

# Climatological mean features and interannual to decadal variability of ring formations in the Kuroshio Extension region

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## Abstract

This study examines the climatological mean features of oceanic rings shed from the Kuroshio Extension (KE) jet and their interannual to decadal variability using satellite altimeter observations from October 1992 to December 2010. To objectively capture ring shedding from the KE jet, a new method that consists of the detection of the jet length changes and the tracking of a ring is proposed. Spatial distribution of the ring formations in the KE region indicates that cyclonic (cold-core) rings were most frequently formed in the upstream region between 143° and 147°E around the steady meander of the KE jet. In contrast, most of anticyclonic (warm-core) rings were formed in the downstream region west of the Shatsky Rise. These pinched-off rings in both the upstream and downstream regions generally propagated westward, but about two-thirds of the rings were reabsorbed by the jet. Nevertheless, about one-fourth of the meridional eddy heat transport at the latitude of the KE resulted from the rings that are not reabsorbed by the jet. The number of ring formations showed substantial interannual to decadal variability. In the upstream and downstream KE region, decadal and interannual variability was dominant, respectively. These ring formation fluctuations were negatively correlated with the strength of the KE jet. It is also revealed that the ring formation variations play an important role in sea surface temperature changes north of the KE jet.

## Keywords

Kuroshio Extension • Pinch-off ring • Jet • Heat transport • Interannual to decadal variability

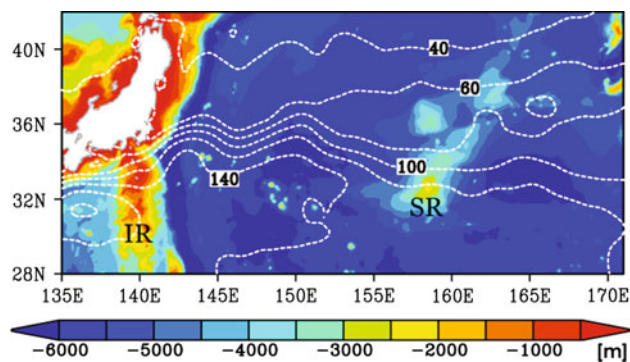
## 1 Introduction

The Kuroshio Extension (KE), the western boundary current of the subtropical gyre in the North Pacific, flows eastward as a free jet after separating from the east coast of Japan at

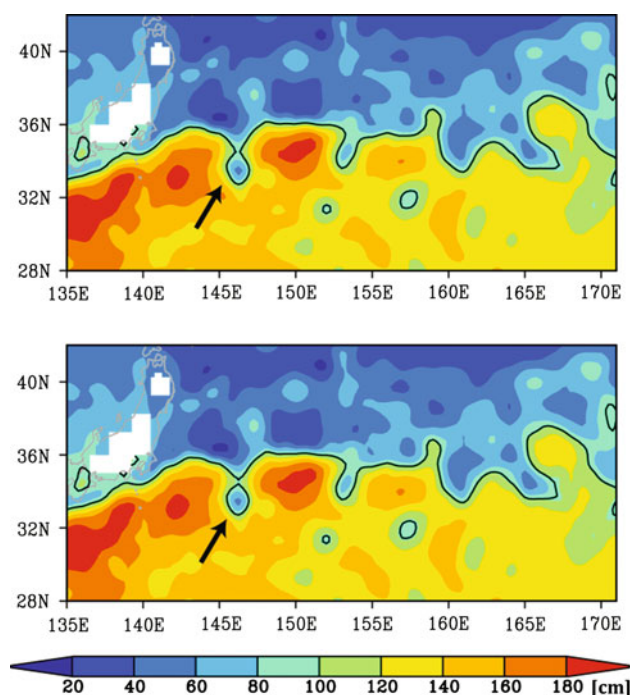
35°N (Fig. 1). The KE region is one of the most energetic regions of the world's oceans along with the Gulf Stream and the Antarctic circumpolar current regions (e.g., Wyrki et al. 1976; Shum et al. 1990; Ducet et al. 2000). This strong eddy activity is accompanied by large transport of water properties, such as momentum, heat, and nutrients (e.g., Stammer 1998; Qiu and Chen 2005a; Rainville et al. 2007; Sasai et al. 2010; Yim et al. 2010; Aoki et al. 2013). Strong eddy activity in the KE region is mainly owing to perturbations of the KE jet (i.e., meander) and eddies shed from the jet (Fig. 2), common features in western boundary current regions, such as the Gulf Stream and the Agulhas Current regions (e.g., Joyce et al. 1984; Kawamura et al. 1986; Auer 1987; Lutjeharms 1988; Olson 1991). Hereafter, we refer to

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**Fig. 1** Mean sea surface height (contour) and geography (color) derived from ETOPO5 provided by the National Geophysical Data Center. The contour interval is 20 cm. IR and SR denote the Izu Ridge and the Shatsky Rise, respectively



**Fig. 2** An example of a cyclonic ring shed from the Kuroshio Extension (KE) jet from July 11, 2010 (upper panel) to July 12, 2010 (lower panel). Color indicates sea surface height, and the black contour indicates the path of the KE jet axis and the pinched-off ring

an eddy shed from a jet as a ring. This ring formation in the KE region is the main focus of the present study.

A ring plays important roles in the eddy transport. A ring contains large amounts of anomalous heat and salinity, and transports them across the jet (e.g., Jochumsen et al. 2010; Souza et al. 2011). Also, a ring acts to remove energy from the jet and reduce the potential vorticity gradient associated with the jet (Olson 1991; Bush et al. 1995), while may reinforce the jet by momentum flux convergence (e.g., Waterman and Hoskins 2013). In addition, a ring is often

accompanied by strong upwelling structure (e.g., Martin and Richards 2001; McGillicuddy et al. 2007), which is important in marine ecosystems by vertically transporting nutrients into the euphotic zone. In the KE region, the rings shed from the jet and their importance have been reported (e.g., Mizuno and White 1983; Kawamura et al. 1986; Oka et al. 2011). Yasuda et al. (1992) found from in situ observations that a warm-core ring carried a large amount of North Pacific subtropical mode water. Qiu et al. (2007) revealed the substantial influence of a cyclonic ring on property changes of North Pacific subtropical mode water from satellite altimeter and Argo data. Sugimoto and Hanawa (2011) pointed out that when many pinch-off rings are found in the upstream KE region, sea surface temperatures (SSTs) tend to be warm north of the upstream KE jet.

However, spatial and temporal distributions of ring formations and their behavior in the KE region have not been fully understood. Recent advances in satellite observations enable more comprehensive examination of eddy activities; however, previous studies did not distinguish among a pinched-off ring, a meander of a jet, and other mesoscale eddies (e.g., Chelton et al. 2007; Itoh and Yasuda 2010; Kouketsu et al. 2012). This is probably because it is difficult to distinguish among them; there are, however, significant differences. A strong eddy (e.g., ring) tends to trap water mass in its interior region, but this is not the case for a weak eddy (McWilliams and Flierl 1979; Chelton et al. 2011) and for a jet meander (Cushman-Roisin 1993). Propagation tendency and the corresponding upwelling structure are also different between a ring and a meander (Cushman-Roisin et al. 1990, 1993; Mariano et al. 1996; Martin and Richards 2001; McGillicuddy et al. 2007). The following important questions remain unanswered: Where do most ring formations occur in the KE region? How frequently are the rings formed? How large is transport by the rings? One of the purposes of the present study is, therefore, to comprehensively examine ring formations in the KE region and to answer these questions. To this end, we propose a new method for objectively capturing ring formation events.

Furthermore, how interannual to decadal fluctuations of the ring formations are related to a state of the KE jet remains unclear. It is well known that the KE jet undergoes prominent fluctuations on a decadal timescale (e.g., Deser et al. 1999; Schneider et al. 2002; Taguchi et al. 2007; Sasaki and Schneider 2011; Sasaki et al. 2013). Qiu and Chen (2005b) showed that when eddy activity in the KE region is high and low, the KE jet is weakening and strengthening, respectively, and referred to the former as a stable state and the latter as an unstable state. Nevertheless, a connection between interannual to decadal variability of the ring formations and the state of the KE jet has not yet been investigated. Hence, we will investigate this relationship. Also, we will examine the influence of ring formation

variations on water-mass property changes around the KE region.

The rest of this paper is organized as follows. We describe datasets and a method for detecting a ring shedding from the KE jet in Sect. 2. In Sects. 3 and 4, we examine climatological mean features and interannual to decadal variability of ring formations, respectively. Meridional eddy heat transport and its influence on surrounding ocean conditions are also investigated in these sections. Section 5 is a summary and discussion.

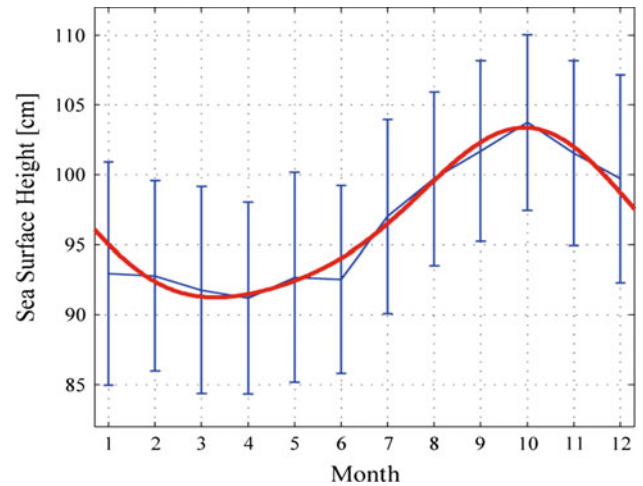
## 2 Data and methods

### 2.1 Datasets

We use the reference version of daily sea surface height, and meridional and zonal surface geostrophic velocity data provided by the Archiving, Validation and Interpretation of Satellite Oceanographic (AVISO) dataset from combined satellite altimetry observations from TOPEX/Poseidon, ERS-1/2, Jason-1, and Envisat from October 14, 1992 to December 31, 2010 on a  $0.25^\circ \times 0.25^\circ$  grid (Ducet and Le Traon 2001). The mean sea surface height of this dataset was determined by applying the mean dynamic topography (Rio and Hernandez 2004). A SST dataset is on a  $0.25^\circ \times 0.25^\circ$  grid of version 2 of the Optimum Interpolation SST product (OISSTv2, Reynolds et al. 2007) provided by the National Oceanic and Atmospheric Administration (NOAA). This dataset uses in-situ and advanced very high resolution radiometer (AVHRR) SST data.

### 2.2 Method for detecting a ring formation

Our method for objectively detecting a ring shedding event consists of three steps. As the first step, the path of the KE jet axis is determined each day. In this study, we consider the KE jet as a single jet, following previous studies (e.g., Qiu and Chen 2005a, b; Taguchi et al. 2007; Sasaki and Schneider 2011). Thus, we ignore the bifurcation branches of the KE jet (e.g., one branch around the Shatsky Rise shown in Mizuno and White 1983), and only focus on ring formation and absorption by the main part of the KE jet. The path of the jet is defined by a contour line of a fixed sea surface height that accompanies strong absolute velocity. To determine the contour value of the jet axis, we identify a path of a fixed continuous sea surface height contour from the east coast of Japan to  $170^\circ\text{E}$  in the range from 60 to 120 cm at 10-cm intervals for each day (see Fig. 1), and averaged surface absolute velocity along each continuous contour. The results show that the sea surface height contour with a maximum absolute velocity exhibits substantial seasonal

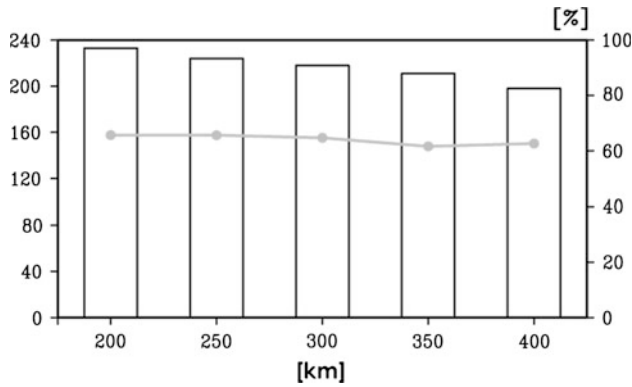


**Fig. 3** Mean values and standard deviations of the sea surface height contour with a maximum absolute velocity as a function of month (blue line and error bar). The standard deviations are calculated from the daily data. The red line denotes the seasonal cycle that is estimated by fitting to annual and semi-annual cycles using a least squares method

variability (blue line in Fig. 3). These sea surface height values are high in autumn (September–November) and low in spring (March–May), which is mainly due to seasonal large-scale steric height changes (e.g., Gill and Niller 1973). Thus, we calculated the seasonal cycle of these sea surface heights estimated by fitting to annual and semi-annual cycles using a least squares method (red line in Fig. 3); a contour line with these seasonally changed sea surface heights is used to define the path of the KE jet axis for each day.

After determining the path of the KE jet axis, the second step involves calculating the length of the KE jet from  $140^\circ\text{E}$  to  $170^\circ\text{E}$  at each time step and investigating its temporal fluctuation. If the length of the jet decreases by more than 300 km per day, we consider this decreasing as a candidate for ring formation. In the case of Fig. 2, the length of the jet on July 11, 2010 is 5,018 km, decreases to 4,543 km on July 12, 2010. By these first and second procedures, we can distinguish a ring from a meander of the jet. We can also distinguish a pinched-off ring from a mesoscale recirculation, because the formation of a mesoscale recirculation does not induce a decrease of the jet length. Note that results are less sensitive to the choice of the length change threshold. Even if the length change threshold is modified for a range of 200 to 400 km, the difference in the number of the rings in the KE region and in the ratio of the rings reabsorbed by the jet is less than 10 % (Fig. 4).

As the last step, when the jet length decreases, we track the pinched-off rings using a neighbor enclosed area tracking (NEAT) method (Inatsu 2009; Inatsu and Amada 2013). In this method, a ring is defined as a closed area that meets some criterion, and is tracked by a concatenating, overlapped area of that ring in a neighboring time frame (i.e., daily).



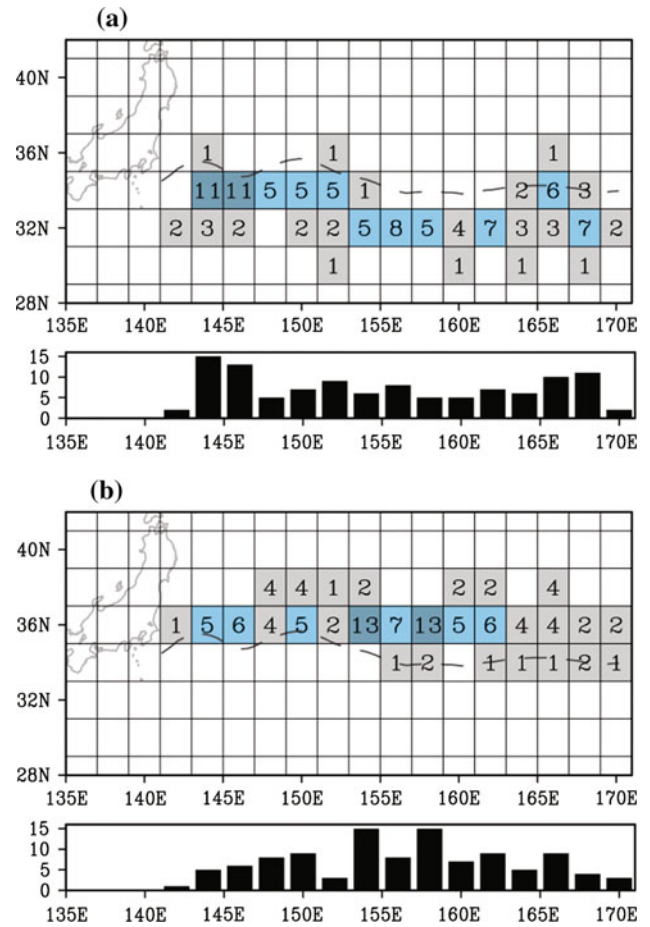
**Fig. 4** The number of ring formations in the KE region from October 1992 to December 2010 (black bar, left axis) and the ratio of the rings reabsorbed by the KE jet (gray line, right axis) as a function of the length change threshold used for detecting ring formation

Thus, this method can continuously track rings, even if they split (i.e., one ring splits into two) or merge (e.g., two rings merge into one), if after splitting or merging the rings meet the criterion. Here, the pinched-off cyclonic (anticyclonic) ring is defined as a closed area whose sea surface height is lower (higher) than the aforementioned value of the KE jet axis (Fig. 3) and that has positive (negative) surface relative vorticity. This excludes pinched-off weak rings. By this tracking, we can exclude short-lived rings that disappear or are reabsorbed by the KE jet using a 13-day lifetime threshold. If the ring survives more than 14 days, we conclude the ring formation event has occurred.

### 3 Climatological mean features

Table 1 summarizes a census of the rings shed from the KE jet from October 1992 to December 2010. During this period, we observed 218 ring formations. Thus, the frequency of ring formation was about 11.9 events per year. The formation of anticyclonic rings (107 events) was comparable to that of cyclonic rings (111 events). Interestingly, 141 pinched-off rings (78 anticyclonic and 63 cyclonic rings) were reabsorbed by the KE jet (Table 1). This means that only one-third of the rings decayed apart from the KE jet.

Figure 5 shows the spatial distribution of ring formations in the KE region. The formations of the cyclonic (cold-core) and anticyclonic (warm-core) rings generally occurred south



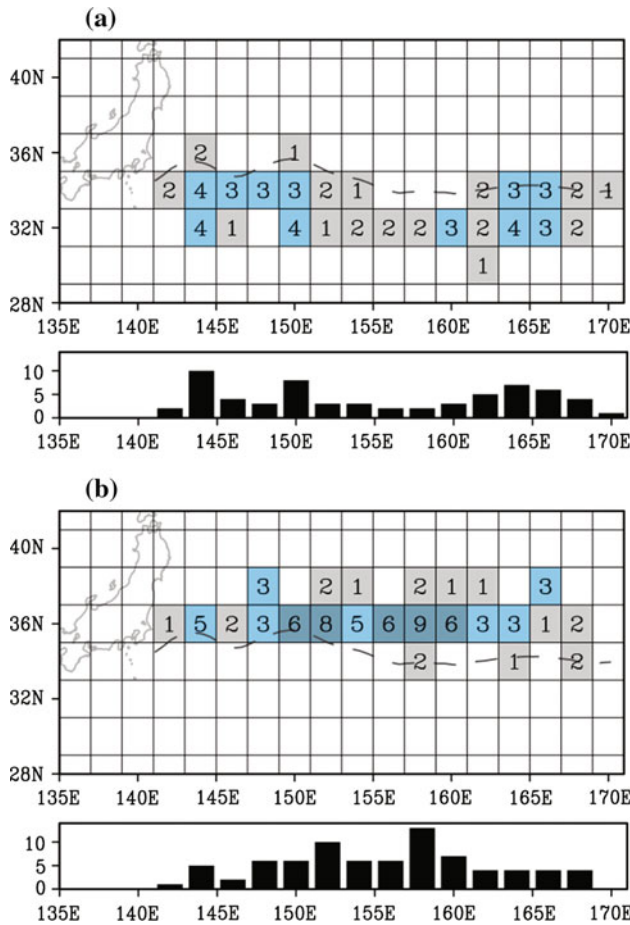
**Fig. 5** A spatial distribution of the number of **a** cyclonic and **b** anticyclonic ring formations in the KE region from October 1992 to December 2010, averaged in a  $2^\circ$  latitude  $\times$   $2^\circ$  longitude box. The dashed curve indicates the climatological path of the KE jet. The meridional integration of the number of rings is shown at the bottom of each panel

and north of the mean path of the KE jet, respectively. Upon a closer inspection, the cyclonic rings tended to be frequently shed in the upstream KE region, especially between  $143^\circ$ – $147^\circ$ E, around the steady meander of the jet (Fig. 5a). This is consistent with Nakano et al. (2013), who pointed out frequent formations of cold-core rings there. The anticyclonic rings were also formed in this region, but their formation number was about half of that of the cyclonic rings (Fig. 5b). Anticyclonic rings were most frequently shed in the downstream KE region west of the Shatsky Rise. About

**Table 1** Statistics of rings shed from the KE jet from October 1992 to December 2010

	Number		Amplitude (m)	$ \zeta $ ( $\times 10^{-5} \text{ s}^{-1}$ )	Lifetimes (days)	Area ( $\times 10^4 \text{ km}^2$ )
	All	Reabsorb				
All	218	141	0.40	1.7	56.5	3.0
Anticyclonic	107	78	0.36	1.4	56.4	3.2
Cyclonic	111	63	0.44	2.0	56.6	2.8

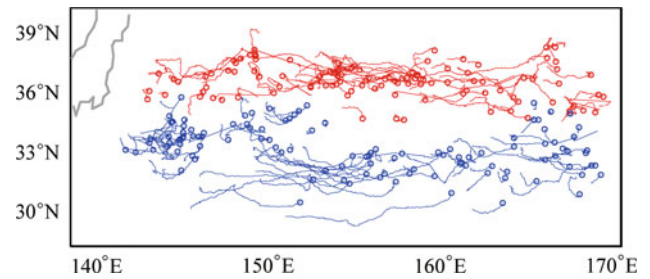




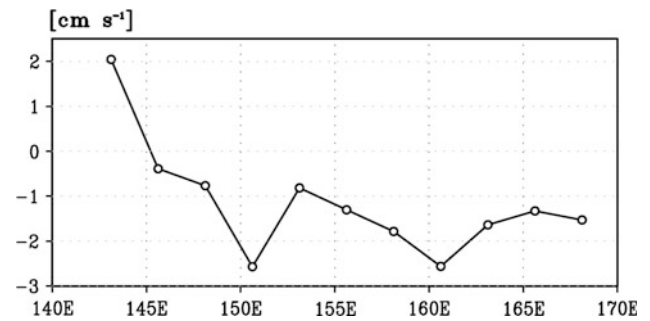
**Fig. 6** Same as Fig. 5, but the number of **a** cyclonic and **b** anticyclonic ring reabsorption by the KE jet

one-third of the anticyclonic ring formations were concentrated in this region. Cyclonic ring formations were also observed there. The result suggests that the Shatsky Rise plays an important role in ring formations. Consistently, past numerical studies suggested that the Shatsky Rise acts as an obstacle and induces a large meander of the KE jet (Chao 1994; Hurlburt and Metzger 1998; Nishihama and Ikeda 2013). Fujii et al. (2013) showed using an ocean general circulation model that the pinched-off cyclonic rings formed around the Shatsky Rise play an important role in transportation of North Pacific Intermediate Water (NPIW).

Figure 6 shows the spatial distribution of the ring reabsorptions by the KE jet. The anticyclonic rings were frequently reabsorbed by the KE jet west of the Shatsky Rise, i.e., the formation region of these rings. Similarly, the cyclonic rings tended to be reabsorbed by the jet in the upstream region. The location of the reabsorptions slightly shifts westward compared to the spatial distribution of the ring formations (Fig. 5). This is consistent with the westward movements of the rings as will be shown below. The rings that are not reabsorbed by the KE jet are expected to play



**Fig. 7** All trajectories of cyclonic (blue) and anticyclonic (red) rings shed from the KE jet. Open circles denote the locations of ring formations



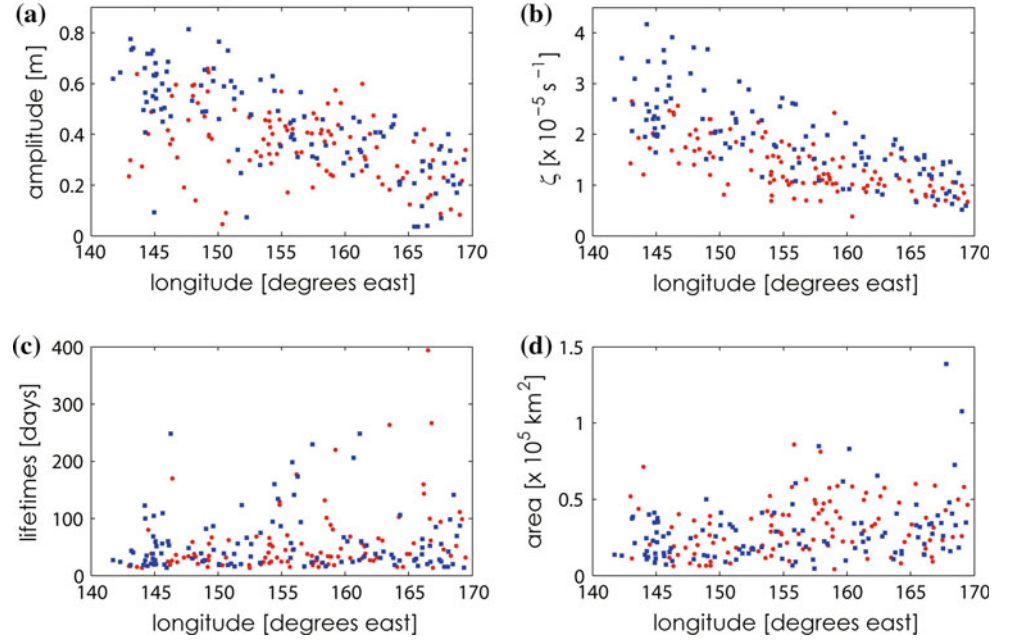
**Fig. 8** Zonal propagation speed of the KE meander as a function of longitudes. The phase speed is estimated using a lag correlation method (e.g., White et al. 1985)

more roles in transports of water properties. This point will be further discussed later.

The pinched-off rings generally propagated westward, while they also meridionally spread between 30°–39°N (Fig. 7). The mean westward propagation speed of anticyclonic and cyclonic rings was 2.59 and 3.44  $\text{cm s}^{-1}$ , respectively. This zonal propagation speed is consistent with theory (e.g., McWilliams and Flierl 1979; Cushman-Roisin et al. 1990) and previous observational results (e.g., Ebuchi and Hanawa 2001; Itoh and Yasuda 2010). There was no significant difference of the westward propagation speed between the upstream and downstream regions (not shown). The faster propagation of the cyclonic rings is probably due to the difference of the Rossby deformation radius. That is, a ring at low latitude propagates faster than that at high latitude, because the Rossby deformation radius is larger at lower latitudes (Chelton et al. 1998). The meridional speed of anticyclonic (cyclonic) rings was 0.11  $\text{cm s}^{-1}$  ( $-0.08 \text{ cm s}^{-1}$ ) on average, which is much smaller than their aforementioned zonal speed and is not significantly different from zero.

It is interesting to compare the propagation tendency between these rings and a meander of the KE jet. The meander of the KE jet propagated eastward west of 145°E, but propagated westward east of 145°E (Fig. 8). This implies that the advection effect is more important for the KE

**Fig. 9** Scatter plots of **a** amplitude, **b** relative vorticity  $\zeta$ , **c** lifetimes, and **d** area of the rings shed from the KE jet as a function of the formation longitude. *Red circles* and *blue squares* denote anticyclonic and cyclonic rings, respectively



meander than the beta effect in the former region, while the opposite is the case in the latter region (thin-jet theory by Cushman-Roisin et al. 1993). This is reasonable because the KE jet is stronger in the upstream region than the downstream region. This eastward propagation of the meander in the upstream region was opposite to the westward propagation of the rings (Fig. 7). Even if we only check movement of the rings east of 145°E, the rings tended to move westward. These results confirm that the physical properties of the rings are different from those of the meander.

It is worth noting that the phase speed of the KE jet meander was nearly zero between 143°–147°E (Fig. 8), where the cyclonic and anticyclonic rings were frequently formed (Fig. 5). Lee and Cornillon (1996) pointed out that ring formation tends to occur where the phase speed of the meander is zero in the Gulf Stream region, because the advection effect is balanced to the beta effect so that the meander tends to be steady. Hence, our results in the KE region are consistent with the hypothesis by Lee and Cornillon (1996). The upstream KE region between 143°–147°E is a favorable condition for ring generation.

Next, we examine the properties of the rings shed from the KE jet as a function of longitude, where the corresponding ring was shed from the KE jet (Fig. 9). Figure 9a shows the amplitude of a ring, which is defined as the absolute values of sea level anomalies (SLAs) averaged over the ring. The mean value of the amplitude was about 0.40 m (see also Table 1), which was relatively large compared to typical mesoscale eddies in the world's oceans (e.g., a few centimeters; Chelton et al. 2007, 2011). Obviously, the amplitude of the ring was negatively proportional to the longitude. The amplitude of the

rings was up to 0.81 m in the upstream KE region, and decreased toward east. Because the KE jet is stronger in the upstream region than the downstream region, this result suggests that a stronger jet yields stronger rings. In addition, the amplitude of cyclonic rings in the upstream KE region tended to be larger than that of anticyclonic rings.

Consistently, the relative vorticity averaged over the ring was also negatively proportional to the longitude (Fig. 9b). The relative vorticity was about  $1.0 \times 10^{-5} \text{ s}^{-1}$  in the downstream KE region, and the relative vorticity reached about  $4.0 \times 10^{-5} \text{ s}^{-1}$  in the upstream region. Since the Coriolis parameter  $f$  is  $8.37 \times 10^{-5} \text{ s}^{-1}$  at 35°N, the ratio between the relative vorticity of the rings and the planetary vorticity, which is equivalent to the Rossby number, was up to 0.5. Hence, the rings, especially in the upstream KE region, have a significant nonlinear property. Again, the absolute value of relative vorticity of cyclonic rings tended to be larger than that of anticyclonic rings in the upstream region, where the cyclonic rings were frequently formed (Fig. 5). Similar differences of relative vorticity between the cyclonic and anticyclonic eddies around the upstream KE region have been reported by Itoh and Yasuda (2010).

On the contrary, the lifetimes and area of the rings did not depend on the formation longitude (Fig. 9c–d). The mean lifetime of the rings was about two months (Table 1). Almost all rings disappeared or were reabsorbed by the KE jet within a year, while one anticyclonic ring that detached from the downstream KE region survived about 400 days. The mean size of the rings was  $3.0 \times 10^4 \text{ km}^2$  (Table 1), which roughly corresponds to a diameter of 195 km. The area of rings was independent of the amplitude of the rings. Since

the amplitude of the rings in the upstream region was larger than that of the downstream region, the former rings have more water volume compared to the latter rings.

Before closing this section, we estimate the contribution of water mass trapped by the rings to across-jet heat transport in order to take advantage of our ring detecting method. This component is a portion of the meridional eddy heat transport estimated by previous studies (e.g., Qiu and Chen 2005a; Itoh and Yasuda 2010), but has not yet been explicitly clarified. An anomalous across-jet heat flux by rings shed from the KE jet from 1993 to 2010 is calculated as follows. According to Chambers et al. (1997), a heat content anomaly  $H$  associated with a ring is estimated as

$$H = \rho c_p \eta / \alpha$$

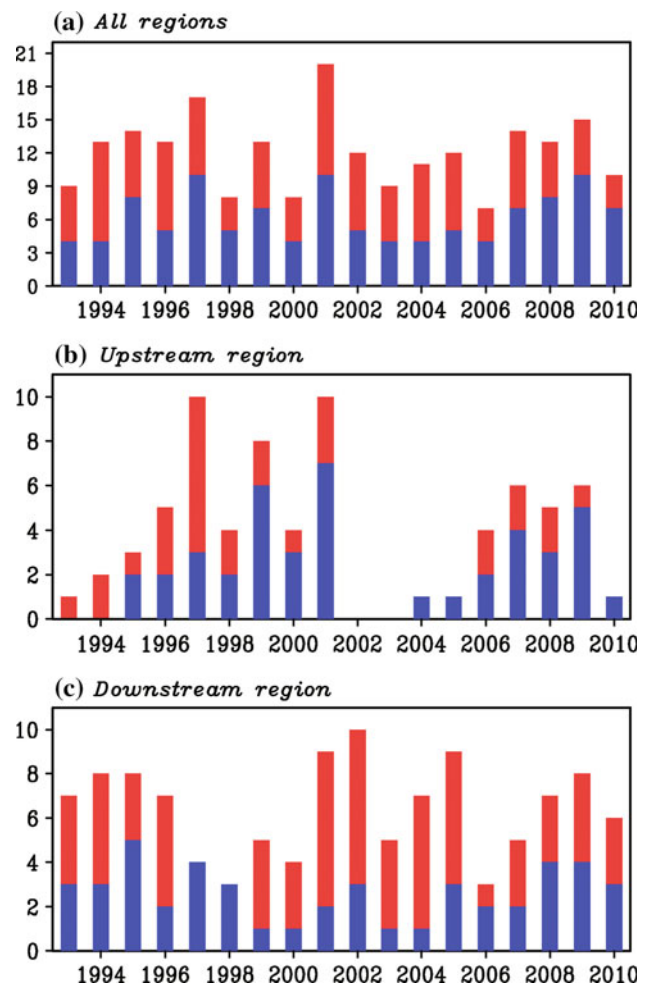
where  $\rho = 1,000 \text{ kg m}^{-3}$  is the density,  $c_p = 4,000 \text{ J kg}^{-1} \text{ K}^{-1}$  is the specific heat for water,  $\eta$  is SLA, and  $\alpha = 2 \times 10^{-4} \text{ K}^{-1}$  is thermal expansion coefficient. These parameter values are the same as those in Itoh and Yasuda (2010). We ignore the salinity effect on density. If the rings that are reabsorbed by the KE jet are excluded (see Table 1), the frequency of pinched-off anticyclonic and cyclonic rings was 1.6 per year and 2.7 per year, respectively. The corresponding mean SLAs and areas of anticyclonic (cyclonic) rings were  $+0.36 \text{ m}$  ( $-0.46 \text{ m}$ ) and  $2.4 \times 10^4 \text{ km}^2$  ( $2.0 \times 10^4 \text{ km}^2$ ), respectively. By using these values, the across-jet heat transports by anticyclonic rings were about  $8.5 \times 10^{12} \text{ W}$ , and those by cyclonic rings were about  $-1.5 \times 10^{13} \text{ W}$ . Thus, the total across-jet heat transport by the water mass trapped by the rings was  $2.3 \times 10^{13} \text{ W}$  ( $= 0.023 \text{ PW}$ ). Using this value and the deviations of heat transport by each ring, we estimated the 95 % confidence interval for this mean value based on the Student's  $t$  test (Emery and Thomson 2004) as  $1.9 \times 10^{13} \text{ W}$  to  $2.8 \times 10^{13} \text{ W}$ . Itoh and Yasuda (2010) reported comparable meridional eddy heat transport in the KE region ( $0.02\text{--}0.03 \text{ PW}$ ). This result suggests that the rings play a dominant role in meridional eddy heat transport in the KE region. Note that because the amplitude of the rings decays and their heat anomalies are lost, even if the rings are reabsorbed by the jet, our estimation of the across-jet heat flux may be underestimated.

Our estimate is about one-fourth of the meridional eddy heat transport integrated across the North Pacific at the KE jet latitude reported by previous observational studies (e.g., about  $1.0 \times 10^{14} \text{ W}$  at  $35^\circ\text{N}$ ; see Fig. 14 in Qiu and Chen 2005a for an observational estimation, and Fig. 2 in Aoki et al. 2013 for a numerical simulation). The remaining meridional eddy heat transport across the North Pacific at the KE jet latitude can be induced by the phase misalignment between temperature and velocity anomalies of the eddies (e.g., Qiu and Chen 2005a) around the KE region and the eddies east of the KE region. Hence, these results indicate

that the water mass trapped by the rings substantially contributes to the meridional eddy heat transport in the North Pacific. The influence of the rings on the across-jet heat transport on interannual to decadal timescales will be discussed in the next section.

## 4 Interannual to decadal variability

In this section, we examine interannual to decadal fluctuations of ring formations in the KE region, and their relationship to surrounding ocean conditions. Figure 10a shows yearly numbers of the ring formations in all KE regions from 1993 to 2010. The annual mean and standard deviation of the ring formations were about 12.1 and 3.2, respectively. Both anticyclonic and cyclonic rings contributed to this interannual variability. In 1997, twenty rings (ten



**Fig. 10** Yearly ring formations in **a** all KE region, **b** the upstream KE region from  $139^\circ\text{E}$  to  $151^\circ\text{E}$ , and **c** the downstream KE region from  $153^\circ\text{E}$  to  $167^\circ\text{E}$ . The red and blue bars denote anticyclonic and cyclonic rings, respectively

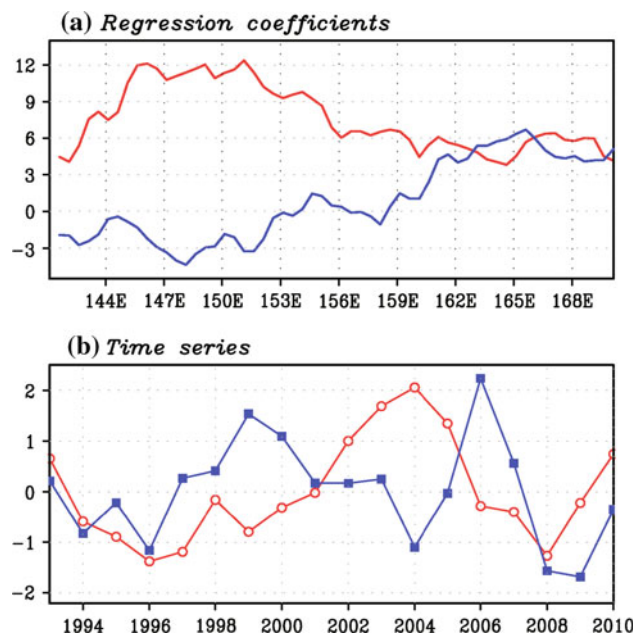
anticyclonic and ten cyclonic rings) were shed from the KE jet, but only seven events were observed in 2006.

Although the interannual to decadal variability of the number of the ring formations in all KE regions was rather moderate, if we separate the KE region into the upstream and the downstream regions, the fluctuations becomes more drastic. In contrast to the case of all KE regions (Fig. 10a), decadal variability of the ring formation events was more prominent than their interannual variability in the upstream region (Fig. 10b). The formation of the rings was not observed in 2002 and 2003. Furthermore, in the following two years, only one ring shedding event occurred during each year. On the contrary, ten rings were shed from the jet in 1997 and 2001.

On the other hand, yearly numbers of ring formation in the downstream region indicate more interannual variability rather than decadal variability (Fig. 10c). The correlation coefficient of the yearly numbers between the upstream and downstream region was  $-0.23$ , which is not statistically significant at a 95 % confidence level. Here, the statistical significance is estimated by a Monte-Carlo test using 1,000 random time series produced by a phase randomization method (Kaplan and Glass 1995), in which surrogate time series are produced using observed spectrum and randomized phases so that timescales of the original time series are preserved in the surrogate time series. Note that the correlation of the total ring formation number with the anticyclonic (cyclonic) ring formation number was 0.84 (0.32). This result means that the anticyclonic ring formations represented the total ring formations in the downstream KE region. This relation was not seen in the upstream region.

To investigate the relation of interannual to decadal fluctuations in both the upstream and downstream regions to the state of the KE jet, we examine the relation of the ring formations to the strength of the KE jet. To this end, changes in KE jet strength are decomposed by an empirical orthogonal function (EOF) analysis of the annual mean surface absolute geostrophic velocity along the jet axis from  $140^{\circ}$ – $170^{\circ}$ E, in order to consider a spatial structure of the velocity changes. Spatial patterns and temporal coefficients of the leading two EOF modes are shown in Fig. 11. The result of the correlation analysis shows that the time series of the first EOF mode were negatively correlated with the number of the ring formations in the upstream KE region (Figs. 10b and 11b). The correlation coefficient was  $-0.67$ , which is statistically significant at a 95 % confidence level. Note that we also checked the relation between the ring formations in the upstream region and the latitude of the KE jet in the same way, but the relation was not statistically significant (not shown).

This relation between ring formation variability in the upstream KE region and the strength changes of the KE jet suggests a connection between the ring formation events and the stable/unstable mode of the KE jet (Qiu and Chen 2005b;



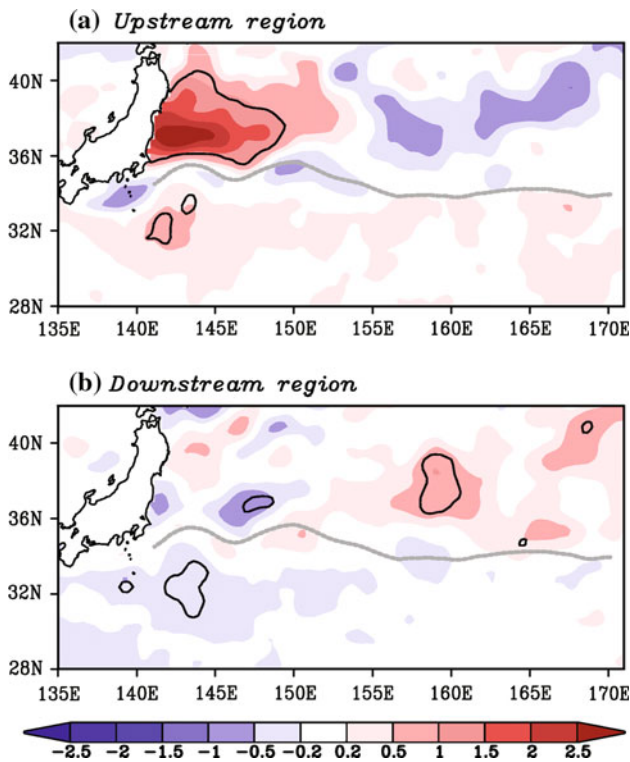
**Fig. 11** **a** Spatial patterns and **b** time series of the first (red) and second (blue) empirical orthogonal function (EOF) modes of the surface geostrophic velocity of the KE jet axis. The explained variances of the first and second EOF modes are 68.5 and 11.8 %, respectively

2010). Qiu and Chen (2010) argued that the KE jet is the stable state from late 1992 to mid-1995 and from early 2002 to late 2005, and the unstable state from mid-1995 to late 2001 and from early 2006 to late 2008 (end of their satellite data). Indeed, their stable state likely corresponds to the period when the formation of the rings in the upstream region was less frequent and vice versa (Fig. 10b). Thus, the decadal variability of the ring formations in the upstream KE region was likely related to the stable state and the unstable state of the KE jet.

We also examine the relation of the ring formations in the downstream KE region to the strength of the KE jet. Interestingly, the number of ring formations in the downstream region was significantly correlated with the time series of the second EOF mode of the strength of the KE jet (Fig. 11c), which represents out-of-phase variability of the KE strength between the upstream and downstream regions (Fig. 11a). The correlation coefficient reached  $-0.57$  (statistically significant at a 95 % confidence level). Thus, when the downstream jet was weak and the upstream jet was strong, the ring formations in the downstream region were more frequent. This relation was similar with the aforementioned relation of the ring formations in the upstream region. The ring formations in the downstream KE region also did not have a relation with the latitude of the KE jet (not shown).

Finally, we examine the relation between interannual to decadal fluctuations of the ring formations and SST changes around the KE region. Figure 12a shows the SST differences





**Fig. 12** Composite map of SST anomalies between high occurrences minus low occurrences of ring formations in the (*upper panel*) upstream and (*lower panel*) downstream regions. Units are degrees. The *dashed curve* indicates the climatological path of the KE jet. The *contour* indicates the region where the SST anomalies are statistically significant at 95 % confidence level

between the periods when the number of the ring formations in the upstream KE region is high and low. The positive SST anomalies of about 2.5 °C were found in the north of the upstream KE axis, consistent with increasing meridional eddy heat transport by the rings, although the negative SST anomalies were not found in the south of the KE jet axis. This is probably because warm water of anticyclonic rings is lighter than the water north of the KE jet, but cold water of cyclonic rings are heavier than the water south of the jet so that the anticyclonic rings more effectively influence SSTs. The positive SST anomalies north of the KE jet are consistent with the results by Sugimoto and Hanawa (2011), who showed that high eddy activity in the upstream KE region is associated with warm SSTs in the north of the upstream KE region.

Similar results are obtained in the case of the downstream KE region (Fig. 12b). The amplitude of positive SST anomalies in the north of the downstream KE axis was about 1.0 °C. The difference of the amplitude of SST anomalies between the upstream and downstream cases is probably due to the difference of the heat content anomalies of the rings (see Fig. 9a). Therefore, the across-jet heat flux by the pinched-off rings plays an important role in SST change around the KE region on interannual to decadal timescales.

## 5 Summary and discussion

We examined the climatological mean features of ring formations in the KE region and their interannual to decadal variability using satellite altimeter observations from October 1992 to December 2010. To objectively detect formation of a ring shed from the KE jet, we proposed a new method that consists of the detecting the jet length changes and the tracking of ring movements. We observed 107 anticyclonic and 111 cyclonic ring formations in the whole KE region, while about two-thirds of the rings returned to the KE jet (Fig. 6). A spatial distribution of the ring formations in the KE region indicated that cyclonic rings were most frequently formed in the upstream KE region between 143°–147°E (Fig. 5a), where the phase speed of the KE meander changes its sign (Fig. 8). On the other hand, anticyclonic ring shedding events were most frequently observed west of the Shatsky Rise (Figs. 1, 5b), suggesting the importance of bottom topography in ring formation. Both the cyclonic and anticyclonic rings generally propagated westward (Fig. 7), which is in contrast to eastward propagation of the meander of the upstream KE jet (Fig. 8). The amplitude and relative vorticity of the rings were negatively proportional to the longitude (Fig. 9a–b). In contrast, the lifetimes and area (radius) of the rings did not show such a tendency (Fig. 9c–d). It is also revealed that about one-fourth of the meridional eddy heat transport at the latitude of the KE was caused by the rings shed from the KE jet. To our knowledge, this is the first study to quantitatively estimate the climatological across-jet eddy heat flux by rings in the KE region.

The total number of the ring formations showed substantial variability on interannual to decadal timescales (Fig. 10). In the upstream region, the decadal variability was dominant (Fig. 10b), which is likely related to the stable and unstable states of the KE jet (Qiu and Chen 2005b; 2010). On the other hand, interannual variability was dominant in the downstream KE region (Fig. 10c). Decadal fluctuations of the ring formations in the upstream KE region were negatively correlated with the strength of the upstream KE jet (Fig. 11b). Similarly, the interannual variability of the ring formations in the downstream region was also related to the strength change of the jet (Fig. 11c). The increasing (decreasing) of the ring formations of both the upstream and downstream regions was associated with the warming (cooling) of SST north of the KE jet axis (Fig. 12). This result suggests that the variability of the ring formations significantly contributes to local heat balance on interannual to decadal timescales. SST variations around the KE region can influence the atmosphere over the North Pacific (e.g., Bond and Cronin 2008; Tokinaga et al. 2009; O'Reilly and Czaja 2014).

Our study shows that the formation of the rings is negatively correlated with the surface velocity of the KE jet on interannual and decadal timescales (Figs. 10, 11).

Simultaneous correlation analysis does not tell us the causality, but one possible explanation for this negative correlation is that across-jet eddy heat transport by rings can induce or reinforce the weakening of the KE jet. Bush et al. (1995) showed from numerical experiments that the pinched-off rings act to reduce the potential vorticity gradient across the jet. Our speculation can partly explain the mechanism for the stable and unstable mode of the KE jet. Hence, it is desirable to investigate this issue using a numerical ocean model in the future.

The method employed in the present study for detecting ring formation events may be improved in future studies. As mentioned in Sect. 2, the KE jet has been assumed as a single jet and the main branches of bifurcated currents are only considered. Consequently, the present method does not count ring formations from branches other than the main KE jet, and thus those rings are not tracked. This may underestimate the number of ring formations. Furthermore, we also missed the ring absorption by other bifurcation branches. However, this is likely rare, because the locations of ring disappearance are not concentrated around other bifurcation branches (not shown). Another possible problem is the use of the jet length change to detect the ring formation event. This assumes that the formation and absorption of rings does not occur at the same time. Although this rarely happens, this assumption also may underestimate ring formation events. We leave additional improvements of the method to a future study.

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