

# Chapter 2

## Nonlinear Eigenvalue Problems in Dunford–Pettis Spaces

In this chapter, we present some variants of Leray–Schauder type fixed point theorems and eigenvalue results for decomposable single-valued nonlinear weakly compact operators in Dunford–Pettis spaces.

### 2.1 Introduction

In this section we discuss operator equations of the form

$$GTx = \lambda x, \quad (2.1)$$

in appropriate spaces of functions, where by  $GT$  we mean the composition  $G \circ T$  of single-valued mappings and  $\lambda$  is a scalar. Recently, several authors [37, 38, 135] have taken advantage of the representation  $F = GT$  and established fixed point theorems for  $F$ -self mapping on closed convex subset of Banach spaces. In applications to construct a set  $\Omega$  of a space  $E$  such that  $F$  takes  $\Omega$  back into  $\Omega$  is very difficult and sometimes impossible. As a result, it makes sense to discuss maps  $F : \Omega \longrightarrow X$ . To do this, one of the most important tools in nonlinear analysis is the Leray–Schauder principle. Due to the lack of compactness for many problems posed in  $L^1$ -spaces, we also give alternatives of Leray–Schauder type for some nonlinear weakly compact composite operators  $F = GT$  in Dunford–Pettis spaces, where  $G$  and  $T$  verify some sequential conditions  $((\mathcal{H}_1)$  and  $(\mathcal{H}_2))$ . Let  $S$  be a nonlinear operator from a Banach space  $X$  into itself. We will use the following two conditions.

$$(\mathcal{H}_1) \quad \begin{cases} \text{If } \{x_n\}_{n \in \mathbb{N}} \text{ is a weakly convergent sequence in } E, \text{ then} \\ \{Sx_n\}_{n \in \mathbb{N}} \text{ has a strongly convergent subsequence in } E. \end{cases}$$

$$(\mathcal{H}_2) \quad \begin{cases} \text{If } \{x_n\}_{n \in \mathbb{N}} \text{ is a weakly convergent sequence in } E, \text{ then} \\ \{Sx_n\}_{n \in \mathbb{N}} \text{ has a weakly convergent subsequence in } E. \end{cases}$$

In the literature a continuous map satisfying the condition  $(\mathcal{H}_1)$  is called (ws)-compact [100].

The following fixed point result will be used throughout this section. The proof follows from the Schauder fixed point theorem.

**Theorem 2.1.** *Let  $\Omega$  be a nonempty closed convex subset of a Banach space  $X$ . Assume that  $F : \Omega \rightarrow \Omega$  is a continuous map which verifies  $(\mathcal{H}_1)$ . If  $F(\Omega)$  is weakly relatively compact, then there exists  $x \in \Omega$  such that  $Fx = x$ .*

## 2.2 Nonlinear Eigenvalue Problems

We use Theorem 2.1 to obtain a nonlinear alternative of Leray–Schauder type for decomposable nonlinear weakly compact operators in Dunford–Pettis spaces.

**Theorem 2.2.** *Let  $X$  be a Dunford–Pettis space,  $\Omega$  a nonempty closed convex subset of  $X$ ,  $U$  a relatively open subset of  $\Omega$  and  $z \in U$ . If  $G : X \rightarrow X$  and  $T : \overline{U} \rightarrow X$  are operators satisfying:*

1.  *$G$  is a bounded linear weakly compact operator.*
2.  *$T$  is a nonlinear continuous operator satisfying  $(\mathcal{H}_2)$ .*
3.  *$T(\overline{U})$  is bounded and  $G(T(\overline{U})) \subset \Omega$ .*

*Then, either*

- (A<sub>1</sub>)  *$GT$  has a fixed point in  $\overline{U}$ , or*
- (A<sub>2</sub>) *there is a point  $x \in \partial_\Omega U$  (the boundary of  $U$  in  $\Omega$ ) and  $\lambda \in (0, 1)$  with  $x = (1 - \lambda)z + \lambda GTx$ .*

**Remark 2.1.** (a) Since  $\Omega$  is closed, the closure in  $\Omega$  of  $U$  and closure are the same, for  $U \subset \Omega$ .

(b) For  $U \subset \Omega$ , we have  $\partial_\Omega U = \overline{U} \cap \overline{\Omega \setminus U}$ .

*Proof.* Consider  $GT : \overline{U} \rightarrow \Omega$ . Suppose (A<sub>2</sub>) does not hold. Also without loss of generality, assume that the operator  $GT$  has no fixed point in  $\partial_\Omega U$  (otherwise we are finished, i.e., (A<sub>1</sub>) occurs). Let  $D$  be the set defined by

$$D = \left\{ x \in \overline{U} : x = (1 - \lambda)z + \lambda GTx, \text{ for some } \lambda \in [0, 1] \right\}.$$

Now  $D \neq \emptyset$  since  $z \in D$ . Also  $D$  is closed. To see this, let  $(x_n)$  be a sequence in  $D$  such that  $x_n \rightarrow x \in \overline{U}$ . For all  $n \in \mathbb{N}$ , there exists a  $\lambda_n \in [0, 1]$  such that  $x_n = (1 - \lambda_n)z + \lambda_n GTx_n$ . Now  $\lambda_n \in [0, 1]$ , so we can extract a subsequence  $\{\lambda_{n_j}\}_j$  such that  $\lambda_{n_j} \rightarrow \lambda \in [0, 1]$ . So, by the continuity of the operators  $G$  and  $T$  we obtain

that  $(1 - \lambda_{n_j})z + \lambda_{n_j}GTx_{n_j} \longrightarrow (1 - \lambda)z + \lambda GTx$ . Hence  $x = (1 - \lambda)z + \lambda GTx$  and  $x \in D$ . Next, we shall prove that the set  $D$  is sequentially compact. To see this, let  $\{x_n\}_n$  be any sequence in  $D$ . Since  $GT(D)$  is weakly relatively compact, we obtain by the Eberlein–Šmulian theorem (Theorem 1.8) that there exists a subsequence  $\{x_{n_j}\}_j$  of  $\{x_n\}_n$  with  $GTx_{n_j} \rightharpoonup y$  for some  $y \in \Omega$ . We have  $x_{n_j} = (1 - \lambda_{n_j})z + \lambda_{n_j}GTx_{n_j}$  for some  $\lambda_{n_j} \in [0, 1]$ . Passing eventually to a new subsequence, we may assume that  $\lambda_{n_j} \rightarrow \lambda$  for  $\lambda \in [0, 1]$ . So,  $\{x_{n_j}\}_j \rightharpoonup (1 - \lambda)z + \lambda y$ . Next, since  $T$  verifies  $(\mathcal{H}_2)$ , then  $\{Tx_{n_j}\}_j$  has a weakly convergent subsequence, say  $\{Tx_{n_{j_k}}\}_k$ . Using the fact that the linear operator  $G$  is weakly compact together with Proposition 1.18, we infer that the sequence  $\{GTx_{n_{j_k}}\}_k$  is strongly convergent. Hence  $\{x_{n_{j_k}}\}_k$  is strongly convergent as well. Hence  $D$  is compact. Because  $E$  is a Hausdorff locally convex space, we have that  $E$  is completely regular (see Remark 1.11). Since  $D \cap (\Omega \setminus U) = \emptyset$ , then by Proposition 1.1, there is a continuous function  $\varphi : \Omega \longrightarrow [0, 1]$ , such that  $\varphi(x) = 1$  for  $x \in D$  and  $\varphi(x) = 0$  for  $x \in \Omega \setminus U$ . Since  $\Omega$  is convex,  $z \in \Omega$ , we can define the operator  $\widehat{GT} : \Omega \rightarrow \Omega$  by

$$\widehat{GT}x = \begin{cases} (1 - \varphi(x))z + \varphi(x)GTx, & \text{if } x \in U, \\ z, & \text{if } x \in \Omega \setminus U. \end{cases}$$

We first check that  $\widehat{GT}$  is continuous and satisfies  $(\mathcal{H}_1)$ . Indeed, we have  $\partial_\Omega U = \partial_\Omega \bar{U}$  and the operators  $\varphi$  and  $GT$  are continuous, so  $\widehat{GT}$  is continuous. By an argument similar to the one used above, it is easy to show that  $GT$  satisfies  $(\mathcal{H}_1)$ . Since  $[0, 1]$  is compact, it follows that  $\widehat{GT}$  satisfies  $(\mathcal{H}_1)$ . Now, the set  $GT(\bar{U})$  is weakly relatively compact. Applying the Krein–Šmulian theorem (Theorem 1.10), we have that the set  $D_* = \overline{\text{conv}}(GT(\bar{U}) \cup \{z\})$  is convex and weakly compact. Moreover,  $\widehat{GT}(D_*) \subset D_*$ . Thus, all the assumptions of Theorem 2.1 are satisfied for the operator  $\widehat{GT}$ . Therefore, there exists  $x_0 \in \Omega$  with  $\widehat{GT}x_0 = x_0$ . From the definition of  $\widehat{GT}$ ,  $x_0$  must be an element of  $U$ . Then  $x_0 = (1 - \varphi(x_0))z + \varphi(x_0)GTx_0$ , which implies that  $x_0 \in D$  and so  $\varphi(x_0) = 1$ . Accordingly,  $GTx_0 = x_0$  and the proof is complete.  $\blacksquare$

**Corollary 2.1.** *Let  $E$  be a Dunford–Pettis space,  $\Omega$  a nonempty closed convex subset of  $E$ ,  $U$  a relatively open subset of  $\Omega$  and  $z \in U$ . Suppose  $G : E \rightarrow E$  is a bounded linear weakly compact operator and  $T : \bar{U} \rightarrow E$  is a nonlinear continuous operator satisfying  $(\mathcal{H}_2)$ ,  $T(\bar{U})$  is bounded and  $G(T(\bar{U})) \subset \Omega$ . Also, assume that  $GT$  satisfies the Leray–Schauder boundary condition*

$$x \neq (1 - \lambda)z + \lambda GTx, \quad \lambda \in (0, 1), \quad x \in \partial_\Omega U,$$

*then the set of fixed point of  $GT$  in  $\bar{U}$  is nonempty and compact.*

*Proof.* By Theorem 2.2, the operator  $GT$  has a fixed point in  $\bar{U}$ . Let  $S = \left\{x \in \bar{U} : GTx = x, \right\}$  be the fixed point set of  $GT$ . Since the operators  $G$  and  $T$

are continuous,  $S$  is obviously a closed subset of  $\bar{U}$  such that  $GT(S) = S$ . Following an argument similar to that in Theorem 2.2, we obtain that  $S$  is sequentially compact and, hence, it is compact. ■

As a special case, we obtain a fixed point theorem of Rothe type [175] for decomposable nonlinear weakly compact operators.

**Corollary 2.2.** *Let  $E$  be a Dunford–Pettis space,  $\Omega$  a nonempty closed convex subset of  $E$ ,  $U$  a relatively open subset of  $\Omega$  and  $z \in U$ . Suppose  $G : E \rightarrow E$  is a bounded linear weakly compact operator and  $T : \bar{U} \rightarrow E$  is a nonlinear continuous operator satisfying  $(\mathcal{H}_2)$  and  $T(\bar{U})$  is bounded. In addition, assume that  $\bar{U}$  is starshaped with respect to  $z$  and  $G(T(\partial_\Omega U)) \subseteq \bar{U}$ . Then the set of fixed points of  $F$  in  $\bar{U}$  is nonempty and compact.*

*Proof.* Because  $\bar{U}$  is starshaped with respect to  $\theta$  and  $GT(\partial_\Omega U) \subseteq \bar{U}$ , then  $x \neq (1 - \lambda)z + \lambda GTx, \lambda \in (0, 1), x \in \partial_\Omega U$ . Applying Corollary 2.1, the set of fixed points of  $GT$  in  $\bar{U}$  is nonempty and compact. ■

**Corollary 2.3.** *Let  $E$  be a Dunford–Pettis space,  $\Omega$  a nonempty closed convex subset of  $E$ ,  $U$  a relatively open subset of  $\Omega$  and  $\theta \in U$ . Suppose  $G : E \rightarrow E$  is a bounded linear weakly compact operator and  $T : \bar{U} \rightarrow E$  is a nonlinear continuous operator satisfying  $(\mathcal{H}_2)$ ,  $T(\bar{U})$  is bounded and  $G(T(\bar{U})) \subset \Omega$ . In addition, suppose  $GT$  has no fixed point in  $\bar{U}$ . Then, there exist an  $x \in \partial_\Omega U$  and  $\lambda \in (0, 1)$  such that  $x = \lambda GTx$ .*

**Corollary 2.4.** *Let  $E$  be a Dunford–Pettis space,  $\Omega$  a nonempty closed convex subset of  $E$ ,  $U$  a relatively open subset of  $\Omega$  with  $\theta \in U$  and  $\alpha \geq 1$ . Suppose  $G : E \rightarrow E$  is a bounded linear weakly compact operator and  $T : \bar{U} \rightarrow E$  is a nonlinear continuous operator satisfying  $(\mathcal{H}_2)$ ,  $T(\bar{U})$  is bounded and  $G(T(\bar{U})) \subset \Omega$ . In addition, assume that there is a real number  $k > \alpha$  such that*

$$G(T(\bar{U})) \cap (k.U) = \emptyset. \quad (2.2)$$

*Then there exist an  $x \in \partial_\Omega U$  and  $\lambda \geq k$  such that  $GTx = \lambda x$ .*

*Remark 2.2.*  $\theta$  is the zero vector of  $E$ .

*Proof.* Consider  $F = GT : \bar{U} \rightarrow \Omega$ . We suppose that for all  $x \in \partial_\Omega U$  and  $\lambda \geq k, F(x) \neq \lambda x$ . Let  $F_1 = \frac{1}{k}F$  and

$$D = \left\{ x \in \bar{U} : x = \lambda F_1 x, \text{ for some } \lambda \in [0, 1] \right\}.$$

The set  $D$  is nonempty because  $\theta \in D$ . Following an argument similar to that in Theorem 2.2, we obtain that  $D$  is compact. Now we show that  $D \cap (\Omega \setminus U) = \emptyset$ . If this is not the case, there exists an  $x \in \Omega \setminus U$  and  $\beta \in [0, 1]$  such that  $\beta F_1 x = x$ .

If  $\beta = 0$ , then  $x = \theta$ , which contradicts  $\theta \in U$ . If  $\beta \neq 0$ , then  $Fx = \frac{k}{\beta}x$  ( $\frac{k}{\beta} \geq k$ ), which contradicts (3.15). Thus,  $D \cap (\Omega \setminus U) = \emptyset$ . Let  $F_1^* : \Omega \rightarrow \Omega$  the operator defined by

$$F_1^*x = \begin{cases} \varphi(x)F_1x, & \text{if } x \in U, \\ z, & \text{if } x \in \Omega \setminus U. \end{cases}$$

Following arguments similar to those used in the proof of Theorem 2.2, we prove that  $F_1^*$  has a fixed point  $y \in \Omega$ . If  $y \notin U$ ,  $\varphi(y) = 0$  and  $y = \theta$ , which contradicts the hypothesis  $\theta \in U$ . Then  $y \in U$  and  $y = \varphi(y)Fy$ , which implies that  $y \in D$ , and so  $\varphi(y) = 1$  and  $Fy = ky$ . Hence,  $F(\overline{U}) \cap (k \cdot U) \neq \emptyset$ , another contradiction. Accordingly, there exist an  $x \in \partial_\Omega U$  and  $\lambda \geq k$  such that  $Fx = GTx = \lambda x$ . ■

In the rest of this section we shall discuss nonlinear Leray–Schauder alternatives for decomposable nonlinear positive operators. Let  $E_1$  and  $E_2$  be two Banach lattice spaces, with positive cones  $E_1^+$  and  $E_2^+$ , respectively. An operator  $F$  from  $E_1$  into  $E_2$  is said to be positive if it carries the positive cone  $E_1^+$  into  $E_2^+$  (i.e.,  $F(E_1^+) \subset E_2^+$ ).

**Theorem 2.3.** *Let  $\Omega$  be a nonempty closed convex subset of a Banach lattice  $E$  such that  $\Omega^+ := \Omega \cap E^+ \neq \emptyset$ . Assume  $F : \Omega \rightarrow \Omega$  is a positive continuous operator satisfying  $(\mathcal{H}_1)$ . If  $F(\Omega)$  is weakly relatively compact, then  $F$  has at least a positive fixed point in  $\Omega$ .*

*Proof.* Clearly, the set  $\Omega^+$  is a closed convex subset of  $E^+$  and  $F(\Omega^+) \subseteq \Omega^+$ . Also,  $F(\Omega^+) \subseteq F(\Omega)$ , so  $F(\Omega^+)$  is weakly relatively compact. Now, it suffices to apply Theorem 2.1 to prove that  $F$  has a fixed point in  $\Omega^+ \subseteq \Omega$ . ■

**Theorem 2.4.** *Let  $E$  be a Dunford–Pettis lattice space,  $\Omega$  a nonempty closed convex subset of  $E$ ,  $U$  a relatively open subset of  $\Omega$  and  $z \in U \cap E^+$ . Suppose  $G : E \rightarrow E$  is a positive bounded linear weakly compact operator and  $T : \overline{U} \rightarrow E$  is a positive nonlinear continuous operator satisfying  $(\mathcal{H}_2)$ ,  $T(\overline{U})$  is bounded and  $G(T(\overline{U})) \subset \Omega$ . Then, either*

- (A<sub>1</sub>)  $GT$  has a positive fixed point in  $\overline{U}$ , or
- (A<sub>2</sub>) there is a point  $x \in \partial_\Omega U \cap E^+$  (the positive boundary of  $U$  in  $\Omega$ ) and  $\lambda \in (0, 1)$  with  $x = (1 - \lambda)z + \lambda GTx$ .

*Proof.* Consider  $GT : \overline{U} \rightarrow \Omega$ . Suppose (A<sub>2</sub>) does not hold. Also without loss of generality, assume that the operator  $GT$  has no positive fixed point in  $\partial_\Omega U$  (otherwise we are finished, i.e., (A<sub>1</sub>) occurs). Let  $D$  be the set defined by

$$D = \left\{ x \in \overline{U} \cap E^+ : x = (1 - \lambda)z + \lambda GTx, \text{ for some } \lambda \in [0, 1] \right\}.$$

Now  $D \neq \emptyset$  since  $z \in D$ . Because  $E$  is a normed lattice,  $E^+$  is closed, and so,  $\overline{U} \cap E^+$  is a closed subset of  $\Omega$ . Following arguments similar to those used in the proof of Theorem 2.2, we prove that  $D$  is compact. Because  $E$  is a Hausdorff

locally convex space, we have that  $E$  is completely regular (see Remark 1.11). Since  $D \cap (\Omega \setminus U) = \emptyset$ , then by Proposition 1.1, there is a continuous function  $\varphi : \Omega \rightarrow [0, 1]$ , such that  $\varphi(x) = 1$  for  $x \in D$  and  $\varphi(x) = 0$  for  $x \in \Omega \setminus U$ . Since  $\Omega$  is convex,  $z \in \Omega$ , we can define the operator  $\widehat{GT} : \Omega \rightarrow \Omega$  by

$$\widehat{GT}x = \begin{cases} (1 - \varphi(x))z + \varphi(x)GTx, & \text{if } x \in U, \\ z, & \text{if } x \in \Omega \setminus U. \end{cases}$$

Clearly, the operator  $\widehat{GT}$  is positive. By an argument similar to that in Theorem 2.2 and using Theorem 2.3, we prove that there exists a positive element  $x_0 \in \Omega$  with  $\widehat{GT}x_0 = x_0$ . If  $x_0 \notin U$ ,  $\varphi(x_0) = 0$  and  $x_0 = z$ , which contradicts the hypothesis  $z \in U$ . Then,  $x_0 \in U$  and  $x_0 = (1 - \varphi(x_0))z + \varphi(x_0)GTx_0$ , which implies that  $x_0 \in D$  and so  $\varphi(x_0) = 1$ . Accordingly,  $GTx_0 = x_0$  and  $x_0$  is a positive fixed point of  $GT$  which completes the proof. ■

**Corollary 2.5.** *Let  $E$  be a Dunford–Pettis lattice space,  $\Omega$  a nonempty closed convex subset of  $E$ ,  $U$  a relatively open subset of  $\Omega$  and  $z \in U \cap E^+$ . Suppose  $G : E \rightarrow E$  is a positive bounded linear weakly compact operator and  $T : \overline{U} \rightarrow E$  is a positive nonlinear continuous operator satisfying  $(\mathcal{H}_2)$ ,  $T(\overline{U})$  is bounded and  $G(T(\overline{U})) \subset \Omega$ . Also, assume that  $GT$  satisfies the Leray–Schauder boundary condition*

$$x \neq (1 - \lambda)z + \lambda GTx, \quad \lambda \in (0, 1), \quad x \in \partial_\Omega U \cap E^+,$$

*then the set of positive fixed points of  $GT$  in  $\overline{U}$  is nonempty and compact.*

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