

## Functors and Natural Transformations

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Let us now take a closer look at functors, beginning with some additional examples.

### Examples of Functors

We have already discussed the power set functor and the forgetful functor. Let us consider some other examples of functors.

#### ■ Example 26

- 1) For a given positive integer  $n$ , we can define a *matrix functor*  $F_n: \mathbf{CRng} \Rightarrow \mathbf{Grp}$  sending a commutative ring  $R$  to the general linear group  $GL_n(R)$  of nonsingular  $n \times n$  matrices over  $R$ . Each ring homomorphism  $f: R \rightarrow S$  is sent to the map that works elementwise on the entries of a matrix.
- 2) Another functor  $G: \mathbf{CRng} \Rightarrow \mathbf{Grp}$  is defined by setting  $GR = R^*$ , the group of units of  $R$  and  $Gf = f|_{R^*}$  for any ring homomorphism  $f: R \rightarrow S$ . This makes sense since a ring homomorphism maps units to units.  $\square$

#### ■ Example 27

If  $P$  is a poset, then a nonempty subset  $D$  of  $P$  is a **down-set** if  $d \in D$  and  $x \leq d$  imply that  $x \in D$ . Let  $\mathbf{Poset}$  be the category of all posets. Define the **down-set functor**  $\mathcal{O}: \mathbf{Poset} \Rightarrow \mathbf{Poset}$  as follows. A poset  $P$  is sent to the family  $\mathcal{O}(P)$  of all down-sets in  $P$ , ordered by set inclusion. If  $f: P \rightarrow Q$  is a monotone map, then the inverse image of a down-set in  $Q$  is a down-set in  $P$  and so we may take  $\mathcal{O}(f): \mathcal{O}(Q) \rightarrow \mathcal{O}(P)$  to be the induced inverse map  $f^{-1}$ . Since

$$1_P^{-1} = 1_{\mathcal{O}(P)}$$

and

$$(g \circ f)^{-1} = f^{-1} \circ g^{-1}$$

it follows that  $\mathcal{O}$  is a contravariant functor on  $\mathbf{Poset}$ .  $\square$

#### ■ Example 28

Let  $A \in \mathcal{C}$  and consider the comma category  $(\mathcal{C} \rightarrow A)$  of arrows entering  $A$ . Each object of  $(\mathcal{C} \rightarrow A)$  is an ordered pair  $(X, f: X \rightarrow A)$ , as  $X$  ranges over the objects of  $\mathcal{C}$ . The **domain functor**  $F: (\mathcal{C} \rightarrow A) \Rightarrow \mathcal{C}$  sends an object  $(X, f: X \rightarrow A)$  to its domain  $X$  and a morphism

$$\bar{\alpha}: (X, f: X \rightarrow A) \rightarrow (Y, g: Y \rightarrow A)$$

which is a map  $\alpha: X \rightarrow Y$  satisfying

$$g \circ \alpha = f$$

to the underlying morphism  $\alpha$ . Thus  $F\bar{\alpha} = \alpha$ . We leave it to you to show that  $F$  is indeed a functor.  $\square$

### ■ Example 29

Here is a functor tongue-twister. Let  $\mathcal{C}$  be a category. We can define a functor  $F: \mathcal{C} \Rightarrow \mathbf{SmCat}$  that takes an object  $A \in \mathcal{C}$  to the comma category  $(\mathcal{C} \rightarrow A) \in \mathbf{SmCat}$ , with target object  $A$ . For this reason, we might call the functor  $F$  a **target functor** (a nonstandard term). A morphism  $f: A \rightarrow A'$  between target objects in  $\mathcal{C}$  must map under  $F$  to a *functor*, that is,

$$f: A \rightarrow A' \xRightarrow{F} Ff: (\mathcal{C} \rightarrow A) \Rightarrow (\mathcal{C} \rightarrow A')$$

between the relevant comma categories. As shown on the left in Figure 20, the object portion of  $Ff$  must take an object  $(C, \alpha: C \rightarrow A)$  in  $(\mathcal{C} \rightarrow A)$  to an object in  $(\mathcal{C} \rightarrow A')$ . We take

$$Ff[(C, \alpha: C \rightarrow A)] = (C, f \circ \alpha: C \rightarrow A')$$

and so  $Ff$  is essentially the “follow by  $f$ ” map  $f^{\leftarrow}$  on objects.

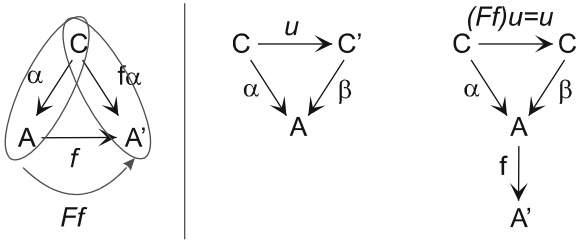


Figure 20

As to the arrow part, as shown on the right in Figure 20, recall that a morphism

$$\bar{u}: (C, \alpha: C \rightarrow A) \rightarrow (C', \beta: C' \rightarrow A)$$

in  $(\mathcal{C} \rightarrow A)$  comes from a qualifying morphism  $u: C \rightarrow C'$ , that is, a morphism for which

$$\beta \circ u = \alpha$$

Now,  $Ff$  must take  $\overline{u}$  to a morphism

$$(Ff)(\overline{u}): (C, f \circ \alpha: C \rightarrow A') \rightarrow (C', f \circ \beta: C' \rightarrow A')$$

But

$$(f \circ \beta) \circ u = f \circ \alpha$$

implies that  $u$  is also qualifying for the pair

$$P = ((C, f \circ \alpha: C \rightarrow A'), (C', f \circ \beta: C' \rightarrow A'))$$

so we can take

$$(Ff)(\overline{u}) = \overline{u}$$

where the overbar on the right means give  $u$  the domain and codomain in  $P$ .

We will leave it to you to show that  $Ff$  is indeed a functor and then that  $F$  is also a functor!  $\square$

### ■ Example 30

Let  $\mathcal{C}$  be a category with binary products. We define the **squaring functor** as follows. For each object  $A$ , fix a product  $(A \times A, \rho_1, \rho_2)$  of  $A$  with itself. Let  $F: \mathcal{C} \Rightarrow \mathcal{C}$  send  $A$  to  $A \times A$ .

For a morphism  $f: A \rightarrow B$  in  $\mathcal{C}$ , we want to define an appropriate morphism

$$Ff: (A \times A, \rho_1, \rho_2) \rightarrow (B \times B, \sigma_1, \sigma_2)$$

This clearly calls for the mediating morphism trick. So we need a couple of maps: one from  $A \times A$  to  $B_1$  and one from  $A \times A$  to  $B_2$ .

The two compositions  $f \circ \rho_i: A \times A \rightarrow B_i$  for  $i = 1, 2$  will do the trick. Specifically, there is a unique mediating morphism

$$\theta_f: A \times A \rightarrow B \times B$$

as shown in Figure 21,

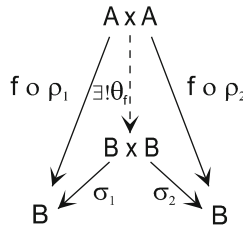


Figure 21

for which

$$\sigma_i \circ \theta_f = f \circ \rho_i$$

Let  $Ff = \theta_f$ . Then  $Ff$  is uniquely defined by the conditions

$$\sigma_i \circ Ff = f \circ \rho_i \quad (i = 1, 2)$$

It is clear that  $F1_A = 1_A$ , because we have fixed a single product for each pair of objects. Also, if  $f: A \rightarrow B$ ,  $g: B \rightarrow C$  and the product for  $C$  is  $(C \times C, \tau_1, \tau_2)$ , then

$$\tau_i \circ (Fg \circ Ff) = g \circ \sigma_i \circ Ff = g \circ f \circ \rho_i = \tau_i \circ F(g \circ f)$$

for all  $i$  and so  $Fg \circ Ff = F(g \circ f)$ . Thus,  $F$  is a covariant functor on  $\mathcal{C}$ .  $\square$

### ■ Example 31

Let  $\mathcal{C}$  be a category with binary products. To define a **product functor**

$$F: \mathcal{C} \times \mathcal{C} \Rightarrow \mathcal{C}$$

we must assume that for every pair  $(X, Y)$  of objects in  $\mathcal{C}$ , we have selected a product

$$(X \times Y, \zeta_1, \zeta_2)$$

The product functor  $F$  takes an object  $(A_1, A_2)$  to its chosen product  $(A_1 \times A_2, \alpha_1, \alpha_2)$  and a morphism

$$(f_1, f_2): (A_1, A_2) \rightarrow (B_1, B_2)$$

to the product morphism

$$f_1 \times f_2: (A_1 \times A_2, \alpha_1, \alpha_2) \rightarrow (B_1 \times B_2, \beta_1, \beta_2) \quad (32)$$

recall that  $f_1 \times f_2$  is defined as the unique morphism satisfying the conditions

$$\beta_1 \circ (f_1 \times f_2) = f_1 \circ \alpha_1 \quad \text{and} \quad \beta_2 \circ (f_1 \times f_2) = f_2 \circ \alpha_2$$

To see that  $F$  is a functor, we must first show that  $1_{A_1} \times 1_{A_2}$  is the identity  $1_{A_1 \times A_2}$  on  $A_1 \times A_2$  and for this, we use (32). Since

$$\alpha_1 \circ 1_{A_1 \times A_2} = 1_{A_1} \circ \alpha_1 \quad \text{and} \quad \alpha_2 \circ 1_{A_1 \times A_2} = 1_{A_2} \circ \alpha_2$$

the uniqueness condition implies that

$$F(1_A, 1_B) = 1_A \times 1_B = 1_{A \times B}$$

As to composition, suppose that

$$g_1 \times g_2: (B_1 \times B_2, \beta_1, \beta_2) \rightarrow (C_1 \times C_2, \gamma_1, \gamma_2)$$

Then

$$F[(g_1, g_2) \circ (f_1, f_2)] = F[(g_1 \circ f_1, g_2 \circ f_2)] = (g_1 \circ f_1) \times (g_2 \circ f_2)$$

Hence, by definition, the map  $h = F[(g_1, g_2) \circ (f_1, f_2)]$  is the *unique* map for which

$$\gamma_1 \circ h = (g_1 \circ f_1) \circ \alpha_1 \quad \text{and} \quad \gamma_2 \circ h = (g_2 \circ f_2) \circ \alpha_2$$

The uniqueness conditions implies that we need only show that the map

$$k = F[(g_1, g_2)] \circ F[(f_1, f_2)] = (g_1 \times g_2) \circ (f_1 \times f_2)$$

also satisfies these equations, that is, that

$$\gamma_1 \circ [(g_1 \times g_2) \circ (f_1 \times f_2)] = (g_1 \circ f_1) \circ \alpha_1$$

and

$$\gamma_2 \circ [(g_1 \times g_2) \circ (f_1 \times f_2)] = (g_2 \circ f_2) \circ \alpha_2$$

As to the first of these equations, we have

$$\begin{aligned} \gamma_1 \circ [(g_1 \times g_2) \circ (f_1 \times f_2)] &= (g_1 \circ \beta_1) \circ (f_1 \times f_2) \\ &= g_1 \circ (\beta_1 \circ (f_1 \times f_2)) \\ &= g_1 \circ (f_1 \circ \alpha_1) \end{aligned}$$

as desired. The second equation is proved similarly.  $\square$

We have saved the most important example of a functor (at least from the perspective of category theory itself) for last.

### ■ Example 33

One of the most important classes of functors are the *hom functors*, shown in Figure 22. Let  $\mathcal{C}$  be a category and let  $A \in \mathcal{C}$ . We refer to  $A$  as the **source object** for the hom functor.

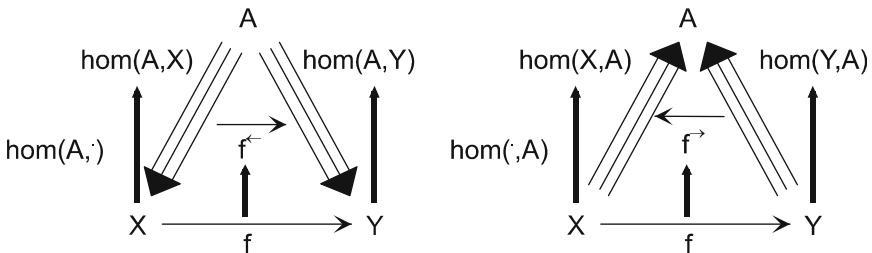


Figure 22

### The covariant hom functor

$$\text{hom}(A, \cdot) : \mathcal{C} \Rightarrow \mathbf{set}$$

sends an object  $X \in \mathcal{C}$  to the hom-set of all morphisms from the source object  $A$  to  $X$ ,

$$\text{hom}(A, \cdot)(X) = \text{hom}(A, X)$$

and it sends a morphism  $f: X \rightarrow Y$  to the “follow by  $f$ ” map,

$$\text{hom}(A, \cdot)f = f^{\leftarrow}$$

Thus,

$$f^{\leftarrow} : \text{hom}(A, X) \rightarrow \text{hom}(A, Y)$$

is defined by

$$f^{\leftarrow} \tau = f \circ \tau$$

for any  $\tau: A \rightarrow X$ . This functor is covariant precisely because

$$(g \circ f)^{\leftarrow} = g^{\leftarrow} \circ f^{\leftarrow}$$

Covariant hom functors are also called **covariant representable functors**.

Dually, the **contravariant hom functor**

$$\text{hom}(\cdot, A) : \mathcal{C} \Rightarrow \mathbf{set}$$

is defined by

$$\text{hom}(\cdot, A)(X) = \text{hom}(X, A)$$

for all  $X \in \mathcal{C}$  and

$$\text{hom}(\cdot, A)(f) = f^{\rightarrow}$$

where  $f^{\rightarrow}$  is the “preceded by  $f$ ” map,

$$f^{\rightarrow} \tau = \tau \circ f$$

for any  $\tau: Y \rightarrow A$ . This functor is contravariant precisely because

$$(g \circ f)^{\rightarrow} = f^{\rightarrow} \circ g^{\rightarrow}$$

Contravariant hom functors are also called **contravariant representable functors**. □

## Morphisms of Functors: Natural Transformations

Let  $\mathcal{C}$  and  $\mathcal{D}$  be categories. We would like to form a new category, denoted by  $\mathcal{D}^{\mathcal{C}}$ , whose objects are the *functors* from  $\mathcal{C}$  to  $\mathcal{D}$ . But what about the morphisms between functors?

Consider a pair of parallel covariant functors  $F, G: \mathcal{C} \Rightarrow \mathcal{D}$ , as shown in Figure 23. As discussed earlier in the book, we think of  $F$  and  $G$  as mapping one-arrow diagrams.

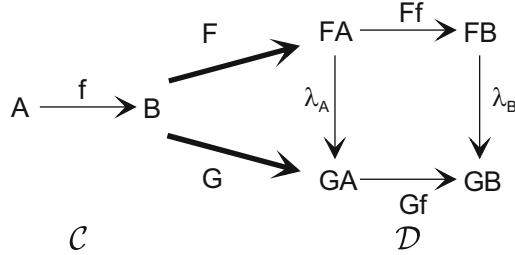


Figure 23 A natural transformation

A structure-preserving map between  $F$  and  $G$  is a “map” between the image one-arrow diagrams

$$FA \xrightarrow{Ff} FB \quad \text{and} \quad GA \xrightarrow{Gf} GB$$

As shown in Figure 23, this is accomplished by a *family* of morphisms in  $\mathcal{D}$

$$\lambda = \{\lambda_A: FA \rightarrow GA \mid A \in \mathcal{D}\}$$

for which the square in Figure 23 commutes, that is,

$$Gf \circ \lambda_A = \lambda_B \circ Ff$$

The family  $\lambda$  is called a *natural transformation* from  $F$  to  $G$ .

### Definition

Let  $F, G: \mathcal{C} \Rightarrow \mathcal{D}$  be parallel functors of the same type (both covariant or both contravariant). A **natural transformation** from  $F$  to  $G$ , denoted by  $\lambda: F \rightrightarrows G$  or  $\{\lambda_A\}: F \rightrightarrows G$  is a family of morphisms in  $\mathcal{D}$

$$\lambda = \{\lambda_A: FA \rightarrow GA \mid A \in \mathcal{D}\}$$

for which the appropriate square in Figure 24 commutes. Specifically, if  $F$  and  $G$  are covariant, as shown on the left in Figure 24, then

$$\lambda_B \circ Ff = Gf \circ \lambda_A$$

for any  $f: A \rightarrow B$  in  $\mathcal{C}$  and if  $F$  and  $G$  are contravariant, as shown on the right in Figure 24, then

$$\lambda_A \circ Ff = Gf \circ \lambda_B$$

for any  $f: A \rightarrow B$  in  $\mathcal{C}$ . Each morphism  $\lambda_A$  is called a **component** of  $\lambda$ . It is customary to say that  $\lambda_A$  is **natural in  $A$  from  $F$  to  $G$** . We denote the class of natural transformations from  $F$  to  $G$  by  $\text{Nat}(F, G)$ . We also use the notation  $\lambda(A)$  for  $\lambda_A$  when it is more convenient.  $\square$

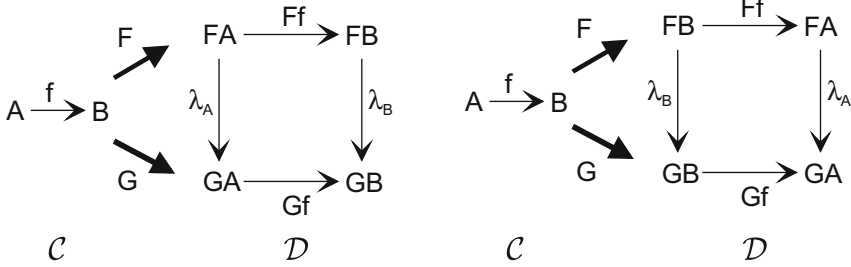


Figure 24 Natural transformations

Some authors refer to the function  $\lambda: A \mapsto \lambda_A$  that maps an object  $A \in \mathcal{C}$  to the component  $\lambda_A$  as a natural transformation as well.

## Intuitively Speaking

Intuitively speaking, we can think of a natural transformation as follows. If  $f: A \rightarrow B$  is a morphism in  $\mathcal{C}$ , then let us think of  $Ff$  and  $Gf$  as two different *versions* of  $f$ . Then the natural transformation condition

$$\lambda_B \circ Ff = Gf \circ \lambda_A$$

is a kind of **commutativity rule**, for it says that we can swap  $\lambda$  (actually, an appropriate component of  $\lambda$ ) with one version of  $f$  provided we change the version of  $f$ .

## An Example

Let us do an example.

### ■ Example 34 (The determinant)

Fix a positive integer  $n$ . As shown in Figure 25, consider two parallel functors  $G, U: \mathbf{CRng} \Rightarrow \mathbf{Grp}$  defined as follows. The functor  $G$  sends a ring  $R$  to the general linear group  $GL_n(R)$  and a morphism  $f: R \rightarrow S$  to the map  $f$  applied elementwise to the elements of a matrix, which we denote by  $f_e$ .



$$G: R \mapsto GL_n(R), \quad G: (f: R \rightarrow S) \mapsto (f_e: GL_n(R) \rightarrow GL_n(S))$$

The functor  $U$  sends a ring  $R$  to its group  $R^*$  of units and a ring map  $f$  to the restricted map  $f_u: R^* \rightarrow S^*$ , which makes sense since a ring map sends units to units,

$$U: R \mapsto R^*, \quad U: (f: R \rightarrow S) \mapsto (f: R^* \rightarrow S^*)$$

So we have two versions of the ring map  $f$ : apply  $f$  to matrices elementwise and apply  $f$  to units. Can you think of some operation  $\{\lambda_A\}$  that “commutes” with these two versions of  $f$ , that is, for which

$$\lambda_S \circ f_e = f_u \circ \lambda_R$$

for  $f: R \rightarrow S$ ?

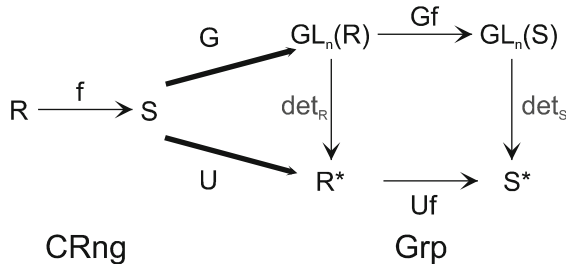
Well, the determinant does not care whether it is applied before or after a ring map  $f$ , more precisely, before  $f_u$  or after  $f_e$ , in symbols,

$$\det(f_e A) = f_u(\det(A))$$

Thus,

$$\det_S \circ f_e = f_u \circ \det_R$$

which says that  $\{\det_R \mid R \in \mathbf{CRng}\}$  is *natural* in  $R$ . □



**Figure 25** *The determinant is natural*

We will do some additional examples in a moment.

## Composition of Natural Transformations

Natural transformations can be composed by composing corresponding components. In particular, if  $\lambda: F \rightarrowtail G$  and  $\mu: G \rightarrowtail H$  are natural transformations, then the composition  $\mu \circ \lambda: F \rightarrowtail H$  is defined by

$$(\mu \circ \lambda)_A = \mu_A \circ \lambda_A$$

We leave it to the reader to show that the composition of natural transformations is a natural transformation. Also, the identity natural transformation  $1: F \rightarrow F$  is defined by specifying that

$$1(A) = 1_{FA}$$

## Natural Isomorphisms

Suppose that  $\lambda: F \rightarrow G$  is a natural transformation from  $F$  to  $G$ , where each component  $\lambda_A: FA \approx FB$  is an isomorphism. The condition that  $\lambda$  is natural is

$$\lambda_B \circ Ff = Gf \circ \lambda_A$$

Applying  $\lambda_B^{-1}$  on the left and  $\lambda_A^{-1}$  on the right gives

$$Ff \circ \lambda_A^{-1} = \lambda_B^{-1} \circ Gf$$

Thus, the family  $\mu = \{\lambda_A^{-1} \mid A \in \mathcal{C}\}$  is a natural transformation from  $G$  to  $F$ , that is,  $\mu: G \rightarrow F$ . Moreover,  $\mu \circ \lambda = 1$  and  $\lambda \circ \mu = 1$  are the respective identity natural isomorphisms (each component is an identity morphism).

Conversely, if  $\lambda: F \rightarrow G$  and  $\mu: G \rightarrow F$  are natural transformations for which  $\mu \circ \lambda = 1$  and  $\lambda \circ \mu = 1$ , then  $\lambda_A$  is an isomorphism for all  $A \in \mathcal{C}$ .

### ■ Theorem 35

Let  $\lambda: F \rightarrow G$  be a natural transformation. The following are equivalent:

- 1) Each component of  $\lambda$  is an isomorphism.
- 2) There is a natural transformation  $\mu: G \rightarrow F$  for which

$$\mu \circ \lambda = 1 \quad \text{and} \quad \lambda \circ \mu = 1$$

where  $1$  is the appropriate natural isomorphism all of whose components are identity morphisms.

When these statements hold, we say that  $\lambda$  is a **natural isomorphism** and that  $F$  and  $G$  are **naturally isomorphic**, written  $\lambda: F \approx G$  or  $F \approx G$ . When  $F$  and  $G$  are set-valued, we use the notation  $\leftrightarrow$  in place of  $\approx$ , since the components are bijections in this case.  $\square$

## More Examples of Natural Transformations

Let us consider some additional examples of natural transformations.

### ■ Example 36 (The double-dual)

Let **Vect** be the category of vector spaces over a field  $k$ , with linear maps. We need a little vector space theory for this example. As you probably know, the **dual space**  $V^*$  of a vector

space  $V$  is the family of linear functionals on  $V$ . Hence, the **double-dual space**  $V^{**}$  is the family of linear functionals on  $V^*$ .

For example, if  $v \in V$ , then the **evaluation** at  $v$  map  $\bar{v}: V^* \rightarrow k$  defined by

$$\bar{v}(f) = f(v)$$

for all  $f \in V^*$  belongs to the double dual  $V^{**}$ . Let us set

$$\epsilon_V: V \rightarrow V^{**}, \quad \epsilon_V(v) = \bar{v}$$

The **operator adjoint**  $\tau^\rightarrow: W^* \rightarrow V^*$  of a linear map  $\tau: V \rightarrow W$  is defined by

$$\tau^\rightarrow(f) = f \circ \tau$$

Therefore, the **second adjoint**  $\tau^{\rightarrow\rightarrow}: V^{**} \rightarrow W^{**}$  is given by

$$\tau^{\rightarrow\rightarrow}(\alpha) = \alpha \circ \tau^\rightarrow$$

for  $\alpha \in V^{**}$ .

In this case, we would like to find two versions of a linear map  $\tau: V \rightarrow W$  that commute with evaluation.

We begin by looking at  $\epsilon_W \circ \tau$ . If  $v \in V$ , then

$$(\epsilon_W \circ \tau)(v) = \epsilon_W(\tau v) = \overline{\tau v}$$

Now we want to massage this until evaluation pops out the front. Applying  $\overline{\tau v}$  to  $f \in V^*$  gives

$$\overline{\tau v}(f) = f(\tau v) = \bar{v}(f \circ \tau) = \bar{v}(\tau^\rightarrow(f)) = (\bar{v} \circ \tau^\rightarrow)(f)$$

and so

$$\overline{\tau v} = \bar{v} \circ \tau^\rightarrow$$

Thus,

$$(\epsilon_W \circ \tau)(v) = \overline{\tau v} = \bar{v} \circ \tau^\rightarrow = \tau^{\rightarrow\rightarrow}(\bar{v}) = \tau^{\rightarrow\rightarrow}(\epsilon_V(v)) = (\tau^{\rightarrow\rightarrow} \circ \epsilon_V)(v)$$

and we finally arrive at

$$\epsilon_W \circ \tau = \tau^{\rightarrow\rightarrow} \circ \epsilon_V \tag{37}$$

We can now put this in the language of natural transformations. Define a functor  $F: \mathbf{Vect} \Rightarrow \mathbf{Vect}$  that takes a vector space  $V$  to its double dual  $V^{**}$  and a linear map  $\tau: V \rightarrow W$  to its double adjoint,

$$F: V \mapsto V^{**} \quad \text{and} \quad F: \tau \mapsto \tau^{\rightarrow\rightarrow}$$

Then (37) can be written as

$$F\tau \circ \epsilon_V = \epsilon_W \circ I\tau$$

where  $I$  is the identity functor on **Vect**. Thus, as shown in Figure 26, the family  $\{\epsilon_V \mid V \in \mathbf{Vect}\}$  is natural in  $V$ .

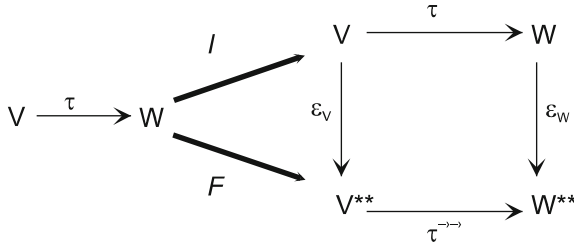


Figure 26

This example is a little more abstruse than the determinant example. The determinant example says that we can apply a ring map either before or after taking the determinant. This example says that we can either follow a linear map  $\tau$  by evaluation or precede its *second adjoint*  $\tau^{\sim}$  by evaluation. Whoever would have guessed that?

We leave it to you to show that the family is a natural *isomorphism* when restricted to the category of *finite-dimensional* vector spaces.  $\square$

### ■ Example 38 (The Riesz map)

For the category **Vect**, the **dual functor**  $G$  is defined by

$$GV = V^* \quad \text{and} \quad G\tau = \tau^{\sim}$$

In examining the relationship between vector spaces and their duals, it is immediately clear that there cannot be a natural transformation from the identity functor on **Vect** to the dual functor on **Vect** because the identity functor is *covariant* but the dual functor is *contravariant*.

On the other hand, there is an important (and basis free) natural transformation for finite-dimensional *inner product* spaces. Let **FinInner** be the category of finite-dimensional real inner product spaces, with unitary transformations. A linear transformation  $\sigma: V \rightarrow W$  is **unitary** if it is a bijection and

$$\langle \sigma u, v \rangle = \langle u, \sigma^{-1} v \rangle$$

The background we need here is the Riesz representation theorem. Define the **Riesz map**  $R_V: V \rightarrow V^*$  by

$$R_V(v)(x) = \langle v, x \rangle$$

In words,  $R_V(v)$  is “inner product with  $v$ .” Because  $V$  is finite-dimensional, the Riesz representation theorem says that  $R_V$  is an isomorphism and so each element of  $V^*$  has the form  $R_V(v) = \langle v, \cdot \rangle$  for a unique  $v \in V$ .

$$\begin{array}{ccc}
 V & \xrightarrow{\tau} & W \\
 R_V \downarrow & & \downarrow R_W \\
 V^* & \xrightarrow{(\tau^{-1})^\rightarrow} & W^*
 \end{array}$$

Figure 27

In an effort to find a commutativity rule involving the Riesz maps, we write for any  $v \in V$ ,

$$\begin{aligned}
 (R_W \circ \tau)(v) &= R_W(\tau v) \\
 &= \langle \tau v, \cdot \rangle \\
 &= \langle v, \tau^{-1} \cdot \rangle \\
 &= \langle v, \cdot \rangle \circ \tau^{-1} \\
 &= R_V(v) \circ \tau^{-1} \\
 &= (\tau^{-1})^\rightarrow(R_V(v)) \\
 &= ((\tau^{-1})^\rightarrow \circ R_V)(v)
 \end{aligned}$$

and so

$$R_W \circ \tau = (\tau^{-1})^\rightarrow \circ R_V$$

This prompts us to make the following definition. Define the **Riesz functor**  $G$  by

$$GV = V^* \quad \text{and} \quad G(\tau) = (\tau^{-1})^\rightarrow$$

where  $\tau: V \rightarrow W$  is unitary. Then

$$R_W \circ \tau = G\tau \circ R_V$$

and so the family  $\{R_V \mid V \in \mathbf{FinInner}\}$  is natural. In words, we can swap the Riesz maps with  $\tau$  and  $(\tau^{-1})^\rightarrow$ .

#### ■ Example 39 (The coordinate map)

Let  $k$  be a field. For each nontrivial vector space  $V$  over  $k$ , choose an ordered basis  $\mathcal{B}_V$ . Choose the standard basis  $\mathcal{E}_n$  for the vector spaces  $k^n$ . Let  $\mathbf{FinVectB}^*$  be the category whose objects are the ordered pairs  $(V, \mathcal{B}_V)$ . We will write  $V_n$  to denote the fact that  $V$  has dimension  $n$ .

The morphisms  $\tau: (V_n, \mathcal{B}_V) \rightarrow (W_m, \mathcal{B}_W)$  are just the usual linear transformations  $\tau: V_n \rightarrow W_m$ .

Now, the coordinate map is defined by

$$\phi_{(V, \mathcal{B}_V)}: (V_n, \mathcal{B}_V) \rightarrow (k^n, \mathcal{E}_n), \quad \phi_{(V, \mathcal{B}_V)}(v) = [v]_{\mathcal{B}_V}$$

where  $[v]_{\mathcal{B}_V}$  is the coordinate matrix of  $v$  with respect to  $\mathcal{B}_V$  is an isomorphism.

The coordinate map can be used to define the matrix representation  $[\tau]_{\mathcal{B}, \mathcal{C}}$  of a linear map  $\tau: V \rightarrow W$  with respect to a pair of ordered bases  $\mathcal{B}$  and  $\mathcal{C}$  for  $V$  and  $W$ , respectively. Recall that this matrix satisfies the equation

$$[\tau v]_{\mathcal{B}_W} = [\tau]_{\mathcal{B}_V, \mathcal{B}_W} [v]_{\mathcal{B}_V}$$

where  $[x]_{\mathcal{B}}$  denotes the coordinate matrix of  $x$  with respect to  $\mathcal{B}$ . In terms of coordinate maps, this can be written

$$\phi_{(W, \mathcal{B}_W)}(\tau v) = [\tau]_{\mathcal{B}_V, \mathcal{B}_W} \phi_{(V, \mathcal{B}_V)}(v)$$

or equivalently in terms of the matrix multiplication operator,

$$\phi_{(W, \mathcal{B}_W)} \circ \tau = [\tau]_{\mathcal{B}_V, \mathcal{B}_W} \circ \phi_{(V, \mathcal{B}_V)} \quad (40)$$

Now it is time for some functors, one being the identity functor  $I$  on  $\mathbf{FinVect}^*$ . The other functor  $G$  is the **matrix representation functor** defined by

$$G(V_n, \mathcal{B}_V) = (k^n, \mathcal{E}_n)$$

and

$$G\tau = [\tau]_{\mathcal{B}_V, \mathcal{B}_W}$$

(We leave it to you to check that this is a covariant functor.)

Then (40) becomes

$$\phi_{(W, \mathcal{B}_W)} \circ I\tau = G\tau \circ \phi_{(V, \mathcal{B}_V)}$$

which shows (see Figure 28) that the family  $\{\phi_{(V, \mathcal{B}_V)} \mid V \in \mathbf{FinVect}^*\}$  is natural in  $V$ .

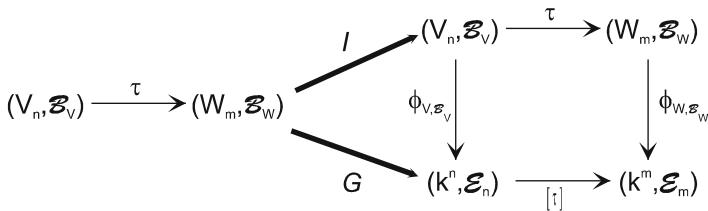


Figure 28 The coordinate maps are natural

In words, to swap factors in the composition  $\phi_{(W, B_W)} \circ \tau$ , replace  $\tau$  by its matrix representation.  $\square$

■ **Example 41 (Arrow part of functor is natural transformation between hom functors)**

Let  $F: \mathcal{C} \Rightarrow \mathcal{D}$  be a functor and let  $A \in \mathcal{C}$ . If  $f: X \rightarrow Y$  and  $g: A \rightarrow X$  in  $\mathcal{C}$ , then

$$F(f \circ g) = Ff \circ Fg$$

which can also be written in the form

$$F(f^{\leftarrow}(g)) = ((Ff)^{\leftarrow} \circ F)(g)$$

and so

$$F \circ f^{\leftarrow} = (Ff)^{\leftarrow} \circ F$$

This shows that the square in Figure 29 commutes.

$$\begin{array}{ccccc}
 & \text{hom}_{\mathcal{C}}(A, \bullet) & & \text{hom}_{\mathcal{C}}(A, X) & \xrightarrow{\text{hom}_{\mathcal{C}}(A, \bullet)(f) = f^{\leftarrow}} & \text{hom}_{\mathcal{C}}(A, Y) \\
 f: X \rightarrow Y \swarrow & & & \downarrow F & & \downarrow F \\
 & \text{hom}_{\mathcal{D}}(FA, \bullet) & & \text{hom}_{\mathcal{D}}(FA, FX) & \xrightarrow{\text{hom}_{\mathcal{D}}(FA, \bullet)(Ff) = (Ff)^{\leftarrow}} & \text{hom}_{\mathcal{D}}(FA, FY)
 \end{array}$$

Figure 29

It follows that the arrow parts

$$F_X: \text{hom}_{\mathcal{C}}(A, X) \rightarrow \text{hom}_{\mathcal{D}}(A, FX)$$

of the functor  $F$  actually form a natural transformation from the hom functor  $\text{hom}_{\mathcal{C}}(A, \cdot)$  with source  $A$  to the hom functor  $\text{hom}_{\mathcal{D}}(FA, F\cdot)$  with source  $FA$ , in symbols

$$F: \text{hom}_{\mathcal{C}}(A, \cdot) \rightrightarrows \text{hom}_{\mathcal{D}}(FA, F\cdot)$$

We will refer to this natural transformation by the name **arrow part** of  $F$ .  $\square$

$$\begin{array}{ccccc}
 & \text{hom}_{\mathcal{C}}(B, \cdot) & \xrightarrow{h^{\leftarrow}} & \text{hom}_{\mathcal{C}}(B, Y) & \\
 h: X \rightarrow Y \swarrow & \downarrow f^{\rightarrow} & & \downarrow f^{\rightarrow} & \\
 & \text{hom}_{\mathcal{D}}(A, \cdot) & \xrightarrow{h^{\leftarrow}} & \text{hom}_{\mathcal{D}}(A, Y) & \\
 \searrow & & & & 
 \end{array}$$

Figure 30

■ **Example 42 (Any morphism defines a natural transformation between hom functors)**

Let  $\mathcal{C}$  be a category and let  $f$ ,  $h$  and  $\alpha$  be morphisms in  $\mathcal{C}$  for which the composition exists

$$h \circ \alpha \circ f$$

Because composition is associative, this composition can be written in two ways using the hom functors as follows

$$f^{\rightarrow}(h^{\leftarrow}(\alpha)) = h \circ \alpha \circ f = h^{\leftarrow}(f^{\rightarrow}(\alpha))$$

and so

$$f^{\rightarrow} \circ h^{\leftarrow} = h^{\leftarrow} \circ f^{\rightarrow}$$

Thus, the square in Figure 30 commutes and so the morphism  $f: A \rightarrow B$  defines a natural transformation

$$\{f^{\rightarrow}\}: \text{hom}_{\mathcal{C}}(B, \cdot) \rightarrow \text{hom}_{\mathcal{C}}(A, \cdot)$$

where each component is  $f^{\rightarrow}$  (applied to the appropriate domain). We will see a bit later that all natural transformations between hom functors have this form.  $\square$

## Natural Isomorphisms and Full Faithfulness

It is not surprising that a natural isomorphism of functors preserves fullness and faithfulness. We leave proof of the following as an exercise.

■ **Theorem 43**

- 1) Let  $F \approx G$  be naturally isomorphic functors.
  - a)  $F$  is faithful if and only if  $G$  is faithful.
  - b)  $F$  is full if and only if  $G$  is full.
 In particular, if  $F \approx I_{\mathcal{C}}$ , then  $F$  is fully faithful.
- 2) Let  $F: \mathcal{C} \Rightarrow \mathcal{D}$  and  $G: \mathcal{D} \Rightarrow \mathcal{C}$  be functors.
  - a) If  $G \circ F$  is faithful, then  $F$  is faithful.
  - b) If  $G \circ F$  is full, then  $G$  is full.



In particular, if

$$G \circ F \approx I_{\mathcal{C}} \quad \text{and} \quad F \circ G \approx I_{\mathcal{D}}$$

then  $F$  and  $G$  are fully faithful.  $\square$

## Functor Categories

As mentioned earlier, if  $\mathcal{C}$  and  $\mathcal{D}$  are categories, we would like to form the category  $\mathcal{D}^{\mathcal{C}}$ , whose objects are the functors from  $\mathcal{C}$  to  $\mathcal{D}$  and whose morphisms are the natural transformations between functors. The only problem is that our definition of category requires that each hom-set be a *set*, but the class of natural transformations between two functors need not be a set. This issue can be resolved by requiring  $\mathcal{C}$  to be a small category, that is, by requiring that  $\mathbf{Obj}(\mathcal{C})$  be a set. From now on, when we use the functor category  $\mathcal{D}^{\mathcal{C}}$ , it is with the tacit assumption that  $\mathcal{C}$  is small.

### ■ Example 44

Let  $\mathbf{2}$  be the category whose objects are 0 and 1 and whose morphisms are  $1_0$ ,  $1_1$  and  $01$ :  $0 \rightarrow 1$ . Then each functor  $F: \mathbf{2} \Rightarrow \mathcal{D}$  essentially just selects an arrow  $F(01): F(0) \rightarrow F(1)$  of  $\mathcal{D}$ . Moreover, a natural transformation  $\{\lambda_0, \lambda_1\}: F \rightarrow G$  is a pair of morphisms in  $\mathcal{D}$ , as shown in Figure 31.

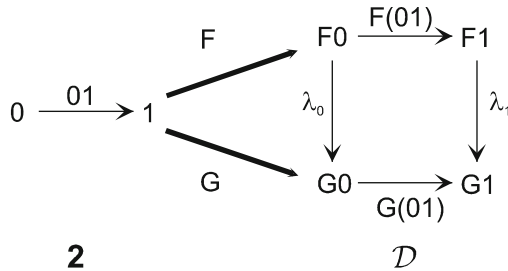


Figure 31

Hence, the functor category  $\mathcal{D}^{\mathbf{2}}$ , whose objects are the functors  $F: \mathbf{2} \Rightarrow \mathcal{D}$  and whose morphisms are the natural transformations  $\{\lambda_0, \lambda_1\}: F \rightarrow G$  between functors is just the category  $\mathcal{D}^{\rightarrow}$  of arrows of  $\mathcal{D}$ .  $\square$

## The Category of Diagrams

If  $\mathcal{C}$  is a small category, the family of all diagrams  $J: \mathcal{J} \Rightarrow \mathcal{C}$  in  $\mathcal{C}$  over a particular index category  $\mathcal{J}$  form the objects of a new category  $\mathbf{dia}_{\mathcal{J}}(\mathcal{C})$ . The morphisms  $f: F \Rightarrow G$  from diagram  $J: \mathcal{J} \Rightarrow \mathcal{C}$  to diagram  $G: \mathcal{J} \Rightarrow \mathcal{C}$  are simply the natural transformations from  $J$  to  $G$ .

We can draw a picture of a morphism

$$\{\lambda_n\}: \mathbb{D} \rightarrow \mathbb{E}$$

from diagram  $\mathbb{D}$  to diagram  $\mathbb{E}$  as shown in Figure 32. For this to represent a morphism, the square must be commutative.

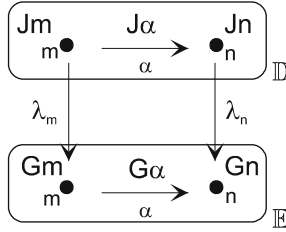


Figure 32

The category of diagrams will prove to be quite useful to us when we discuss universality later in the book.

## Natural Equivalence

Two categories  $\mathcal{C}$  and  $\mathcal{D}$  are said to be *naturally equivalent* if there are antiparallel covariant functors  $F: \mathcal{C} \Rightarrow \mathcal{D}$  and  $G: \mathcal{D} \Rightarrow \mathcal{C}$  for which the compositions  $F \circ G$  and  $G \circ F$  are naturally isomorphic to the corresponding identity functors  $I_{\mathcal{D}}$  and  $I_{\mathcal{C}}$ . There is also a similar concept for contravariant functors.

### Definition

- Two categories  $\mathcal{C}$  and  $\mathcal{D}$  are **naturally equivalent** if there are covariant functors  $F: \mathcal{C} \Rightarrow \mathcal{D}$  and  $G: \mathcal{D} \Rightarrow \mathcal{C}$  for which

$$F \circ G \approx I_{\mathcal{D}} \quad \text{and} \quad G \circ F \approx I_{\mathcal{C}}$$

where  $I_{\mathcal{D}}$  and  $I_{\mathcal{C}}$  are identity functors.

- Two categories  $\mathcal{C}$  and  $\mathcal{D}$  are **dually equivalent** (or **dual**) if there are contravariant functors  $F: \mathcal{C} \Rightarrow \mathcal{D}$  and  $G: \mathcal{D} \Rightarrow \mathcal{C}$  for which

$$F \circ G \approx I_{\mathcal{D}} \quad \text{and} \quad G \circ F \approx I_{\mathcal{C}}$$

where  $I_{\mathcal{D}}$  and  $I_{\mathcal{C}}$  are identity functors. □

Note that the functors in this definition are fully faithful.

### Example 45

Let us show that the category  $\mathbf{FinVect}^*$  of nonzero finite-dimensional vector spaces over a field  $k$  and the matrix category  $\mathbf{Matr}_k$  are naturally equivalent. We assume that for each vector space

$V$  in  $\mathbf{FinVect}^*$ , an ordered basis  $\mathcal{B}_V$  is chosen and that for the vector spaces  $k^n$ , the chosen basis is the standard basis  $\mathcal{E}_n$ .

The dimension functor  $\dim: \mathbf{FinVect}^* \Rightarrow \mathbf{Matr}_k$  sends  $V$  to its dimension and sends each linear transformation  $\tau: V_n \rightarrow W_m$  to the  $m \times n$  matrix  $[\tau]$  of  $\tau$  with respect to the chosen ordered bases for  $V_n$  and  $W_m$ ,

$$V_n \xrightarrow{\tau} W_m \xRightarrow{\dim} n \xrightarrow{[\tau]} m$$

To see that  $\dim$  is a functor, note that  $\dim(1_V)$  is the identity matrix and if  $\tau: U \rightarrow V$  and  $\sigma: V \rightarrow W$  then

$$\dim(\sigma\tau) = [\sigma\tau]_{\mathcal{B}_U, \mathcal{B}_W} = [\sigma]_{\mathcal{B}_V, \mathcal{B}_W} [\tau]_{\mathcal{B}_U, \mathcal{B}_V} = \dim(\sigma)\dim(\tau)$$

In the other direction, consider the map

$$\exp: \mathbf{Matr}_k \Rightarrow \mathbf{FinVect}^*$$

that takes a positive integer  $n$  to the vector space  $k^n$  and an  $m \times n$  matrix  $M: n \rightarrow m$  to the multiplication by  $M$  map, denoted by  $\mu_M$ :

$$n \xrightarrow{M} m \xRightarrow{\exp} k^n \xrightarrow{\mu_M} k^m$$

Since  $\mu_I = 1_V$  and  $\mu_{MN} = \mu_M \mu_N$ , it follows that  $\exp$  is also a functor.

The composition  $\dim \circ \exp: \mathbf{Matr}_k \Rightarrow \mathbf{Matr}_k$  is the identity functor, since for any positive integer  $n$ ,

$$\dim \circ \exp(n) = \dim(k^n) = n$$

and for any  $m \times n$  matrix  $M$ ,

$$\dim \circ \exp(M) = \dim(\mu_M) = [\mu_M]_{\mathcal{E}_m, \mathcal{E}_n} = M$$

The composition  $\exp \circ \dim$  is the matrix representation functor, since

$$\exp \circ \dim(V_n) = \exp(n) = k^n$$

and for  $\tau: V \rightarrow W$ ,

$$\exp \circ \dim(\tau) = \exp([\tau]_{\mathcal{B}_V, \mathcal{B}_W}) = \mu_{[\tau]}$$

Thus,  $\dim \circ \exp$  is the identity functor whereas  $\exp \circ \dim$ , while not equal to the identity functor, is naturally isomorphic to the identity functor. Hence,  $\mathbf{FinVect}^*$  and  $\mathbf{Matr}_k$  are equivalent categories.  $\square$

## Natural Transformations Between Hom Functors

Let us speak about natural transformations between hom functors. Let  $\mathcal{C}$  be a small category. Recall that for each  $A \in \mathcal{C}$ , the covariant hom functor

$$\text{hom}_{\mathcal{C}}(A, \cdot): \mathcal{C} \Rightarrow \mathbf{set}$$

with source  $A$  is defined by

$$\text{hom}_{\mathcal{C}}(A, \cdot)(X) = \text{hom}_{\mathcal{C}}(A, X)$$

and for each  $f: X \rightarrow Y$  in  $\mathcal{C}$ ,

$$\text{hom}(A, \cdot)f = f_A^{\leftarrow}$$

where we have used subscripts to remind us to which domain the “follow by  $f$ ” map applies.

Figure 33 shows the diagram for a natural transformation  $\lambda$  between two hom functors.

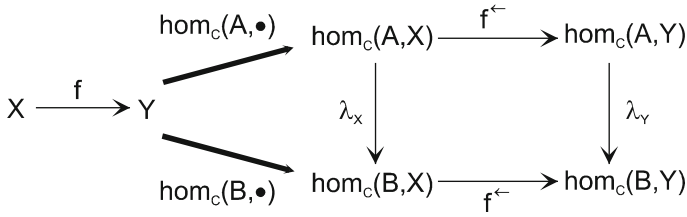


Figure 33

The naturalness condition is the commutativity of the square, that is,

$$f_B^{\leftarrow} \circ \lambda_X = \lambda_Y \circ f_A^{\leftarrow} \quad (46)$$

Taking  $X = A$  and applying this to the identity  $1_A$  gives

$$(f_B^{\leftarrow} \circ \lambda_A)(1_A) = (\lambda_Y \circ f_A^{\leftarrow})(1_A)$$

or

$$f_B^{\leftarrow}(\lambda_A(1_A)) = \lambda_Y(f \circ 1_A)$$

or (replacing  $Y$  by  $X$ ),

$$f \circ (\lambda_A(1_A)) = \lambda_X(f)$$

or finally,

$$\lambda_X(f) = [\lambda_A(1_A)]^\rightarrow(f)$$

for all  $f: A \rightarrow X$ . Hence,

$$\lambda_X = [\lambda_A(1_A)]^\rightarrow$$

for all  $f: A \rightarrow X$ . Thus, *all* natural transformations have the form

$$\lambda = \{h_X^\rightarrow \mid X \in \mathcal{C}\}$$

where

$$h = \lambda_A(1_A) \in \text{hom}_{\mathcal{C}}(B, A)$$

Note that all of the components  $h_X^\rightarrow$  of  $\lambda$  do the same thing (preceded by  $h$ ) but to different domains, so they are different morphisms.

Conversely, if  $h \in \text{hom}_{\mathcal{C}}(B, A)$ , then the family  $\{h^\rightarrow\}$  is natural from  $\text{hom}_{\mathcal{C}}(A, \cdot)$  to  $\text{hom}_{\mathcal{C}}(B, \cdot)$  because for any  $g: A \rightarrow X$ ,

$$f_B^\leftarrow \circ h_X^\rightarrow(g) = f \circ (g \circ h_X) \quad \text{and} \quad h_X^\rightarrow \circ f_A^\leftarrow(g) = (f \circ g) \circ h_X$$

which are equal precisely because composition is associative. Thus, we have completely characterized the natural transformations between hom functors.

#### ■ Theorem 47

Let  $\mathcal{C}$  be a category and let  $A, B \in \mathcal{C}$ . Then the natural transformations

$$\lambda: \text{hom}_{\mathcal{C}}(A, \cdot) \rightarrow \text{hom}_{\mathcal{C}}(B, \cdot)$$

between hom functors are precisely the families

$$\lambda = \{h_X^\rightarrow \mid X \in \mathcal{C}\}$$

as  $h$  varies over the set  $\text{hom}_{\mathcal{C}}(B, A)$ , where for  $X \in \mathcal{C}$ , the  $X$ -component of  $\lambda$ ,

$$h_X^\rightarrow: \text{hom}_{\mathcal{C}}(A, X) \rightarrow \text{hom}_{\mathcal{C}}(B, X)$$

is “preceded by  $h$  on  $\text{hom}_{\mathcal{C}}(A, X)$ .” □

## The Yoneda Embedding

Now that we understand the nature of natural transformations between hom functors, we can define a rather important *contravariant* functor, called the *Yoneda embedding*

$$y: \mathcal{C} \Rightarrow \mathbf{Set}^{\mathcal{C}}$$

as follows. To each object  $A \in \mathcal{C}$ , we associate the covariant hom functor  $\text{hom}_{\mathcal{C}}(A, \cdot)$  with source  $A$ . Thus, the object part of  $y$  is

$$y(A) = \text{hom}_{\mathcal{C}}(A, \cdot)$$

The arrow part of  $y$  maps a morphism  $h: B \rightarrow A$  to a natural transformation between hom functors and Theorem 47 gives us the “natural” choice

$$y(h) = \{h^{-}\}: \text{hom}_{\mathcal{C}}(A, \cdot) \xrightarrow{\cdot} \text{hom}_{\mathcal{C}}(B, \cdot)$$

To see that  $y$  actually is a contravariant functor, note that

$$y(1_A) = \{1_A^{-}\}$$

is the identity natural transformation and that

$$y(g \circ h) = (g \circ h)^{-} = h^{-} \circ g^{-} = y(g) \circ y(h)$$

It is customary to view  $y$  as a *covariant* functor,

$$y: \mathcal{C}^{op} \Rightarrow \mathbf{Set}^{\mathcal{C}}$$

from the opposite category  $\mathcal{C}^{op}$  to the functor category  $\mathbf{Set}^{\mathcal{C}}$ , or equivalently, as a covariant functor

$$y: \mathcal{C} \Rightarrow \mathbf{Set}^{\mathcal{C}^{op}}$$

However, lest all of these opposite categories give you a headache, we will leave the functor  $y$  alone and live with its contravariance.

#### ■ Theorem 48

Let  $\mathcal{C}$  be a category. The contravariant functor  $y: \mathcal{C} \Rightarrow \mathbf{Set}^{\mathcal{C}}$  defined by

$$y(A) = \text{hom}_{\mathcal{C}}(A, \cdot) \quad \text{and} \quad y(h) = \{h^{-}\}: \text{hom}_{\mathcal{C}}(A, \cdot) \xrightarrow{\cdot} \text{hom}_{\mathcal{C}}(B, \cdot)$$

for all  $A \in \mathcal{C}$  and all  $h \in \text{hom}_{\mathcal{C}}(B, A)$  is a contravariant embedding of  $\mathcal{C}$  into the functor category  $\mathbf{Set}^{\mathcal{C}}$ , called the **Yoneda embedding** of  $\mathcal{C}$  in  $\mathbf{Set}^{\mathcal{C}}$ .

#### ■ Proof

We must show that  $y$  is an embedding, that is, that the object part of  $y$  is injective and that the local arrow parts of  $y$  are bijective. The object part of  $y$  maps  $A$  to  $\text{hom}_{\mathcal{C}}(A, \cdot)$  and since  $\text{hom}_{\mathcal{C}}(A, \cdot)$  and  $\text{hom}_{\mathcal{C}}(B, \cdot)$  are distinct for distinct objects  $A$  and  $B$ , the object part of  $y$  is injective.

To get the local arrow part of  $y$ , we fix  $A, B \in \mathcal{C}$  to get the map

$$y_{A,B}: \text{hom}_{\mathcal{C}}(B, A) \rightarrow \text{Nat}(\text{hom}_{\mathcal{C}}(A, \cdot), \text{hom}_{\mathcal{C}}(B, \cdot))$$

given by

$$y_{A,B}(h) = \{h_X^- \mid X \in \mathcal{C}\} : \text{hom}_{\mathcal{C}}(A, \cdot) \rightarrow \text{hom}_{\mathcal{C}}(B, \cdot)$$

We have already proven that  $y_{A,B}$  is surjective, that is, that all natural transformations from  $\text{hom}_{\mathcal{C}}(A, \cdot)$  to  $\text{hom}_{\mathcal{C}}(B, \cdot)$  have the form  $\{h^-\}$ . As to injectivity, if  $y_{A,B}(h) = y_{A,B}(k)$  for  $h, k: B \rightarrow A$ , then

$$\{h_X^- \mid X \in \mathcal{C}\} = \{k_X^- \mid X \in \mathcal{C}\}$$

In particular, for the components associated with  $X = A$ , we can apply them to  $1_A$  to get

$$1_A \circ h = 1_A \circ k$$

and so  $h = k$ . Thus, the local arrow parts of  $y$  are injective and the Yoneda embedding is indeed an embedding.  $\square$

The Yoneda embedding states that any category  $\mathcal{C}$  can be (contravariantly) embedded in the functor category  $\mathbf{Set}^{\mathcal{C}}$  of set-valued functors on  $\mathcal{C}$ . In other words, each object  $A \in \mathcal{C}$  can be *represented* as a hom functor  $\text{hom}_{\mathcal{C}}(A, \cdot)$  and each morphism as a natural transformation between hom functors.

To help remember the contravariant Yoneda embedding, we can also think of it as the **source embedding**. Specifically an object  $A \in \mathcal{C}$  is used as the *source* of the hom functor  $\text{hom}_{\mathcal{C}}(A, \cdot)$  and a morphism  $h: B \rightarrow A$  is used to *change* the source from  $A$  to  $B$ , since the embedding is contravariant. But to change the source from  $A$  to  $B$ , we must *precede* by  $h$ .

#### ■ Example 49

It is said that the Yoneda embedding is a vast generalization of Cayley's theorem of group theory. Cayley's theorem says that any group  $G$  can be embedded in a permutation group. Specifically, for  $a \in G$ , **right translation** by  $a$  is defined by

$$\rho_a: G \rightarrow G, \quad \rho_a(g) = ga$$

Cayley's theorem says that the map

$$\rho: G \rightarrow S_G, \quad \rho(a) = \rho_a$$

is an embedding of  $G$  into the permutation group  $S_G$ .

Now recall that the group  $G$  can be thought of as a category  $\mathcal{G}$  with just one object, namely  $G$  itself. Moreover, each element  $a \in G$  is a morphism  $a: G \rightarrow G$  and composition of morphisms is the group product of elements.

Since  $\mathcal{G}$  has only one object, it has only one hom functor

$$\text{hom}(G, \cdot): \mathcal{G} \Rightarrow \mathbf{Set}$$

defined by

$$\text{hom}(G, \cdot)G = \text{hom}(G, G) = U(G)$$

where  $U(G)$  is the underlying set of  $G$  (that is,  $G$  thought of simply as a set) and for  $a \in G$ ,

$$\text{hom}(G, \cdot)a = a^{\leftarrow}$$

The contravariant Yoneda embedding  $y: \mathcal{G} \rightarrow \mathbf{Set}^{\mathcal{G}}$  is

$$y(G) = \text{hom}(G, \cdot), \quad y(a) = \{a^{\leftarrow}\}$$

where

$$a^{\leftarrow}(b) = ba = \rho_a(b)$$

for all  $b \in G$  and so

$$y(G) = \text{hom}(G, \cdot), \quad y(a) = \{\rho_a\}$$

for all  $a \in G$ .

But in this case, since there is only one object  $G$ , there is only one component in the family  $\{\rho_a\}$  and so the arrow part of  $y$  is essentially just the Cayley embedding  $\rho$ .  $\square$

## Yoneda's Lemma

Yoneda's lemma examines the nature of natural transformations from hom-set functors to *arbitrary* set valued functors. With reference to Figure 34, let  $A \in \mathcal{C}$  and consider the hom functor with source  $A$ ,

$$\text{hom}_{\mathcal{C}}(A, \cdot): \mathcal{C} \Rightarrow \mathbf{Set}$$

and any set-valued functor  $H: \mathcal{C} \Rightarrow \mathbf{Set}$ .

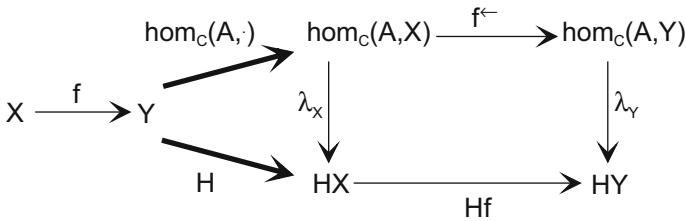


Figure 34

If

$$\lambda: \text{hom}_{\mathcal{C}}(A, \cdot) \rightarrow H$$

is a natural transformation, then for any  $f: X \rightarrow Y$ ,

$$\lambda_Y \circ f^{\leftarrow} = Hf \circ \lambda_X$$



As in the special case we discussed earlier, we take  $X = A$  and apply this to  $1_A$  to get (after replacing  $Y$  by  $X$ ),

$$\lambda_X(g) = (Hg)[\lambda_A(1_A)] \quad (50)$$

for any  $g: A \rightarrow X$ . To simplify the notation, let

$$a_A = \lambda_A(1_A) \in H(A)$$

and so

$$\lambda_X(g) = (Hg)a_A$$

Let us refer to the element  $a_A \in H(A)$ , which *completely characterizes* the natural transformation  $\lambda$  as the **Yoneda representative** of  $\lambda$ .

On the other hand, for any element  $a \in HA$ , the components  $\lambda_X$  defined by

$$\lambda_X(g) = (Hg)_a \quad (51)$$

for all  $X \in \mathcal{C}$  and for all  $g \in \text{hom}_{\mathcal{C}}(A, X)$  form a natural transformation  $\lambda = \{\lambda_X\}$ , because for any  $f: X \rightarrow Y$ ,

$$\begin{aligned} (\lambda_Y \circ f^{\leftarrow})g &= \lambda_Y(f \circ g) \\ &= H(f \circ g)a \\ &= (Hf \circ Hg)a \\ &= Hf \circ [\lambda_X(g)] \end{aligned}$$

and so

$$\lambda_Y \circ f^{\leftarrow} = Hf \circ \lambda_X$$

Note that by taking  $X = A$  and  $g = 1_A$  in (51), we get

$$a = \lambda_A(1_A)$$

Thus, any element of  $H(A)$  is the Yoneda representative for some natural transformation  $\lambda$  from  $\text{hom}_{\mathcal{C}}(A, \cdot)$  to  $H$ .

#### ■ Theorem 52 (Yoneda lemma, part 1)

Let  $\mathcal{C}$  be a category and let  $H: \mathcal{C} \Rightarrow \mathbf{Set}$  be a set-valued functor.

1) The natural transformations

$$\lambda: \text{hom}_{\mathcal{C}}(A, \cdot) \rightarrow H$$

are precisely the maps defined by

$$\lambda_X(g) = (Hg)a$$

for all  $g: A \rightarrow X$ , where  $a \in H(A)$ . The connection between  $\lambda$  and its Yoneda representative  $a$  is given by

$$a = \lambda_A(1_A)$$

2) **The Yoneda representative map**

$$\phi = \phi_{H,A}: \text{Nat}(\text{hom}_{\mathcal{C}}(A, \cdot), H) \rightarrow H(A)$$

defined by

$$\phi(\lambda) = \lambda_A(1_A)$$

is a bijection. It follows that the class  $\text{Nat}(\text{hom}_{\mathcal{C}}(A, \cdot), H)$  is a set.

3) When  $H = \text{hom}_{\mathcal{C}}(B, \cdot)$  is also a hom functor, the natural transformations

$$\lambda: \text{hom}_{\mathcal{C}}(A, \cdot) \xrightarrow{\sim} \text{hom}_{\mathcal{C}}(B, \cdot)$$

are precisely the families

$$\lambda = \{\lambda^{\rightarrow}\}$$

as  $h$  varies over the set  $\text{hom}_{\mathcal{C}}(B, A)$ .

■ **Proof**

We have already proved parts 1) and 3). For part 2), since

$$\lambda_X(g) = (Hg)[\lambda_A(1_A)]$$

it is clear that  $\lambda_A(1_A) = \phi(\lambda)$  uniquely determines  $\lambda$  and so  $\phi$  is injective. We have already seen that it is surjective.  $\square$

There is another part to Yoneda's lemma, which describes the naturalness of the families  $\{\phi_A \mid A \in \mathcal{C}\}$ , where  $H$  is fixed and  $\{\phi_{A,H} \mid H \in \mathbf{Set}^{\mathcal{C}}\}$ , where  $A$  is fixed

■ **Theorem 53 (Yoneda lemma, part 2)**

Let  $\mathcal{C}$  be a category. The family of Yoneda representative maps

$$\{\phi_{H,A}: \text{Nat}(\text{hom}_{\mathcal{C}}(A, \cdot), H) \approx H(A) \mid A \in \mathcal{C}, H \in \mathbf{Set}^{\mathcal{C}}\}$$

is natural in both  $H$  and  $A$ , as shown in the commutative diagram of Figure 35.

$$\begin{array}{ccccc}
 & & \text{Nat}(\text{hom}_{\mathcal{C}}(A, \cdot), H) & & \\
 & \swarrow \phi_{K,A} \circ \lambda^{\leftarrow} & \downarrow \phi_{H,A} & \searrow \phi_{H,B} \circ f^{\rightarrow\rightarrow} & \\
 KA & \xleftarrow{\lambda_A} & HA & \xrightarrow{Hf} & HB
 \end{array}$$

Figure 35

In particular:

1) For a fixed  $H: \mathcal{C} \Rightarrow \mathbf{Set}$ , the family of bijections

$$\{\phi_A: \text{Nat}(\text{hom}_{\mathcal{C}}(A, \cdot), H) \leftrightarrow HA \mid A \in \mathcal{C}\}$$

is natural in  $A$ , as shown in Figure 36.

$$\begin{array}{ccccc}
 & & \text{Nat}(\text{hom}_{\mathcal{C}}(A, \cdot), H) & \xrightarrow{f^{\rightarrow\rightarrow}} & \text{Nat}(\text{hom}_{\mathcal{C}}(B, \cdot), H) \\
 & \nearrow F & \downarrow \phi_A & & \downarrow \phi_B \\
 A & \xrightarrow{f} & B & & \\
 & \searrow H & HA & \xrightarrow{Hf} & HB
 \end{array}$$

Figure 36

Specifically, define a functor  $F: \mathcal{C} \Rightarrow \mathbf{Set}$  as follows. If  $A \in \mathcal{C}$  then

$$F(A) = \text{Nat}(\text{hom}_{\mathcal{C}}(A, \cdot), H)$$

Also, if  $f: A \rightarrow B$  in  $\mathcal{C}$ , then

$$F(f): \text{Nat}(\text{hom}_{\mathcal{C}}(A, \cdot), H) \rightarrow \text{Nat}(\text{hom}_{\mathcal{C}}(B, \cdot), H)$$

is defined by

$$F(f) = f^{\rightarrow\rightarrow}$$

that is, if  $\lambda = \{\lambda_X \mid X \in \mathcal{C}\} \in \text{Nat}(\text{hom}_{\mathcal{C}}(A, \cdot), H)$ , then

$$f^{\rightarrow\rightarrow}(\lambda) = \{f^{\rightarrow\rightarrow}(\lambda_X) \mid X \in \mathcal{C}\} = \{\lambda_X \circ f^{\rightarrow} \mid X \in \mathcal{C}\}$$

Then

$$\phi_A: F \xrightarrow{\sim} H$$

2) If  $\mathcal{C}$  is a small category, then for a fixed  $A \in \mathcal{C}$ , the family of bijections

$$\{\phi_H: \text{Nat}(\text{hom}_{\mathcal{C}}(A, \cdot), H) \leftrightarrow HA \mid H \in \mathbf{Set}^{\mathcal{C}}\}$$

is natural in  $H$ , as shown in Figure 37.

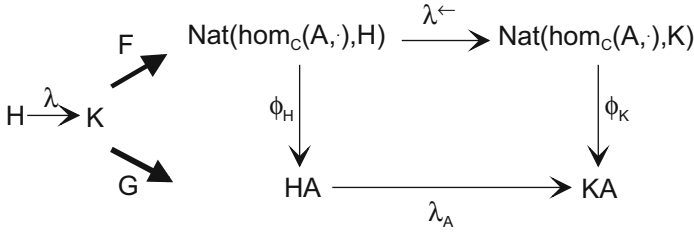


Figure 37

Specifically, define functors  $F, G: \mathbf{Set}^{\mathcal{C}} \Rightarrow \mathbf{Set}$  as follows. For any  $H \in \mathbf{Set}^{\mathcal{C}}$ , let

$$F(H) = \text{Nat}(\text{hom}_{\mathcal{C}}(A, \cdot), H) \quad \text{and} \quad G(H) = HA$$

and for any  $\lambda: H \xrightarrow{\sim} K$  in  $\mathbf{Set}^{\mathcal{C}}$ ,

$$F(\lambda) = \lambda^{\leftarrow} \quad \text{and} \quad G(\lambda) = \lambda_A$$

where  $\lambda^{\leftarrow}(\mu) = \lambda \circ \mu$ . Then

$$\phi_H: F \xrightarrow{\sim} G$$

#### ■ Proof

For part 1), we must first show that if

$$\{\lambda_X\}: \text{hom}_{\mathcal{C}}(A, \cdot) \xrightarrow{\sim} H$$

then

$$\{\lambda_X \circ f^{\rightarrow}\}: \text{hom}_{\mathcal{C}}(B, \cdot) \xrightarrow{\sim} H$$

that is, we must show that for all  $g: X \rightarrow Y$ ,

$$Hg \circ \lambda_X \circ f^\rightarrow = \lambda_Y \circ f^\rightarrow \circ g^\leftarrow$$

But the condition that  $\lambda$  is natural is

$$Hg \circ \lambda_X = \lambda_Y \circ g^\leftarrow$$

and the result follows by applying  $f^\rightarrow$  and noting that  $g^\leftarrow \circ f^\rightarrow = f^\rightarrow \circ g^\leftarrow$ .

Now, the naturalness of  $\phi_A: F \dashrightarrow H$  is

$$Hf \circ \phi_A = \phi_B \circ Ff$$

for all  $f: A \rightarrow B$  in  $\mathcal{C}$ . This is equivalent to

$$Hf \circ \phi_A(\lambda) = \phi_B \circ Ff(\lambda)$$

for all  $\lambda \in \text{Nat}(\text{hom}_{\mathcal{C}}(A, \cdot), H)$  and this is equivalent to

$$Hf[\lambda_A(1_A)] = \phi_B(\lambda \circ f^\rightarrow)$$

But

$$\phi_B(\lambda \circ f^\rightarrow) = (\lambda \circ f^\rightarrow)_B 1_B = \lambda_B \circ f^\rightarrow 1_B = \lambda_B(f)$$

and the Yoneda lemma implies that

$$\lambda_B(f) = Hf[\lambda_A 1_A]$$

as desired.

For part 2), the naturalness condition we wish to verify is

$$\lambda_A \circ \phi_H = \phi_K \circ \lambda^\leftarrow$$

But for  $\mu \in \text{Nat}(\text{hom}_{\mathcal{C}}(A, \cdot), H)$ ,

$$\lambda_A \circ \phi_H(\mu) = \lambda_A[\mu_A(1_A)]$$

and

$$\phi_K \circ \lambda^\leftarrow(\mu) = \phi_K(\lambda \circ \mu) = (\lambda \circ \mu)_A(1_A) = \lambda_A[\mu_A(1_A)]$$

□

## Exercises

1. Show that contravariant functors are also covariant functors.
2. Is the forgetful functor from **Grp** to **Set** full? Is it faithful?

3. Let  $G$  be a group and let  $G'$  be the commutator subgroup, that is, the subgroup generated by all commutators  $aba^{-1}b^{-1}$ , where  $a, b \in G$ . Then  $G'$  is a normal subgroup of  $G$  and  $G/G'$  is abelian. Let  $F: \mathbf{Grp} \Rightarrow \mathbf{AbGrp}$  send  $G$  to  $G/G'$  and send  $\sigma: G \rightarrow H$  to the map  $F\sigma: G/G' \rightarrow H/H'$  defined by

$$(F\sigma)(aG') = (\sigma a)H'$$

- a) Show that this defines a functor.  
 b) Modify the function  $F$  slightly so that it maps into  $\mathbf{Grp}$  and find a natural transformation from the identity functor  $I: \mathbf{Grp} \Rightarrow \mathbf{Grp}$  to  $F$ .
4. Find two distinct covariant functors from  $\mathbf{Grp}$  to  $\mathbf{Grp}$  both of whose object maps are the identity.
5. For the category  $\mathbf{Grp}$ , map each group to its commutator subgroup  $C(G)$  and each homomorphism  $f: G \rightarrow H$  to its restriction  $Cf: C(G) \rightarrow C(H)$ . Show that  $C$  is a functor.
6. Show that the hom functor

$$\mathrm{hom}_{\mathcal{C}}(A, \cdot): \mathcal{C} \Rightarrow \mathbf{Set}$$

preserves monics, that is, if  $\alpha: C \rightarrow D$  is monic in  $\mathcal{C}$ , then

$$\alpha^{\perp}: \mathrm{hom}_{\mathcal{C}}(A, C) \rightarrow \mathrm{hom}_{\mathcal{C}}(A, D)$$

is also monic.

7. Describe the arrow part of the hom functor  $\mathrm{hom}_{\mathcal{C}}(B, \cdot)$  and the naturalness condition.
8. Let  $\mathcal{C}$  be a category with binary products. Fix a product for each pair of objects in  $\mathcal{C}$ . If  $f: A \rightarrow A'$  and  $g: B \rightarrow B'$ , then define the product  $f \times g: A \times B \rightarrow A' \times B'$  as the unique mediating morphism from the cone

$$(A \times B, f \circ \rho_{A \times B, 1}: A \times B \rightarrow A', g \circ \rho_{A \times B, 2}: A \times B \rightarrow B')$$

to the product  $A' \times B'$ . In other symbols,

$$f \times g = \begin{pmatrix} f \circ \rho_{A \times B, 1} \\ g \circ \rho_{A \times B, 2} \end{pmatrix}$$

- a) Prove that

$$1_A \times 1_B = 1_{A \times B}$$

- b) If  $f: A \rightarrow A'$  and  $g: B \rightarrow B'$  and  $f': A' \rightarrow A''$  and  $g': B' \rightarrow B''$  prove that

$$(f' \circ f) \times (g' \circ g) = (f' \times g') \circ (f \times g)$$

or in different notation

$$\begin{pmatrix} f' \circ f \circ \rho_{A \times B, 1} \\ g' \circ g \circ \rho_{A \times B, 2} \end{pmatrix} = \begin{pmatrix} f' \circ \rho_{A' \times B', 1} \\ g' \circ \rho_{A' \times B', 2} \end{pmatrix} \circ \begin{pmatrix} f \circ \rho_{A \times B, 1} \\ g \circ \rho_{A \times B, 2} \end{pmatrix}$$

9. Let  $\mathcal{C}$  be a category with binary products. Let  $A$  be an object in  $\mathcal{C}$  and fix a product  $C \times A$  for every object  $C$  in  $\mathcal{C}$ . Define the **product functor**

$$- \times A: \mathcal{C} \Rightarrow \mathcal{C}$$

sending  $C$  to  $C \times A$  and  $f: C \rightarrow D$  to the product morphism

$$f \times 1_A \stackrel{\text{def}}{=} \begin{pmatrix} f \circ \rho_{C \times A, 1} \\ 1_A \circ \rho_{C \times A, 2} \end{pmatrix}: C \times A \rightarrow D \times A$$

where  $\begin{pmatrix} x_{XA} \\ y_{XB} \end{pmatrix}$  denotes the mediating morphism for a cone with legs  $x$  and  $y$  over the diagram  $\{A, B\}$ . Prove that this does define a functor.

10. Let  $\mathcal{B}, \mathcal{C}$  and  $\mathcal{D}$  be categories. A functor  $H: \mathcal{B} \times \mathcal{C} \Rightarrow \mathcal{D}$  from the product  $\mathcal{B} \times \mathcal{C}$  into a category  $\mathcal{D}$  is called a **functor of two variables** or a **bifunctor**. If  $B \in \mathcal{B}$ , the map  $H_B$  is defined as follows:  $H_B$  takes an object  $C$  of  $\mathcal{C}$  to  $H(B, C)$  and takes a morphism  $g: C \rightarrow C'$  to  $H(1_B, g)$ , where  $1_B$  is the identity morphism on  $B$ . For any object  $C$  in  $\mathcal{C}$ , the map  $H_C$  is defined analogously.
- a) Show that  $H_B: \mathcal{C} \Rightarrow \mathcal{D}$  and  $H_C: \mathcal{B} \Rightarrow \mathcal{D}$  are functors.
- b) Show that if  $f: B \rightarrow B'$  and  $g: C \rightarrow C'$ , then

$$H_{B'}(g) \circ H_C(f) = H_{C'}(f) \circ H_B(g)$$

- c) Suppose that for each object  $C$  in  $\mathcal{C}$ , there is a functor  $G_C: \mathcal{B} \Rightarrow \mathcal{D}$  and for each object  $B$  in  $\mathcal{B}$ , there is a functor  $F_B: \mathcal{C} \Rightarrow \mathcal{D}$ . Under what conditions is there a bifunctor  $H: \mathcal{B} \times \mathcal{C} \Rightarrow \mathcal{D}$  for which  $H_B = F_B$  and  $H_C = G_C$ ?
11. Prove the following statements:
- 1) Let  $F \approx G$  be naturally isomorphic functors.
- a)  $F$  is faithful if and only if  $G$  is faithful.
- b)  $F$  is full if and only if  $G$  is full.
- In particular, if  $F \approx I_{\mathcal{C}}$ , then  $F$  is fully faithful.
- 2) Let  $F: \mathcal{C} \Rightarrow \mathcal{D}$  and  $G: \mathcal{D} \Rightarrow \mathcal{C}$  be functors.
- a) If  $G \circ F$  is faithful, then  $F$  is faithful.
- b) If  $G \circ F$  is full, then  $G$  is full.
- In particular, if

$$G \circ F \approx I_{\mathcal{C}} \quad \text{and} \quad F \circ G \approx I_{\mathcal{D}}$$

then  $F$  and  $G$  are fully faithful.

12. Let  $F, G: \mathcal{C} \Rightarrow \mathcal{D}$  and let  $\lambda(C): F \rightarrow G$  be a natural transformation from  $F$  to  $G$ .  
 a) Let  $H: \mathcal{E} \Rightarrow \mathcal{C}$ . Let  $\lambda H: \mathbf{Obj}(\mathcal{E}) \rightarrow \mathbf{Obj}(\mathcal{C})$  be defined by

$$\lambda H(E) = \lambda(H(E))$$

Show that  $\lambda H: FH \rightarrow GH$  is a natural transformation.

- b) Let  $H\lambda: \mathbf{Obj}(\mathcal{E}) \rightarrow \mathbf{Obj}(\mathcal{C})$  be defined by

$$H\lambda(C) = H(\lambda(E))$$

Show that  $H\lambda: HF \rightarrow HG$  is a natural transformation.

13. Let  $S$  be a nonempty set. A group with operators  $S$  or an  $S$ -group is a group  $G$  together with a homomorphism  $\sigma: S \rightarrow \text{End}(G)$  where  $\text{End}(G)$  is the group of endomorphisms of  $G$ . Let  $M$  be a monoid, thought of as a category with one object, where each element of  $M$  is a morphism. Show that the objects in the functor category  $\mathbf{Grp}^M$  are the groups with operators  $M$ .  
 14. Let  $A$  be an abelian group. The **torsion subgroup**  $A^t$  of  $A$  is the set of elements of  $A$  that have finite order. The **torsion functor**  $G: \mathbf{AbGrp} \Rightarrow \mathbf{AbGrp}$  is defined by

$$GA = A^t$$

and for a group homomorphism  $f: A \rightarrow B$

$$Gf = f|_{A^t}: A^t \rightarrow B^t$$

which makes sense since a group homomorphism maps torsion elements to torsion elements.

- a) Show that  $G$  is indeed a functor.  
 b) Find a natural transformation from the torsion functor  $G$  to the identity functor  $I$ .  
 15. Let **FinSet** be the category of all finite sets and let **FinOrd** be the category of all finite ordinal numbers. The inclusion map  $I: \mathbf{FinOrd} \Rightarrow \mathbf{FinSet}$  is a functor, with  $I(f) = f$ , as a set function. We define another functor  $\text{Card}: \mathbf{FinSet} \Rightarrow \mathbf{FinOrd}$  as follows.  $\text{Card}(S)$  is the unique finite ordinal that is equipotent to  $S$ . For the maps, for each finite set  $S$ , we fix a bijection  $\theta_S$  from  $S$  to  $\text{Card}(S)$ , where if  $n$  is a finite ordinal then  $\theta_n = 1$  (the identity). Then for a set function  $f: S \rightarrow T$ , the map  $\text{Card}(f): \text{Card}(S) \rightarrow \text{Card}(T)$  is defined as

$$\text{Card}(f) = \theta_T \circ f \circ \theta_S^{-1}$$

- a) Show that  $\text{Card} \circ I: \mathbf{FinOrd} \Rightarrow \mathbf{FinOrd}$  is the identity functor.  
 b) Show that  $I \circ \text{Card}: \mathbf{FinSet} \Rightarrow \mathbf{FinSet}$  is not the identity functor, but is naturally isomorphic to the identity functor. Thus, **FinOrd** and **FinSet** are equivalent categories.



16. Let  $S$  be a fixed set. Consider the map  $F$  that sends each set  $X$  to  $X^S \times S$ , where  $X^S$  is the set of all functions from  $S$  to a set  $X$ . Show that  $F$  is the object map of a functor  $F$  from **Set** to **Set**. Find a natural transformation from  $F$  to the identity functor on **Set**.
17. Let  $F, G: \mathcal{C} \Rightarrow \mathcal{P}$  be functors from a category  $\mathcal{C}$  to a preorder  $\mathcal{P}$ .
  - a) Describe necessary and sufficient conditions under which there is a natural transformation from  $F$  to  $G$ .
  - b) Prove that if  $\mathcal{P}$  and  $\mathcal{Q}$  are preorders, then the functor category  $\mathcal{Q}^{\mathcal{P}}$  is also a preorder.
18. Verify that the functor category  $\mathcal{D}^{\mathcal{C}}$  is a category.
19. Prove that the functor category  $\mathcal{D}^2$  is essentially the category of arrows  $\mathcal{D}^{\rightarrow}$  of  $\mathcal{D}$ .
20. Show that the map that sends each group to its center cannot be the object map of a functor from **Grp** to **AbGrp**. *Hint:* Consider the triangle formed by  $S_2 \rightarrow S_3 \rightarrow S_2$ .
21. A **pointed set** is a pair  $S_* = (S, s)$  where  $s \in S$ . Less formally, a pointed set is just a set that contains a specially designated element. To simplify the notation, we let  $*$  denote this element. Let **Set** $_*$  be the category whose objects are pointed sets and whose morphisms are all set functions  $f: A_* \rightarrow B_*$  for which  $f(*) = *$ . These are called **pointed functions**. Let **Set** $_0$  be the category whose objects are sets and whose morphisms are *partial* set functions  $f: A \rightarrow B$ , that is, the domain of  $f$  is a (possibly empty) subset of  $A$ . Prove that **Set** $_*$  and **Set** $_0$  are isomorphic.
22. If  $S$  is a set and  $s \in S$ , we define the ordered pair  $(S, s)$  to be a **set with base point** (or a **set with distinguished element**). Let **Set** $_*$  be the category of pointed sets (see the previous exercise) and let  $\mathcal{C}$  be the category of all sets with base point. Show that the map  $F: \mathbf{Set}_* \Rightarrow \mathcal{C}$  sending  $S_*$  to  $(S_*, *)$  and sending  $f: S_* \rightarrow T_*$  to itself is a functor. Is it an isomorphism?
23. a) Let  $F, G: \mathcal{C} \Rightarrow \mathcal{D}$  and let

$$\lambda = \{\lambda_C\}: F \xrightarrow{\sim} G$$

Then if  $H: \mathcal{D} \Rightarrow \mathcal{E}$ , then the composition

$$H \circ \lambda_C: FC \rightarrow HGC$$

makes sense. Show that the family

$$H\lambda = \{H \circ \lambda_C \mid C \in \mathcal{C}\}$$

is natural from  $HF$  to  $HG$ .

- b) If  $K: \mathcal{B} \Rightarrow \mathcal{C}$ , then for each  $B \in \mathcal{B}$ ,

$$\lambda_{KB}: FKB \rightarrow GKB$$

This is a form of “composition” of  $K$  followed by  $\lambda$ . Show that the family

$$\lambda H = \{\lambda_{HB} \mid B \in \mathcal{B}\}$$

is natural from  $FH$  to  $GH$ .

c) Let  $F, G: \mathcal{A} \Rightarrow \mathcal{B}$  and  $H, K: \mathcal{B} \Rightarrow \mathcal{C}$  and let  $\alpha: F \xrightarrow{\sim} G$  and  $\beta: H \xrightarrow{\sim} K$ . Show that

$$K\alpha_A \circ \beta_{FA} = \beta_{GA} \circ H\alpha_A$$

The **Godement product**  $\beta * \alpha$  is defined by

$$(\beta * \alpha)_A := K\alpha_A \circ \beta_{FA} = \beta_{GA} \circ H\alpha_A$$

Show that this defines a natural transformation from  $HF$  to  $KG$ . Show that the products  $H\lambda$  and  $\lambda H$  are special cases of the Godement product.

24. Prove the contravariant case of Yoneda's lemma.
25. Let  $\mathcal{C}$  and  $\mathcal{D}$  be categories and let  $F: \mathcal{C} \Rightarrow \mathcal{D}$  be a covariant functor. Show that the natural transformations

$$\lambda_{A,B} = \{\lambda_{A,B}(\cdot)\}: \text{hom}_{\mathcal{C}}(\cdot, A) \xrightarrow{\sim} \text{hom}_{\mathcal{D}}(F\cdot, B)$$

between contravariant functors have the form

$$\lambda_{A,B}(X)f = (Ff)^{\rightarrow} g = g \circ Ff$$

for all  $f: X \rightarrow A$ , where  $g \in \text{hom}_{\mathcal{D}}(FA, B)$ . In this case,  $g = \lambda_{A,B}(A)1_A$  and so

$$\lambda_{A,B}(X)f = (Ff)^{\rightarrow} \lambda_{A,B}(A)1_A = \lambda_{A,B}(A)1_A \circ Ff$$

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