–
Population-wide	–	7	9	–	–
Cascade	–	16	10	11	8

and that the most important criteria, which may have had the highest impact on the decision-making process, are the burden of the disease, the availability of a specific and acceptable treatment (or rather management, as no definitive treatment yet exists for any of the disorders), and the presence of an early stage during which the condition can be diagnosed (or predicted) and treatment initiated.

As can be observed in Table 3, all 26 European countries reviewed by Javaher et al. (2010) have taken up one form or another of newborn screening [45]. All states have NBS programmes for congenital hypothyroidism, and all except Malta for PKU.

It is evident that several factors played an important part in the decision-making process to set up the screening programmes, highlighting the importance of the previously described criteria. PKU can be defined as the classical type of disease ideal for NBS: although classified as a rare disease, it is of early onset (symptoms developing in the first few months of life), it is relatively easy to diagnose before symptoms develop and also easy to treat, and it has severe complications if left untreated. The same can be applied to congenital hypothyroidism, although it is estimated that only around 15% of cases are genetic and 85% are due to thyroid dysgenesis [46]; thus this disorder was not addressed in detail in this chapter. Newborn screening for other inborn errors of metabolism which are very rare has been made feasible through MS/MS, which is currently being applied in 11 European countries. It is important to note, however, that despite the ability of MS/MS to detect up to 45 disorders, most countries choose to report only a few of these disorders, mostly due to ethical and psychosocial reasons.

In the case of CF, NBS is generally by an established algorithm, involving a primary screening test for immunoreactive trypsinogen (IRT), followed by either repeated IRT testing or DNA testing. The second tier depends on whether repeat samples are taken from the newborns; where only one blood sample is available, a positive IRT is followed by DNA testing. The latter will defer in detection rate according to the number of mutations screened for, due to the large number of possible *CFTR* mutations causative of CF. Population-wide testing for carriers and couples at risk of having affected children are also dependent on mutation panels and thus include the inevitable degree of false-negative findings [47]. As has already been mentioned, psychosocial risks involved in genetic testing for *CFTR* mutations are also significant [11]. Cascade screening plays an important role since the mutations are narrowed down to those carried by the proband.

Currently, only cascade screening programmes are in place for HH and FH. This is due to the importance of family history in these disorders as well as the later onset, which makes screening targeted only at high-risk individuals more feasible. The screening process is generally initiated when the first case showing the symptoms and carrying a causative mutation, or proband, is found, and his/her relatives are followed-up to find whether they are carriers of the same mutation, in order to prevent the consequences of the disease.

The HH and FH cascade screening programmes provide valuable arguments in the implication of screening for monogenic subsets of common, multifactorial conditions. Being highly penetrant and autosomal dominant, *LDLR* mutations do not just confer a risk for FH but justify preventive measures, i.e. statin administration to

prevent hypercholesterolaemia. Furthermore, FH in turn confers an increased risk of heart disease such as myocardial infarction. However, the low penetrance of HH mutations, which are very common especially in Northern Europe, makes screening for such mutations a risk status assessment, similar to that in multifactorial disorders. Thus, no population-wide screening programmes to detect *HFE* mutations are in place; rather, probands present with elevated iron levels in serum and their relatives are followed up to determine the risk of having inherited the same disorder. It is only at this level that genotyping of symptomless individuals take place [5].

An important observation to make is that there are significant discrepancies in the screening programmes present between European countries, and no consensus exists as yet as to which disorders should be screened for or, as in the case of MS/MS, reported [48].

Even more diverse are the European policies on population-based carrier screening programmes, targeting either pre-conception or prenatal individuals. In the case of such programmes, the epidemiology of the targeted disorders probably plays the most important part in their selection. A good model to illustrate this diversity are the population-wide carrier screening programmes for thalassaemia and other haemoglobinopathies established in several countries. Population-wide haemoglobinopathy screening is present in countries having higher prevalences, such as in the Mediterranean region, with ethnic-specific screening being preferred in countries such as Germany. Furthermore, in countries with high prevalence such as Cyprus, the population screening programme is run in parallel to a newborn screening programme, to ensure maximum coverage of affected individuals [45]. Increased public awareness and uptake of prenatal screening have largely contributed to the success of these programmes [49]. Other country specific differences involve pre-conception versus prenatal screening. While in Cyprus pre-conception screening of β -thalassaemia is carried out in all cases (followed by prenatal diagnosis in those cases that are at risk), in other countries such as the UK, screening is carried out prenatally.

3 The Way Forward—Public Health Genomics Perspectives on use of Next-Generation Sequencing for Newborn Screening

NBS started with the Guthrie test in the 1960s and rapidly expanded around the globe. It has been possible to test for a handful of disorders with this method. In the late 1990s, early 2000s, we have seen the introduction of MS/MS, which has been replacing the Guthrie test in many countries. With MS/MS, it is possible to expand the number of screened disorders extensively, without significantly increasing the overall costs.

In the transition from the Guthrie test to MS/MS, the major question was not the detectability of the disorders with this technology, but how to decide on which disorders to include in the NBS programmes. Although there has been a general

consensus on screening criteria, such as the Wilson and Jungner criteria [3], various countries interpreted them differently or used modified criteria when selecting the disorders to be included in the NBS programmes. For example, Germany has been using three criteria [50], whereas the UK has been using 22 criteria, all of which must be fulfilled before a disorder is included in the NBS programme [51]. Additionally, various countries have different stakeholders and technology-push vs. market-pull dynamics for NBS, as well as different values, structures and processes in health technology assessment, all of which are rooted in differences in their health care systems [52]. These lead to different lists of disorders covered in NBS programmes, as seen in Table 3.

We are approaching another shift in NBS with the upcoming next-generation sequencing (NGS) technologies. Also termed as ‘massively parallel sequencing’ or ‘second generation sequencing’ [53], NGS has been reducing both the cost and time required to accomplish whole genome sequencing. With the rapidly decreasing cost of this technology, soon it will be possible to sequence the whole genome of an individual for less than 1000 US dollars. Once DNA sequencing technology is sufficiently robust and affordable, it will be possible for all babies to have their genomes sequenced at birth, replacing both newborn bloodspot screening and additional genetic tests required later in life [54]. This means that it will be possible to screen for a virtually unlimited number of disorders in NBS with almost no additional costs per disorder. However, it is not the technologic capacity to sequence entire genomes, but the analysis and interpretation of the generated data, that is the main bottleneck for the application of NGS for whole genome or exome sequencing [54].

The data generated from whole genome or exome sequencing in the newborn phase can be used not only to screen for monogenic disorders or monogenic subset of complex disorders, but also for pharmacogenetics (potential response to drugs), nutrigenetics (response to nutrients) and risk assessment programmes for major complex disorders, such as cardiovascular diseases or type 2 diabetes. Therefore, implementation of this technology will have an impact on the whole health care system, beyond the NBS programme.

There are several issues that need to be resolved when preparing for using NGS in the newborn phase. Some of these are presented very briefly below:

3.1 Human Resources

The knowledge, attitude and skills of health professionals to use genome-based knowledge are presently very limited. This shortcoming needs to be addressed effectively with professional education and training programmes. However, the effect of such training might be limited on health professionals that have already been practising in traditional ways for many years. The main target should be the under- and postgraduate education of health professionals.

Besides, to develop the tools for data analysis, bioinformaticians will be a crucial professional group required both in central levels and in local clinical services [54].

3.2 Informatics Capacity to Store and Process Data

Sequencing the whole genome or exome of large population groups will create massive amounts of data which need to be stored, retrieved and analysed. This requires data storage and processing infrastructures. Additionally, analytical tools to analyse and interpret the whole genome or exome must be developed.

3.3 Clinical Health Services

The systems must be in place to integrate the generated genome-based information in clinical health services. For example, in the first place, genome-based data can be used in the prescription of drugs which have significant pharmacogenetic interactions, such as warfarin. Nevertheless, the infrastructure to access such data and clinical work flows making use of the data must be in place, for NGS to be applicable to the healthcare setting.

3.4 Data

A recurring issue that arises when genome-based data are discussed is whether genetic data are different than other data concerning health. ‘Genetic exceptionalism’ claims that all genetic and genomic samples and data merit special protection, regardless of their medical sensitivity or predictive power. This claim has been rejected by various groups and reports, including the Public Health Genomics European Network [55], the Ickworth Group (an international group consisting of experts from multiple disciplines which came together in Ickworth, UK) [56] and others [57]. The main underlying idea is that genetic data deserve no separate status; they must satisfy equally high standards of data protection and confidentiality as other types of health data.

In the future paradigm of health care, the line dividing health care provision from health research will likely get thinner. In particular, in the context of the ‘big data’ approach, datasets created from the regular health care data and various other data collected from individuals are envisioned to be used by data mining to provide insights to health and diseases. Whole genome or exome sequence data of large populations will provide a great opportunity for such research and to discover the genetic basis of various diseases.

For this future vision, having longitudinal (long-data) and large sets of data (big-data) is required, but not enough. For the development of new forms of prevention, diagnosis and treatment of complex diseases, abundant and intricate health data must be combined with innovative analysis strategies in a cross-disciplinary environment [57]. However, recent developments in the EU legislation on data protection impede use of health care data for research, due to the restrictions proposed on the use of health data, even for research purposes [58–60]. This is an important regulatory bottleneck that needs to be overcome.

Genetic data hold a very promising future. However, precautions are required to protect the owner of the data, i.e. the individual. Regulatory mechanisms must be in place to prevent any discrimination that may arise due to genetic characteristics of the individual. GINA—Genetic Information Non-Discrimination Act in the USA, which came into force in 2009, is one of the major examples of a legislation that protects individuals from being discriminated by employers or insurance companies based on their genetic data.

3.5 *Ethical Issues*

An important issue that needs to be considered for whole genome or exome sequencing in the newborn phase is that the cost of sequencing the whole genome will soon practically be not more expensive than sequencing targeted genes. Therefore, sequencing the whole genome (or exome) at once seems to be the most practical solution. However, this brings ethical discussions on issues such as protection of the future autonomy of the screened infant, the right not to know, and the issues around incidental findings.

Incidental findings are any findings which are outside the scope of the clinical enquiry [54]. They are “the results of a deliberate search for pathogenic or likely pathogenic alterations in genes that are not apparently relevant to a diagnostic indication for which the sequencing test was ordered” [61]. In the context of whole genome or exome sequencing, the issues that may arise from incidental findings span multiple clinical, genetic and social dimensions, such as racial ancestry, misattributed parentage, consanguinity, disease susceptibility, and reproductive risks [54]. Several strategies are discussed to manage the issue of incidental findings, such as classification of genes and disorders according to net benefits [54] and providing lists of conditions that must be reported when found [61].

4 **Complex Disorders**

Common complex diseases can be defined as a group of disorders with similar symptoms but having a variable aetiology. Though the genetic component within complex diseases is inherited in a similar way to the inheritance in monogenic or Mendelian disorders, the main difference is that, while in Mendelian disorders a single gene variant is compulsory to reach the critical threshold in developing the disease, with modifier genes and environmental factors modifying the phenotype, in complex disorders both the threshold to develop the disease as well as its severity, are modified by complex aetiological factors. In between these two ends of the genetic spectrum, one finds a group of disorders in which alterations in susceptibility genes would bring one close to the required threshold for the condition, but other genetic, environmental and lifestyle factors are required for the disease to establish itself. This group can be considered as that of ‘monogenic subtypes’ and includes such genes as *BRCA1*, *BRCA2*, *MSH2* and *MLH1*.

Common complex disorders include cardiovascular disease (CVD), diabetes, cancer, dementia, auto-inflammatory and auto-immune diseases, amongst others. Preventive genetics in multifactorial disorders require an in-depth understanding of the genetic variability associated with the disorder, in order to define the complex disease into subtypes of variable aetiology. Genome-wide association studies (GWAS) have been used extensively to identify genetic variants associated with multifactorial diseases. Whereas current testing programmes include inherited monogenic diseases (Table 2), taken individually, the contributing genetic variants in multifactorial diseases still lack a high predictive value and hence genetic results are inconclusive and very difficult to interpret.

Of interest is the characterisation of monogenic subtypes within complex diseases that can be easily taken up into genetic testing programmes (Fig. 1). The term ‘monogenic subtype’ needs some clarification since the gene involved requires other contributing factors to cause the disease. The mutated gene in the monogenic subtypes within complex disorders, provides a cellular programme that is susceptible to the initiation of disease. The effect of the mutant will be exerted on tissues that normally express the gene. The resulting loss or gain of function will set a molecular threshold, requiring the contribution of additional factors, to initiate a specific disease. These susceptibility genes are masked by the presence of other variations that might occur during the disease state. Hence, low frequency susceptibility genes can only be identified through high penetrance in family studies.

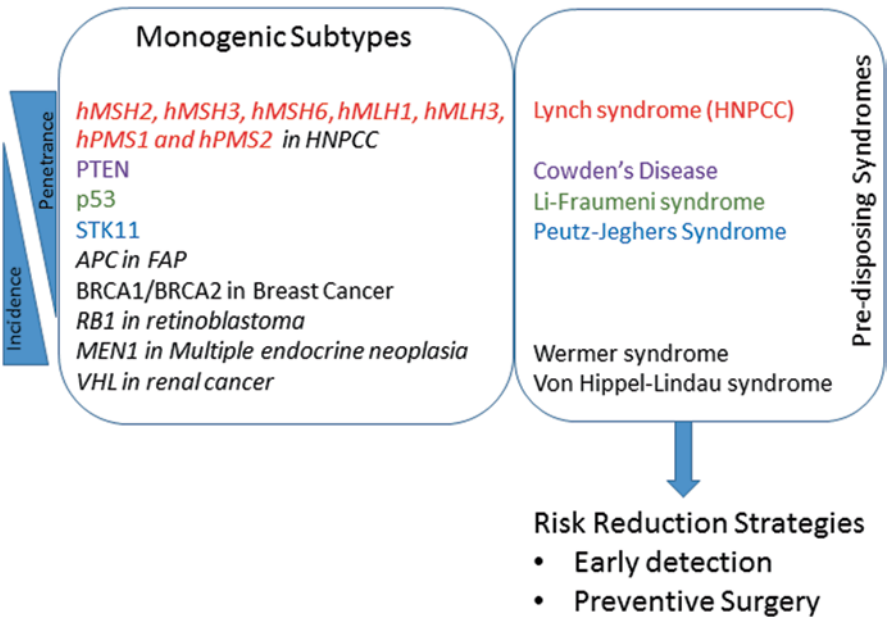


Fig. 1 Inherited susceptibility mutations in cancer. (Genes in red: mismatch repair genes; violet: phosphatases; green: apoptosis induction)

Major contributing genes in complex disorders have been identified due to their high penetrance, exemplified by *BRCA1* and *BRCA2* [62, 63] with increased risk of breast and ovarian cancers, *hMSH2* with increased risk of hereditary nonpolyposis colorectal cancer (HNPCC) [64], and α -synuclein in Parkinson disease [65].

The genetic basis of colorectal cancer (CRC) is well defined, and is used as a model to describe the molecular events that promote the progression of disease in cooperation with the defects in inherited susceptibility genes. The risk to develop CRC has been associated with inherited mutations in the mismatch repair genes [66]. Similarly, DNA repair genes predispose for breast cancer, including *BRCA1* and the Fanconi Anaemia (FA) genes *BRCA2* (*FANCD1*), *FANCF* (*BRIP1*), *FANCG* (*PALB2*) and *FANCI* (*RAD51C*) [67–69]. In addition, Fanconi genes are associated with other cancers, including acute myeloid leukaemia [70].

Inherited mutations in *PTEN*, *p53*, *RBI*, *MEN1* and *VHL* give rise to predisposing syndromes, namely Cowden's Disease [71], Li-Fraumeni syndrome [72], Retinoblastoma [73], Wermer syndrome [74], and von Hippel-Lindau syndrome [75], respectively. These inherited susceptibility genes have a low frequency with a high penetrance. Hence, these genes are candidates for a testing programme in specialised clinics, screening family members of patients, to identify risk and initiate preventive monitoring or discuss possible clinical solutions to reduce the risk significantly [76].

4.1 *Familial Cancer Predisposing Syndromes*

4.1.1 *Familial Adenomatous Polyposis*

Polyposis syndromes are exemplified by Familial adenomatous polyposis (FAP) characterised by *APC* gene mutations and a lifetime risk of CRC close to 100 %. *APC* is a tumour suppressor regulating the degradation of the transcription factor β -catenin, the effector molecule of the Wnt pathway. *APC* stabilises a protein complex that sequesters β -catenin in the cytoplasm, leading to proteosomal degradation. FAP is characterised by the presence of more than 100 colorectal adenomatous polyps prevalence [71]. Rectal bleeding indicates enlarged and numerous adenomas, a condition which is rare in children and adolescents. If untreated, the condition will develop into colorectal adenocarcinoma with an early age of onset. Surveillance, chemoprevention [77] and improved endoscopic treatment provide opportunities for better treatment of FAP [78] and decrease dependency on prophylactic cancer-preventive colorectal surgery [79].

4.1.2 *Li-Fraumeni Syndrome*

Li-Fraumeni Syndrome (LFS; OMIM 151623) is an autosomal dominant cancer predisposition syndrome associated with *p53* germline mutations [80]. Family

studies show a high penetrance with early onset of sarcomas, breast cancer and other non-therapy-related neoplasms. The age of primary cancer onset ranges from 4 months to 49 years with a mean age of 25 years [81]. The variety of neoplasms and the early age of onset is attributed to mutant *p53*, a major gatekeeper of apoptosis in response to DNA damage. Of interest, mutations that result in truncation of the *p53* protein are associated with higher cancer risk and earlier age of onset [82]. Defective *p53* function results in the accumulation of mutations in proliferative tissues. The population frequency of germline *p53* mutations in Europe and United States is around 1:5000 individuals [83]. Eligibility for genetic screening is determined by established clinical criteria that classify individuals with LFS. The classic LFS classification scheme requires a proband with sarcoma diagnosed before the age of 45, a first-degree relative with any cancer before 45 years of age and another first- or second-degree relative with any cancer diagnosed at under 45 years or with a sarcoma at any age [84]. Other classification schemes were designed based on further family studies and the Chompret criteria [85] enhances the predictive value of the classic LFS classification, resulting in a testing sensitivity of 95 % [81]. The Chompret criteria consider any proband with adrenocortical carcinoma at any age of onset eligible to *p53* mutation analysis, irrespective of family history. In addition, the criteria include other proband neoplasms such as breast cancer and brain tumour diagnosed at an early age of 36 years. Presymptomatic testing for germline *p53* mutations predicts the susceptibility to various neoplasms, imposing ethical issues due to lack of complete clinical surveillance, preventive measures and treatment recommendations. The high penetrance of germline *p53* mutations in familial breast cancer patients predicts an average age of onset of 31 years [86], and hence provides eligibility for breast cancer screening in *p53*-mutant women who are in their mid-20s, followed by implementation of risk reduction strategies.

4.1.3 Cowden Syndrome

Cowden Syndrome (CS; MIM 158350) is a rare autosomal dominant cancer predisposition syndrome with a prevalence of 1 in 200,000 [87] and an age-related penetrance of around 80 % [88]. The susceptibility gene in CS is *PTEN*, predisposing individuals to breast, endometrial and thyroid cancer [89]. *PTEN* is a ubiquitously expressed phosphatase involved in the attenuation of the PI3K pathway, hence acting as a proliferation suppressor [90]. The clinical symptoms of CS include multi-organ hamartomatous polyps in the majority of the affected subjects [91]. In addition to Cowden Syndrome in adults, germline *PTEN* mutations result in Bannayan-Riley-Ruvalcaba syndrome (BRRS; MIM 153480) in children [92], collectively known as the *PTEN* hamartoma tumour syndrome (PHTS). The highest age-adjusted standardised incidence ratio of germline *PTEN* mutants occurs in thyroid cancer, followed by endometrial, kidney, breast and colorectal cancer and melanoma (Table 4). Of interest, promoter mutations in the *PTEN* gene were associated with

Table 4 Age-adjusted estimated lifetime risk for cancers in some examples of cancer-predisposing syndromes

Syndrome	Mutant genes	Tumour	Estimated lifetime risk (70 years) (%)
Cowden syndrome Tan et al. 2012 [93]	<i>PTEN</i>	Breast	85.2 (71.4–99.1 %)
		Thyroid	35.2 (19.7–50.7 %)
		Endometrium	28.2 (17.1–39.3 %)
		Colorectal	9.0 (3.8–14.1 %)
		Kidney	33.6 (10.4–56.9 %)
		Melanoma	6 (1.6–9.4 %)
Lynch syndrome Bonadona et al. 2011 [95]	<i>MLH1</i>	Colorectal	41 (25–75 %)
		Endometrium	54 (20–80 %)
		Ovarian	20 (1–65 %)
	<i>MSH2</i>	Colorectal	48 (30–77 %)
		Endometrium	21 (8–77 %)
		Ovarian	24 (3–52 %)
	<i>MSH6</i>	Colorectal	12 (8–22 %)
		Endometrium	16 (8–32 %)
		Ovarian	1 (0–3 %)
Peutz-Jeghers syndrome Hearle et al. 2006 [105]	<i>STK11/LKB1</i>	Gastrointestinal	57 (39–76 %)
		Breast	45 (27–68 %)
		Gynaecological	18 (9–34 %)
		Pancreas	11 (5–24 %)
		Lungs	17 (8–36 %)

breast cancer, while nonsense mutants were associated with colorectal cancers [93]. The age of onset of any of the core cancers within the family will establish the age for clinical observations, taken as 5 years less than the age of the youngest family member affected.

4.1.4 Lynch Syndrome

Lynch Syndrome (MIM 120435) is an autosomal dominant cancer predisposing syndrome caused by germline mutations in the mismatch repair (MMR) genes, including *MLH1*, *MSH2*, *MSH6* and *PMS2* [94]. Lynch Syndrome is also known as hereditary nonpolyposis colorectal cancer (HNPCC) syndrome and confers a high risk to early-onset colorectal and endometrial cancer [95]. In contrast to polyposis syndromes, HNPCC lacks characteristic diagnostic features and clinics depend on standardised criteria, such as the Amsterdam criteria, to establish diagnosis. Eligibility for carrier screening requires a family history characterised by immunohistochemistry-verified CRC cases in at least three relatives, including a first-degree relative, the presence of the disease in at least two successive generations, and one of the relatives diagnosed with CRC with an age of onset less than 50 years, with absence of polyposis syndrome [96]. In addition, the inheritance of syndrome-associated cancers within the family and testing for microsatellite instability are used to predict patients with HNPCC [96]. Lynch syndrome accounts for 2–5 % of the total CRC cases, as reflected in the high estimated lifetime risk of *MLH1* and *MSH2* germline mutation carriers (Table 4). Of interest, 10–15 % of CRCs have microsatellite instability, a useful marker of mismatch repair genes loss of function [97]. The majority of cases with no familial predisposition are caused by sporadic hypermethylation of the *MLH1* gene promoter, supporting the high risk of inherited *MLH1* mutants in the development of carcinoma. Lynch syndrome patients are also at risk of developing other cancers, including stomach, ovarian, renal, pancreatic, small intestinal, brain and skin tumours; it can thus be included in the subset of cancer-predisposing syndromes [98].

4.1.5 Peutz-Jeghers Syndrome

Peutz-Jeghers syndrome (PJS; MIM 175200) is an autosomal dominant condition arising from germline mutations in the serine/threonine kinase gene (*STK11/LKB1*) [99]. Since PJS condition is rare, estimates of frequency within populations vary significantly. Patients present with mucocutaneous pigmentation and gastrointestinal polyposis [100] and have an increased risk of gastrointestinal cancers (as in most polyposis syndromes), breast ovarian, uterine, cervical, lung and testicular cancers [101, 102] (Table 4). Loss of heterozygosity (LOH) of the normal *STK11* allele in 70 % of PJS patients, followed by the progression of hamartomas to

adenocarcinomas, suggest that *LKB1* is a tumour suppressor gene [103]. Interestingly, somatic mutations in *LKB1* are common in lung cancer [104], supporting the involvement of inherited *LKB1* mutants in the progression of disease.

4.2 Tools in Predictive (& Preventive) Genetics

Unlike in monogenic disorders, screening for complex diseases presents a greater challenge since the aim is that of risk assessment rather than presymptomatic diagnosis. Furthermore, the concept of personalised (genomic) medicine seems to conflict with the aim of public health, that is, *to improve health from a population perspective* [1]. However, predictive genetics reduces the burden imposed on the health care system by admission of fewer patients with advanced stages of disease; thus, its importance is being increasingly recognised. Family history and personal genomics (the assessment of individual genetic variations at multiple loci) are two important predictive genetics tools. These have a recognised value in the diagnosis of monogenic conditions (as observed in cascade screening programmes such as for HH); however, their value in the management of risk of complex diseases remains to be established [106]. Although assessment of family history provides information on the inheritance of a phenotype [107], the likelihood of an individual carrying a mutant susceptibility gene to develop cancer depends on other genetic variations (modifier genes), as well as on dietary, lifestyle and environmental factors influencing the age of onset and severity of the disease. The routine use of family history or personal genomics alone as a measure of risk of common complex conditions does not generate sufficient evidence in the primary care setting; however, when used together and in conjunction with evidence-based medicine (EBM—the application of population-derived data), they comprise a very useful tool in the improvement of disease prevention [106]. Public health genomics shall play an essential role in designing more effective genetic screening programmes, by applying data derived from personal genomics to public health. This becomes especially important with the advent of cost-effective services for whole genome sequencing or microarrays detecting large panels of mutations, which may undoubtedly lead to over-diagnosis.

Cascade screening for genetic predisposition to cancer is a form of “systematic predictive testing”, where asymptomatic individuals at an increased risk due to their genotypic inheritance may benefit from surveillance programmes to ensure early detection of tumours.

Colorectal cancer is a major contributor to cancer morbidity and mortality. 15 % of CRCs are familial with 2–5 % caused by HNPCC and less than 1 % associated with polyposis syndromes (FAP and PJS). Following diagnosis of Lynch disease, family members benefit from clinical screening by colonoscopy [108]. Recommendations include offering a colonoscopy every 1 or 2 years starting at the age of 25 years or at 5 years before the youngest diagnosed member of the family, whichever

is the earlier [109]. In the case of FAP families, individuals with an APC mutation should strongly consider a prophylactic colectomy before the age of 25 years. Referral of genetic testing for APC mutations will provide confirmation of familial adenomatous polyposis (FAP) or, in the case of family members not exhibiting adenomatous polyps, will evaluate relatives at risk and initiation of surveillance programmes. Surveillance should be offered for APC mutant members that defer surgery [110]. For patients diagnosed with PJS, risk reduction strategies include upper and lower endoscopy, breast examination, endoscopic ultrasound and CA19–9 tumour marker testing for pancreatic tumour surveillance and ultrasound, cervical cytology and CA125 testing tumour marker testing for ovarian cancer [111].

Familial cases account for 10% of breast cancer in Western countries. Susceptibility genes are inherited in an autosomal dominant pattern, but with limited penetrance. High risk families testing positive for the *BRCA1* and *BRCA2* mutant genes are associated with a four or more times higher incidence of the disease in close relatives. The use of surveillance programmes and/or risk reduction strategies in healthy *BRCA1* and *BRCA2* mutation carriers are instrumental to ensure a positive impact of genetic screening on the health care system. Risk-reducing mastectomy (RRM) suppresses breast cancer development by 90% [112]. Inherited mutations in *p53* (Li-Fraumeni) and *PTEN* (Cowden syndrome) have a high incidence of breast cancer development, but the syndromes are very rare. Testing for mutations within these genes requires the use of further diagnostic criteria as detailed below.

Risk assessment tools for complex diseases take into consideration various contributing factors, including the incidence of disease in first-degree relatives; previous diagnostic test results; monitoring results (if any); and presence of genetic mutations/polymorphisms associated with the disease of interest. In the case of breast cancer, risk assessment includes age at first live birth, the use of hormone replacement therapy and other risk factors specifically associated with the disease. The breast cancer risk assessment tool (GAIL model) is used to measure contribution of variants to the calculated risk [113]. The selection of the genetic contribution to multifactorial diseases is not a simple task. Also, the interrogation of genetic variation through screening programmes or referral for testing depends on the minor allele frequency within a population and also on the penetrance within a family having a history of the phenotype. Less penetrant genes with higher prevalence are more significant from a public health point of view. For instance, the factor *V Leiden mutation*, with increased risk of thrombotic events [114], is integrated in the testing regime of health care genetic clinics.

Most of the common chronic disorders, such as asthma, cancer, cardiovascular diseases, obesity, diabetes, hypertension, psychiatric disorders, arthritis, Parkinson's and Alzheimer's, have a complex aetiology and pathophysiology. The current view is that these disorders are due to numerous small, additive genetic defects compounded with environmental and lifestyle causes. Considering that these conditions constitute a major health and economic burden and are the cause of substantial morbidity and mortality, a concerted action is required to elucidate the pathophysiology

and thus open the road for successful treatments and preventive strategies. One major drawback in the determination of aetiological factors that singly confer a small increase in risk is the need of a large number of affected and unaffected individuals ('cases' and 'controls'), so as to achieve statistically significant results. It is very difficult for single clinical and research centres to obtain such large numbers in a relatively short time and with reasonable budgets. For this reason, biobanks and their related databanks have become an important tool for the elucidation of the pathophysiology of these disorders.

5 Biobanks and Preventive Genetics

The Organisation for Economic Co-operation and Development (OECD) definition of a Biobank is "A collection of biological material and the associated data and information stored in an organised system, for a population or a large subset of a population." This definition brings about the need to consider a number of terms, basically "biological material", "associated data and information," "stored in an organised system," and "population or a large subset of a population."

It is current practice, if not a legal requisite, for hospitals and laboratories to collect and store whole organs and tissues that have been excised for diagnostic or therapeutic aims. In addition to these archival banks, since the early 70s specialised collections have been initiated, targeting cells and their products including DNA, RNA and proteins. Collections of biological material, without any associated data, have very limited usefulness as biobanks. The collection of data, including medical histories, lifestyle, social and environmental information, increases the research value of these biobanks as the samples can now be separated into different case and control categories. The next important step in the establishing of a useful biobank is the establishment of associated databases that results in an easier and more efficient search and classification tool as compared to pen and paper processes. Finally, to be considered as a biobank, the samples have to be collected either from the whole population or from a subset of the population that has a particular disorder. In each case, the information collected can either be retrospective, transvers or prospective, depending on the final aim and use of the biobank.

5.1 *Future Biobanks*

The networking of biobanks from different countries or centres, in particular those where both the samples and data have been collected with standardised protocols, has the potential of becoming an ideal platform to collect the necessary data of thousands of individuals with the same medical condition. This has been the main impetus behind various international initiatives in forming large, virtual biobanks through

the networking of individual databases corresponding to individual biobanks. Apart from data on demographic, environmental risk factors, health, lifestyle, nutrition and socioeconomic variables, these biobanks might also hold ‘omics’ (genomics, transcriptomics, proteomics and metabolomics) data. These data and related bioinformatics software offer advanced possibilities in understanding the disease pathophysiology, thus paving the way for therapeutic and prevention programmes for a number of chronic diseases.

6 Conclusion and Recommendations

Consensus is being sought as to which genetic diseases should be included in population screening programmes and new criteria are being defined to achieve this aim [115]. These emerging criteria include important psychosocial aspects of screening, which become especially important when the test is only an assessment of risk rather than a definitive prediction, as well as ethical procedures such as informed consent [5]. Probably, the most effective way of reaching a suitable conclusion is to organise the established and emerging criteria into a whole process of policy-making, involving a thorough assessment of public health requirements, evaluation of the involved tests and interventions, and the actual development of the policy and implementation of the screening programme (Fig. 2). It is only through rigorous planning and organisation that a screening programme may be truly efficacious and cost-effective. In addition, the decision to integrate genetic testing for the identity of carriers at pre-conception, prenatal or cascade screening is important for autosomal recessive and low penetrance disorders. Furthermore, adverse results in both types of conditions lead to decision-making which involves many psychological and ethical issues [49, 116, 117]. This is especially because, in screening for complex or untreatable conditions, the benefits conferred do not necessarily involve treatment/management, but other life-plans such as reproductive or lifestyle choices.

A global effort should be made to standardise the design of such screening programmes, since integration of preventive and predictive genetics into the diverse health care systems would always remain under the responsibility of national/regional health authorities. Hence, guidelines should be set-up and implemented by leading regulatory bodies, such as the European Medicines Agency and the US FDA, under the recommendation of global experts in the field. Finally, standardisation of screening programmes may be also achieved by setting up regional centres of expertise, for example across the European Union. Such centres would provide standardisation and cost-effectiveness by carrying out tests for rare genetic conditions for all the participating countries.



Fig. 2 Established [3] & emerging [5, 115] criteria for screening programmes, with criteria which specifically apply to genetic screening programmes in italics

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